

NON-COMMUTATIVE SYMMETRIC FUNCTIONS I: A ZOO OF HOPF ALGEBRAS

MIKE ZABROCKI
YORK UNIVERSITY

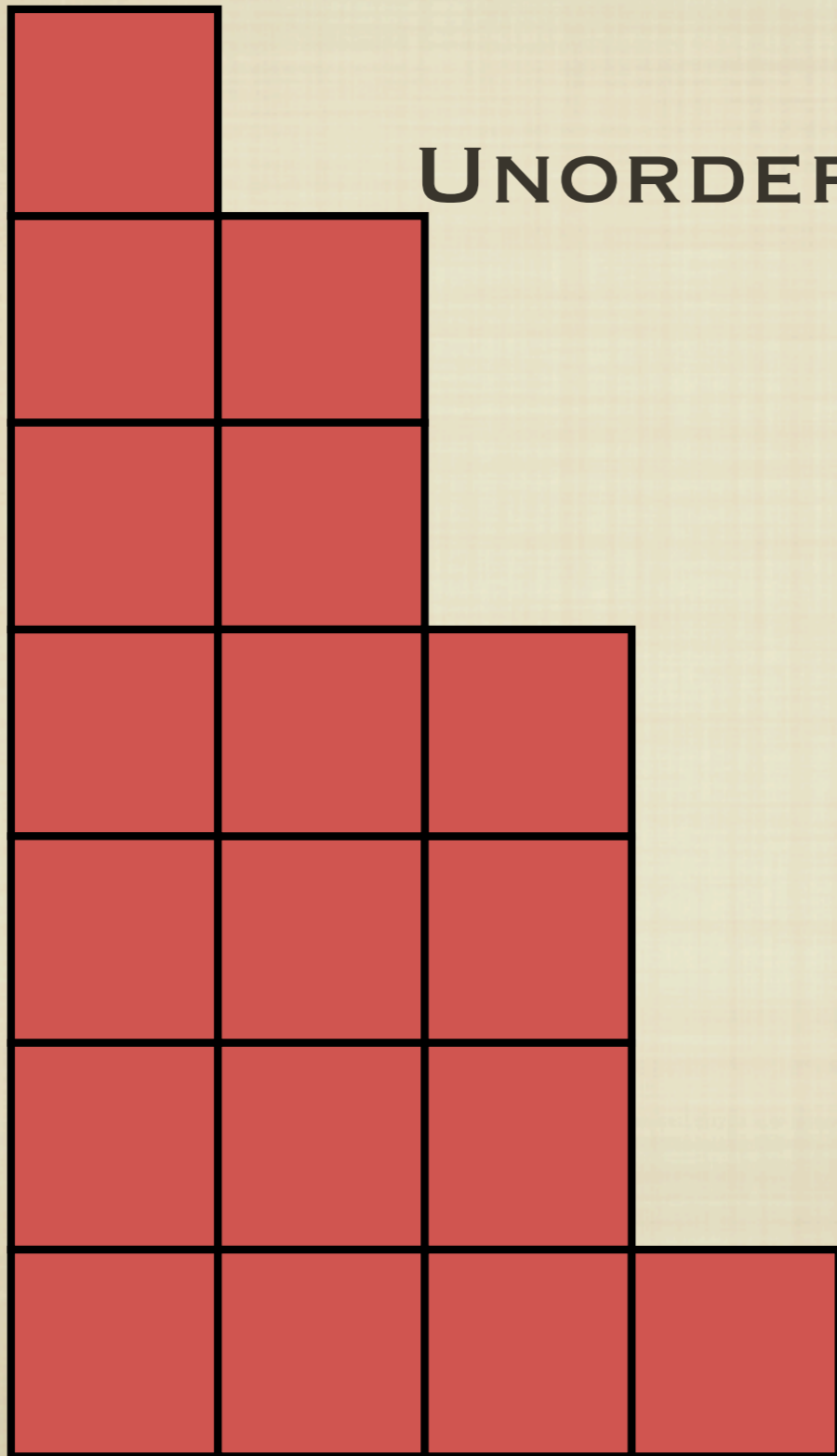
I will present an overview of what Combinatorial Hopf Algebras (CHAs) are about by introducing definitions and examples. I will try to show how examples of CHAs are related to each other and where they can appear in the literature before they are recognized as CHAs. I will also give some examples where these objects appear in other areas of mathematics.

PARTITIONS

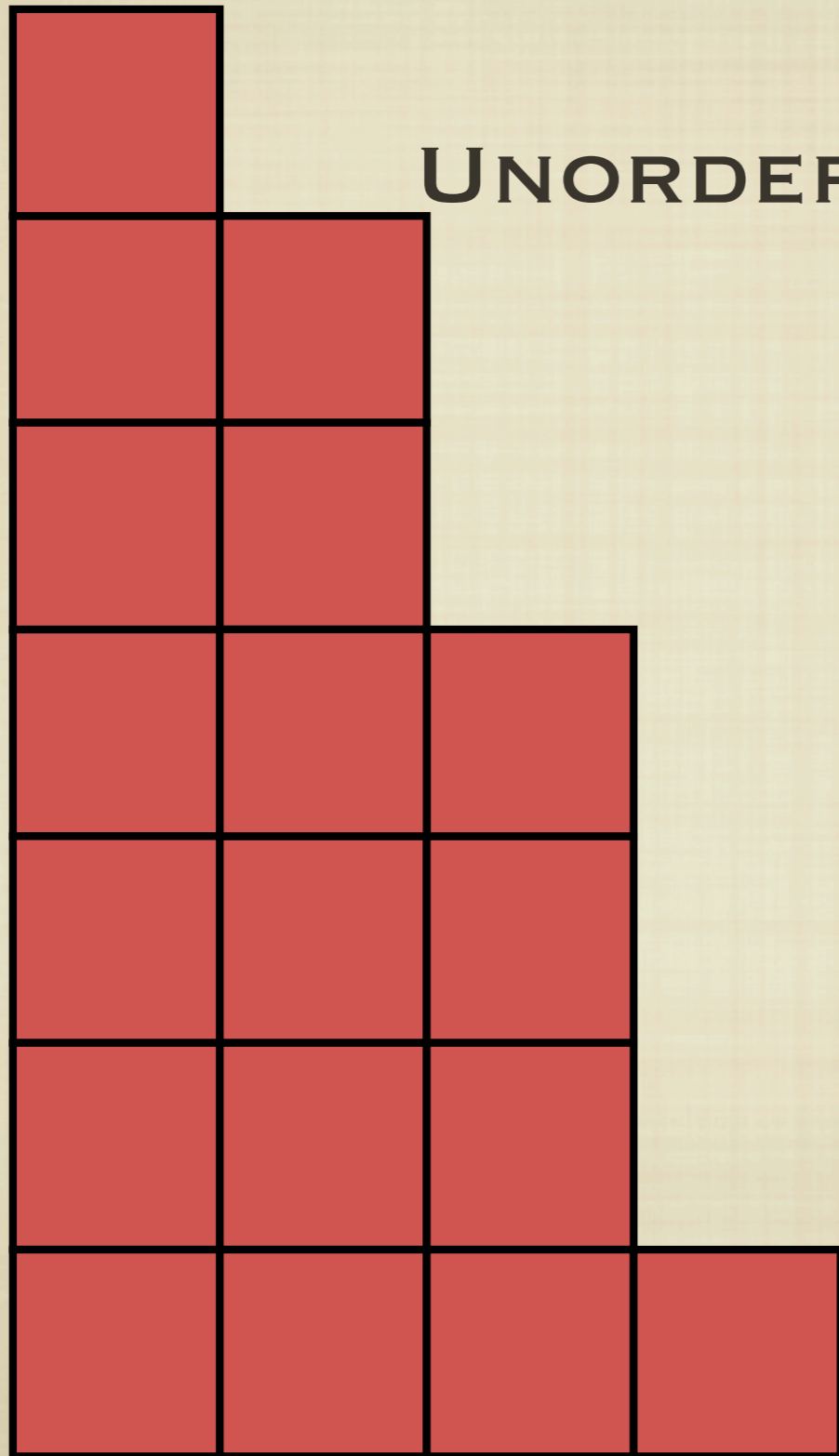
**UNORDERED LISTS OF NON-NEGATIVE
INTEGERS**

PARTITIONS

UNORDERED LISTS OF NON-NEGATIVE
INTEGERS



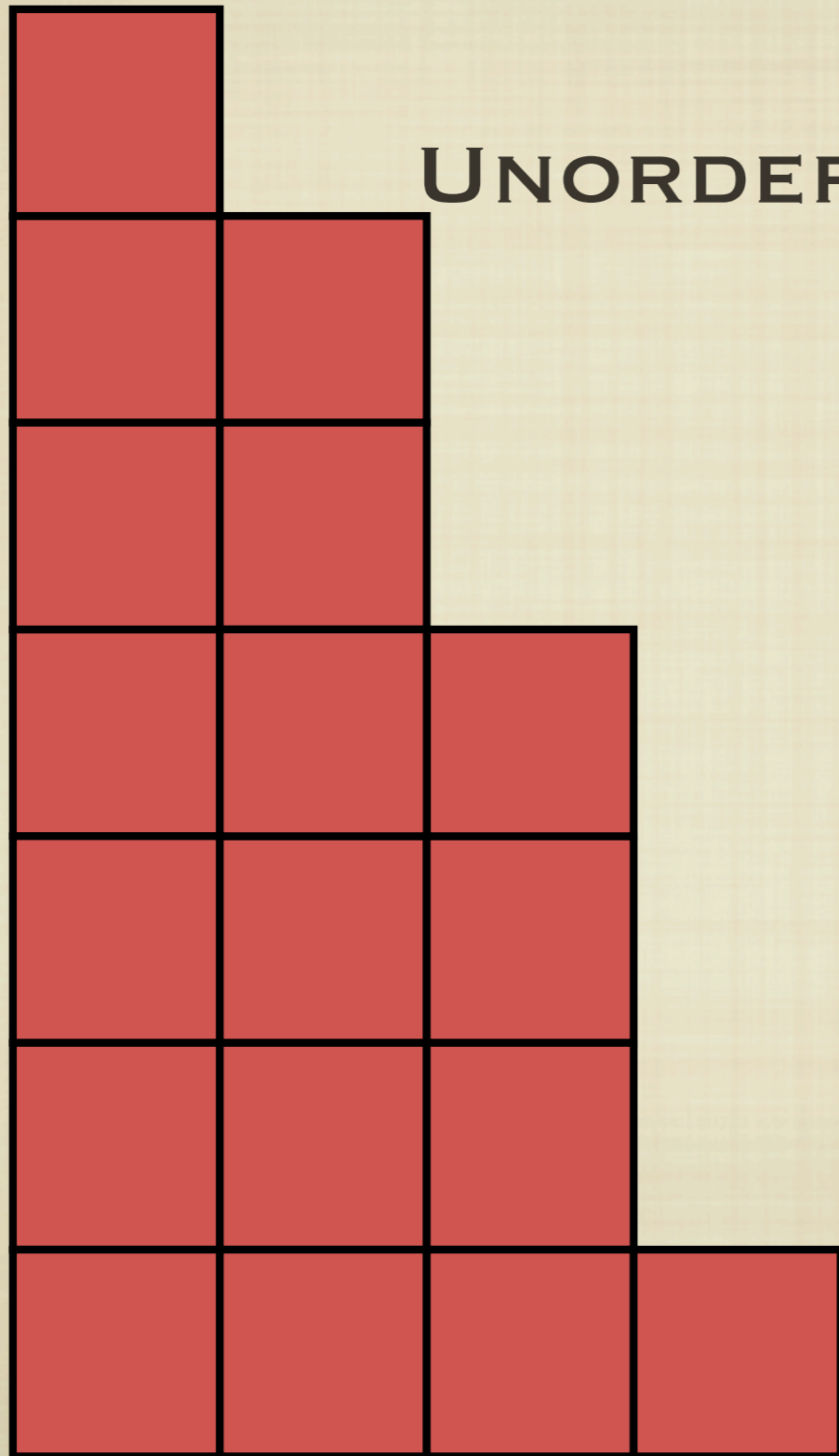
PARTITIONS



UNORDERED LISTS OF NON-NEGATIVE
INTEGERS

$(4, 3, 3, 3, 2, 2, 1)$

PARTITIONS



UNORDERED LISTS OF NON-NEGATIVE
INTEGERS

$$(4, 3, 3, 3, 2, 2, 1)$$

$$4 + 3 + 3 + 3 + 2 + 2 + 1$$

CREATE AN ALGEBRA

CREATE AN ALGEBRA

■ LINEARLY SPANNED BY PARTITIONS

CREATE AN ALGEBRA

■ LINEARLY SPANNED BY PARTITIONS

■ COMMUTATIVE PRODUCT μ

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$$\Lambda = \bigoplus_i \Lambda_i$$

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Λ_i LINEAR SPAN OF
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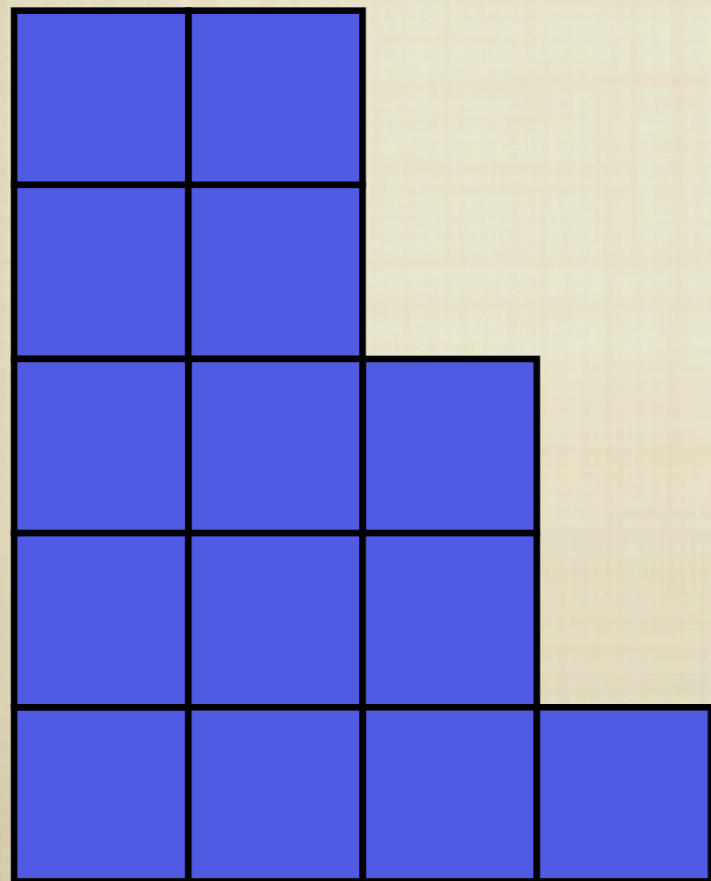
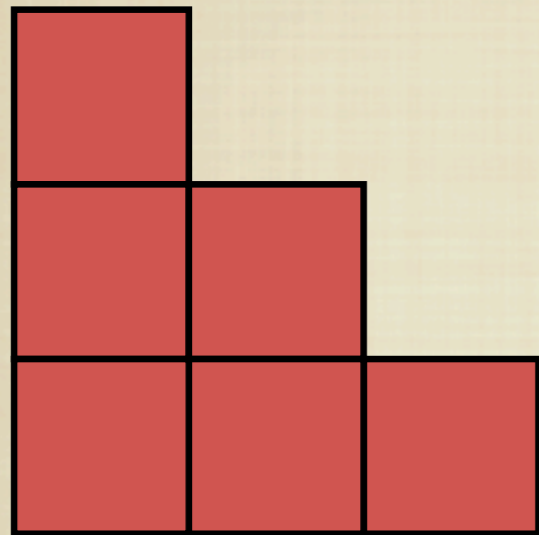
■ GRADED BY SIZE OF PARTITIONS

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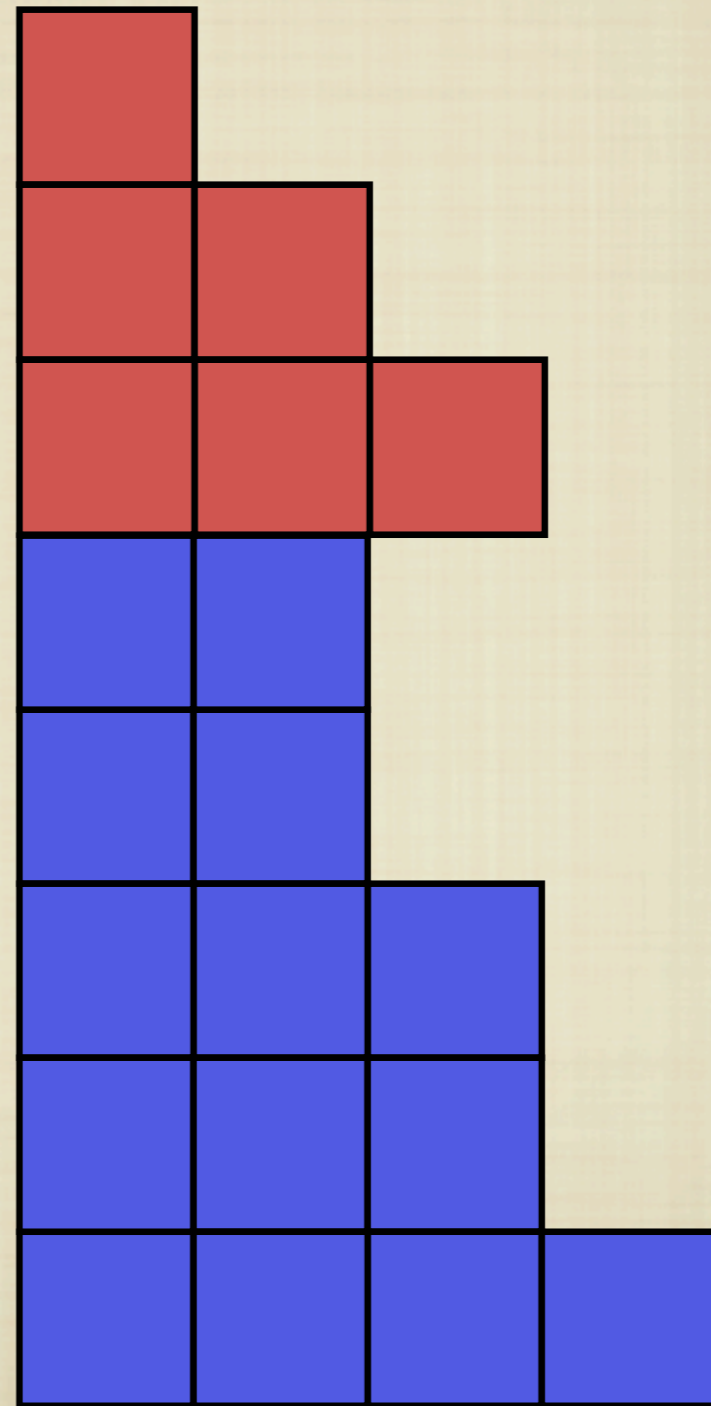
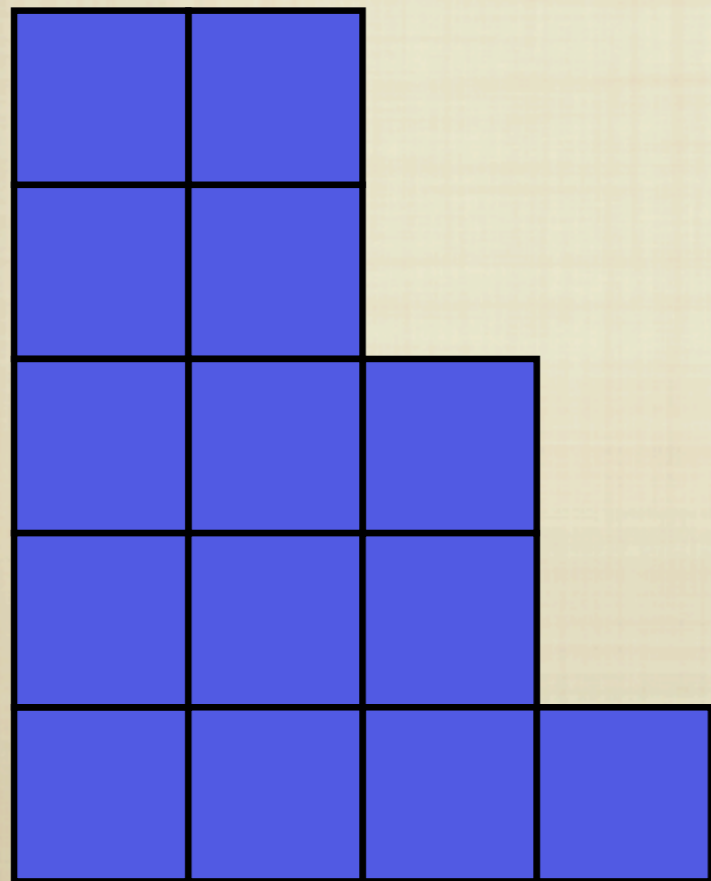
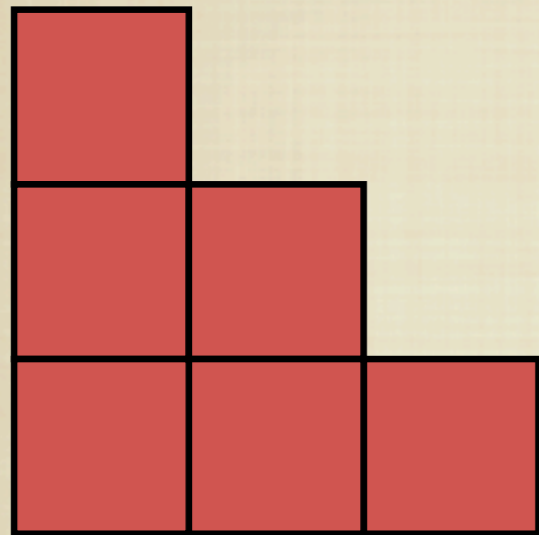
Λ_i LINEAR SPAN OF
PARTITIONS OF SIZE i

$$\mu : \Lambda_i \otimes \Lambda_j \longrightarrow \Lambda_{i+j}$$

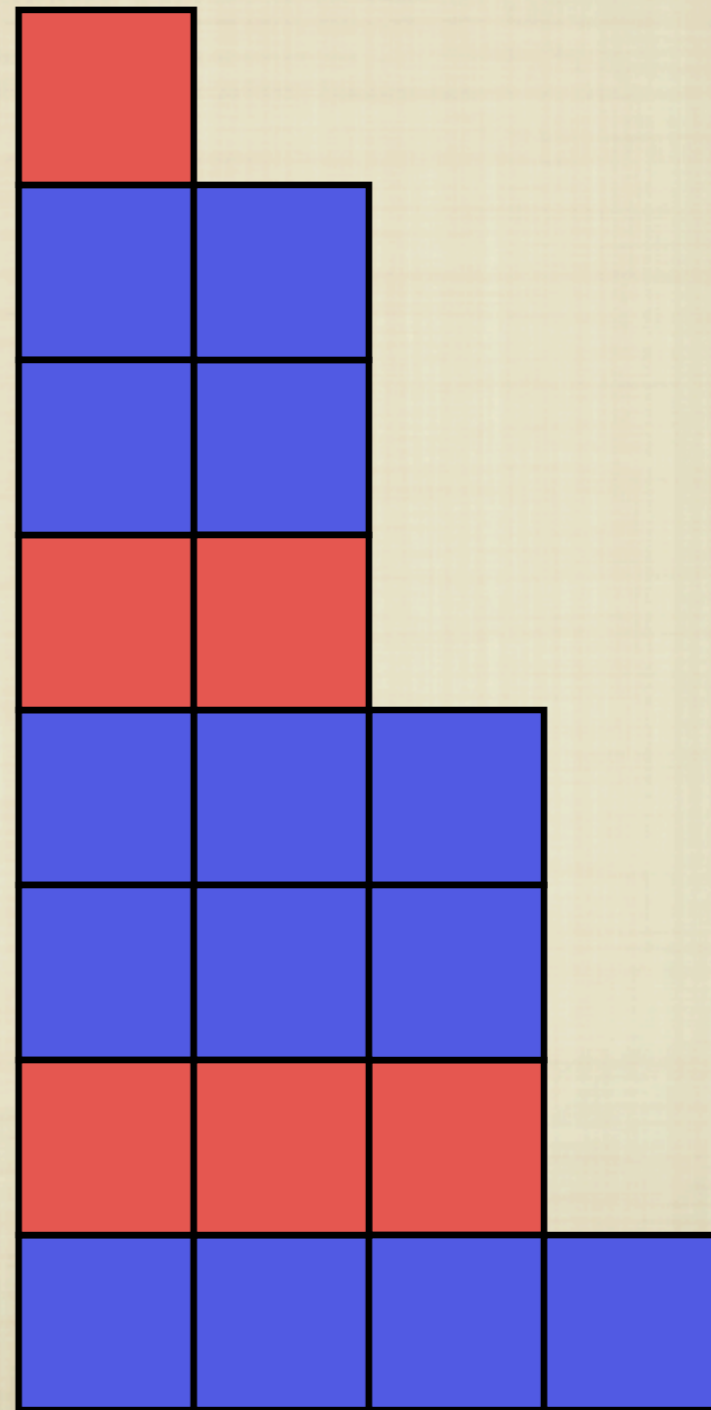
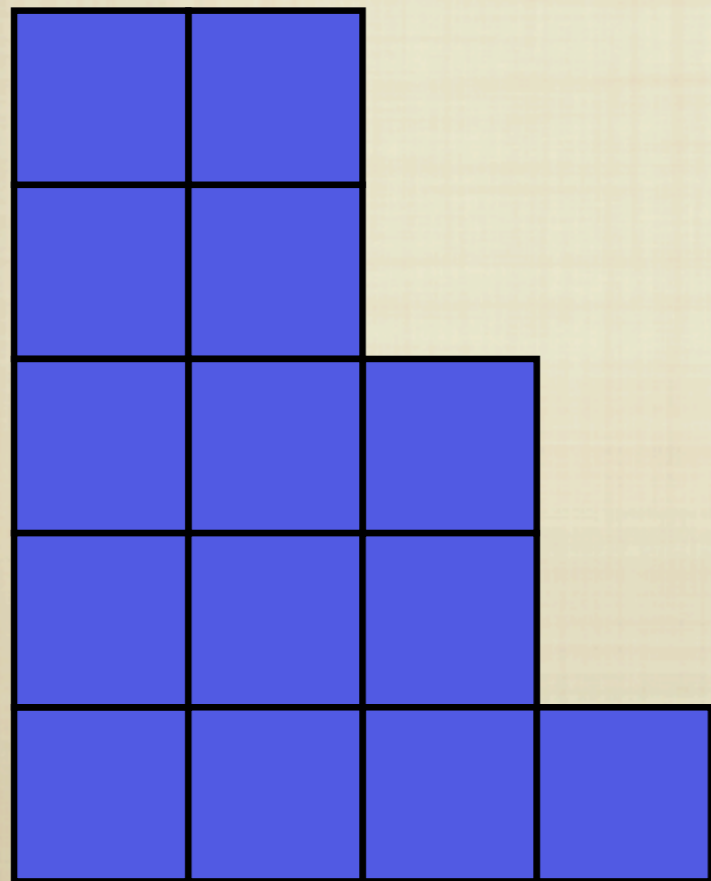
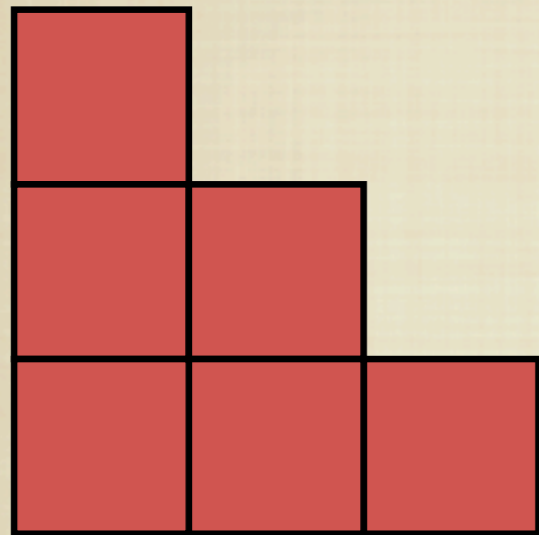
DEFINE A COMMUTATIVE PRODUCT



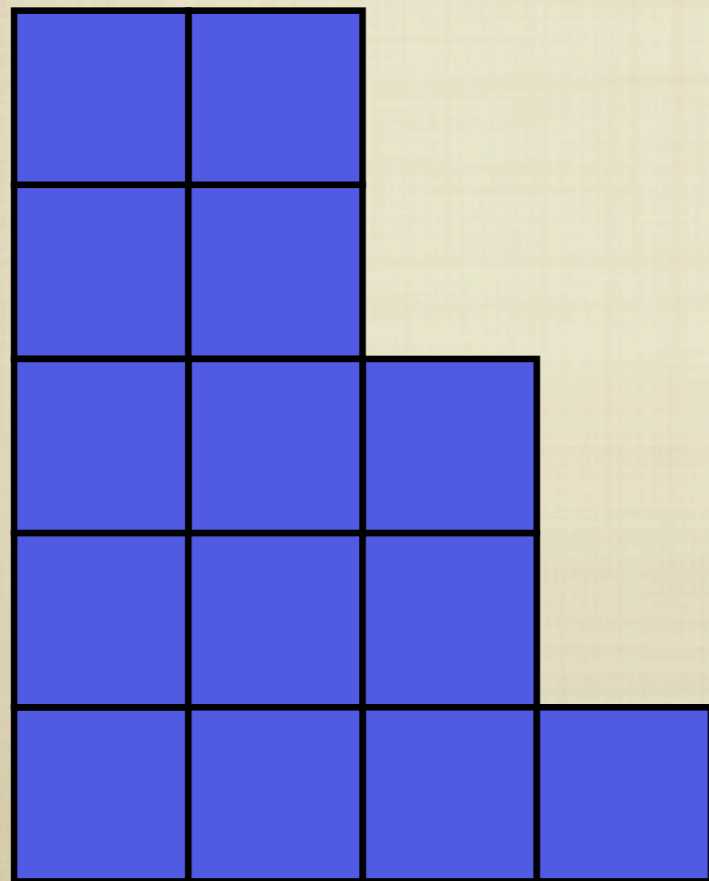
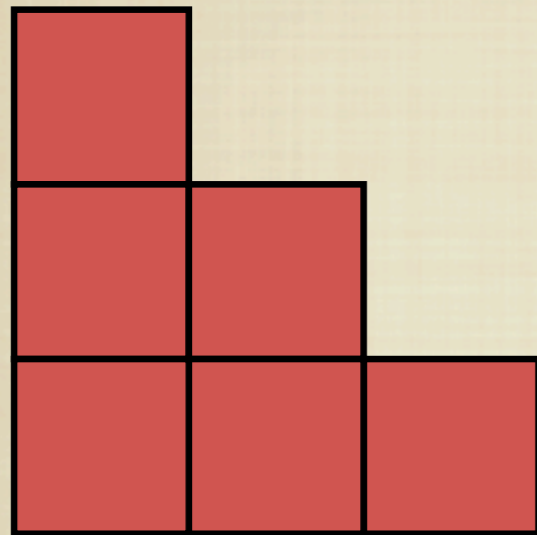
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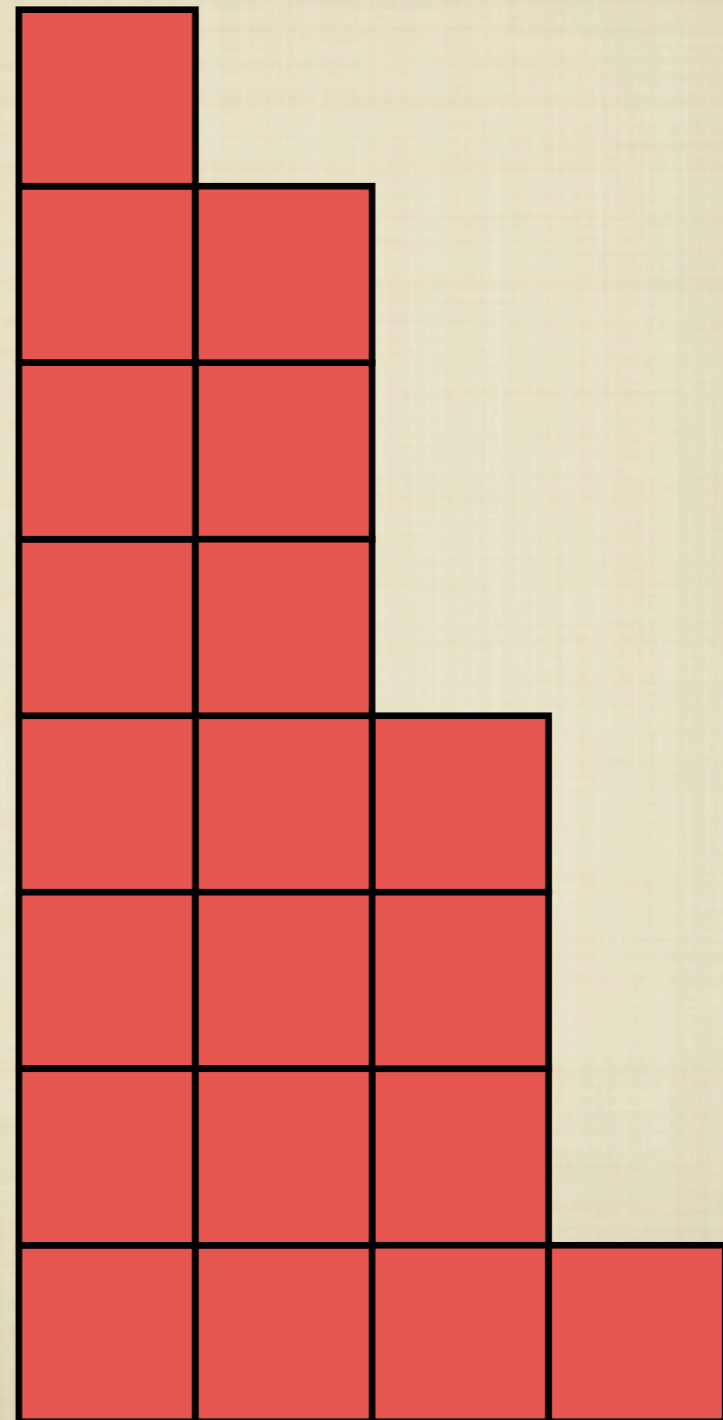
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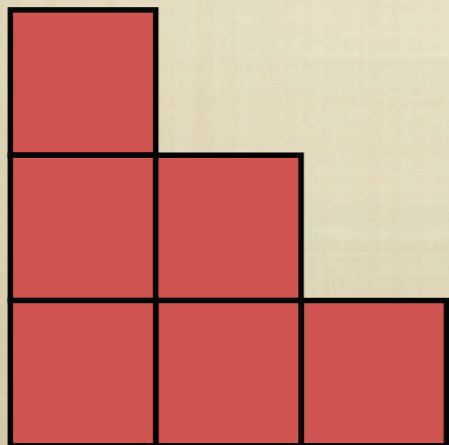
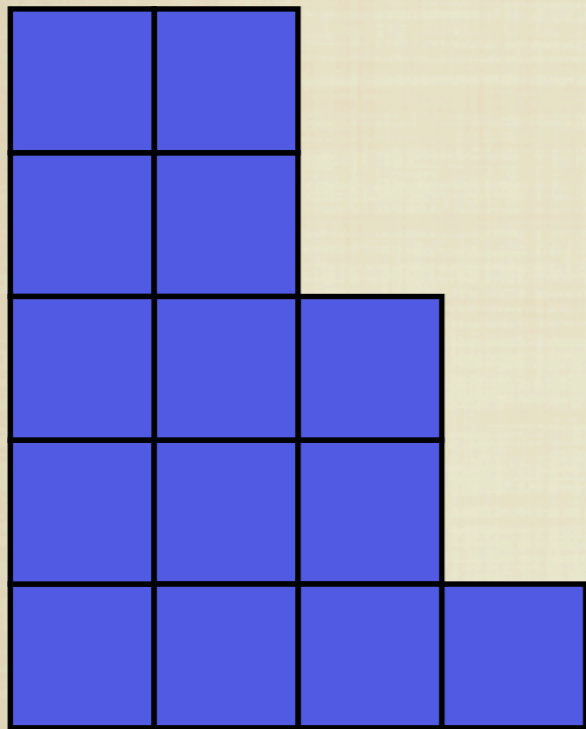


μ



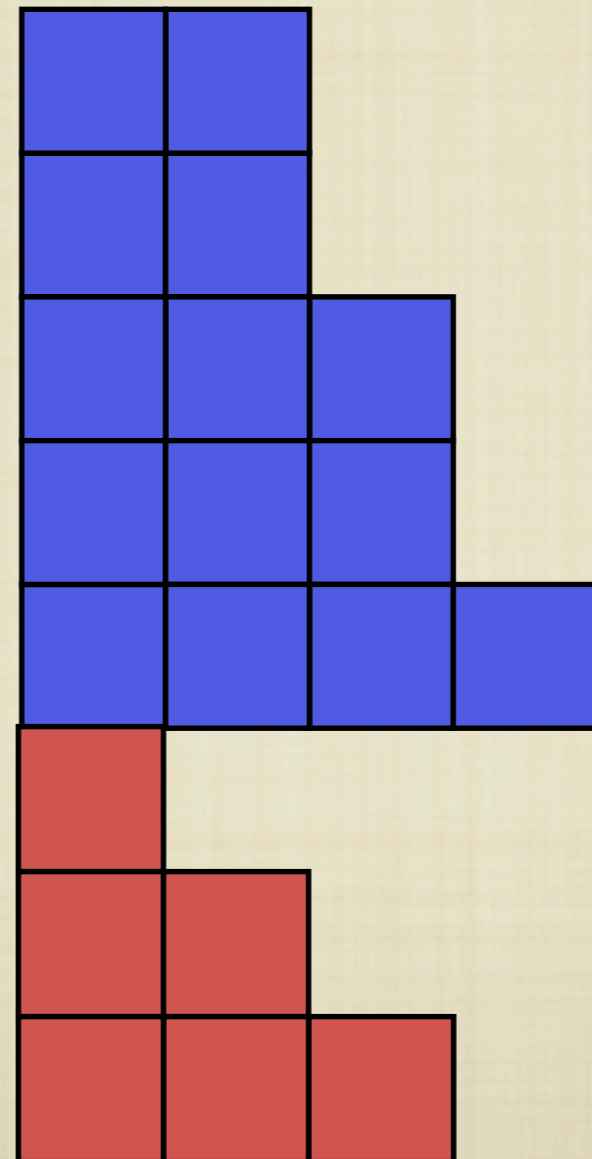
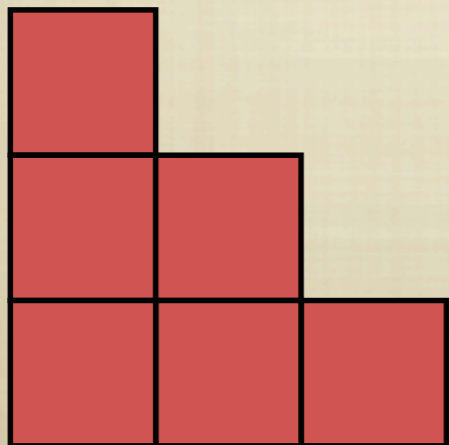
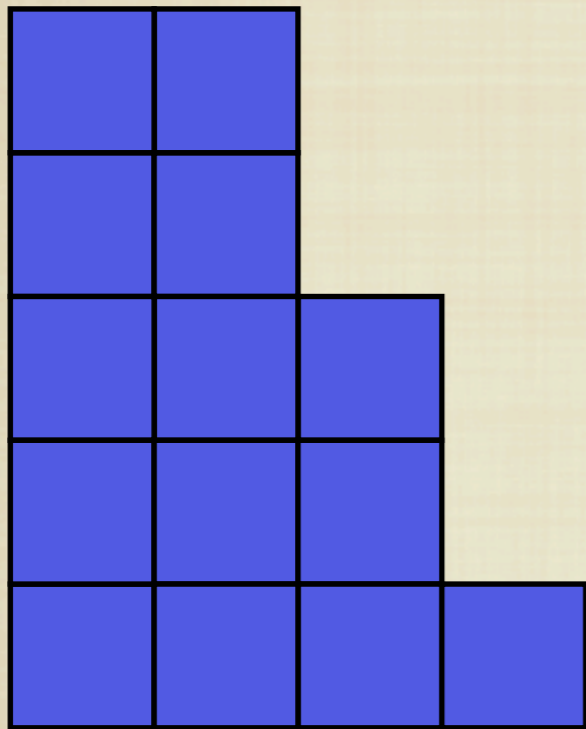
PROPERTIES OF THIS ALGEBRA

■ COMMUTATIVE AND GRADED



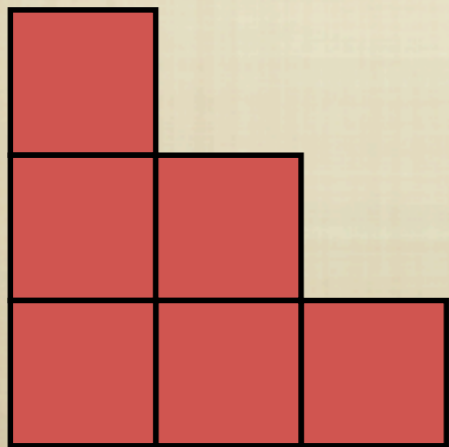
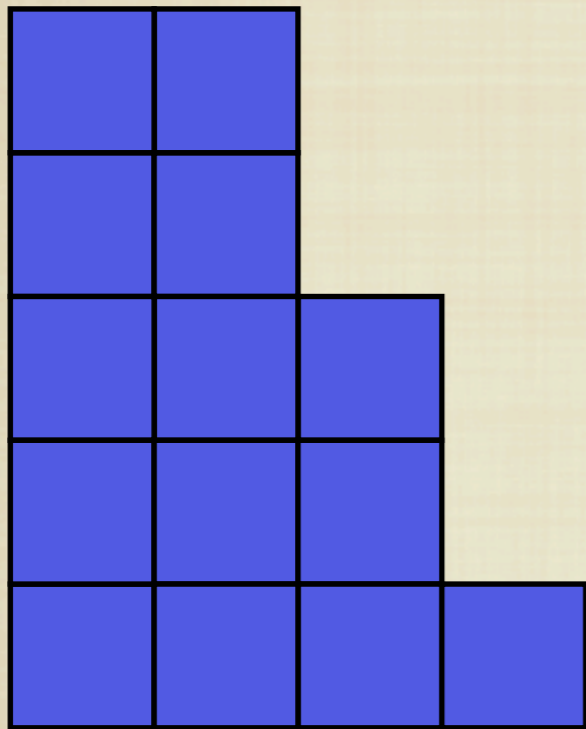
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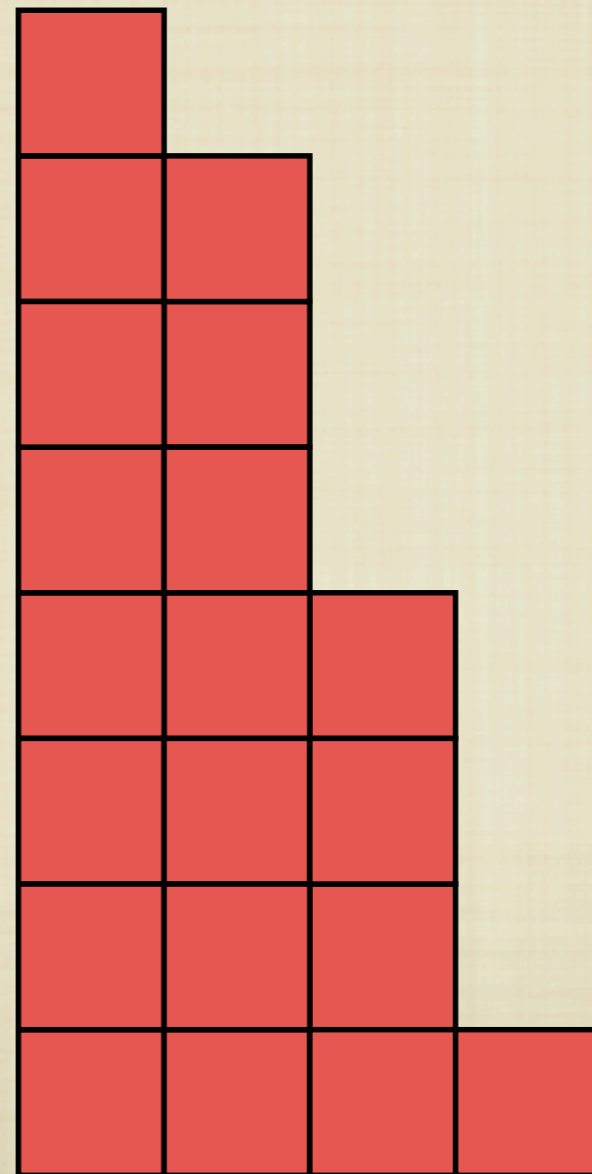


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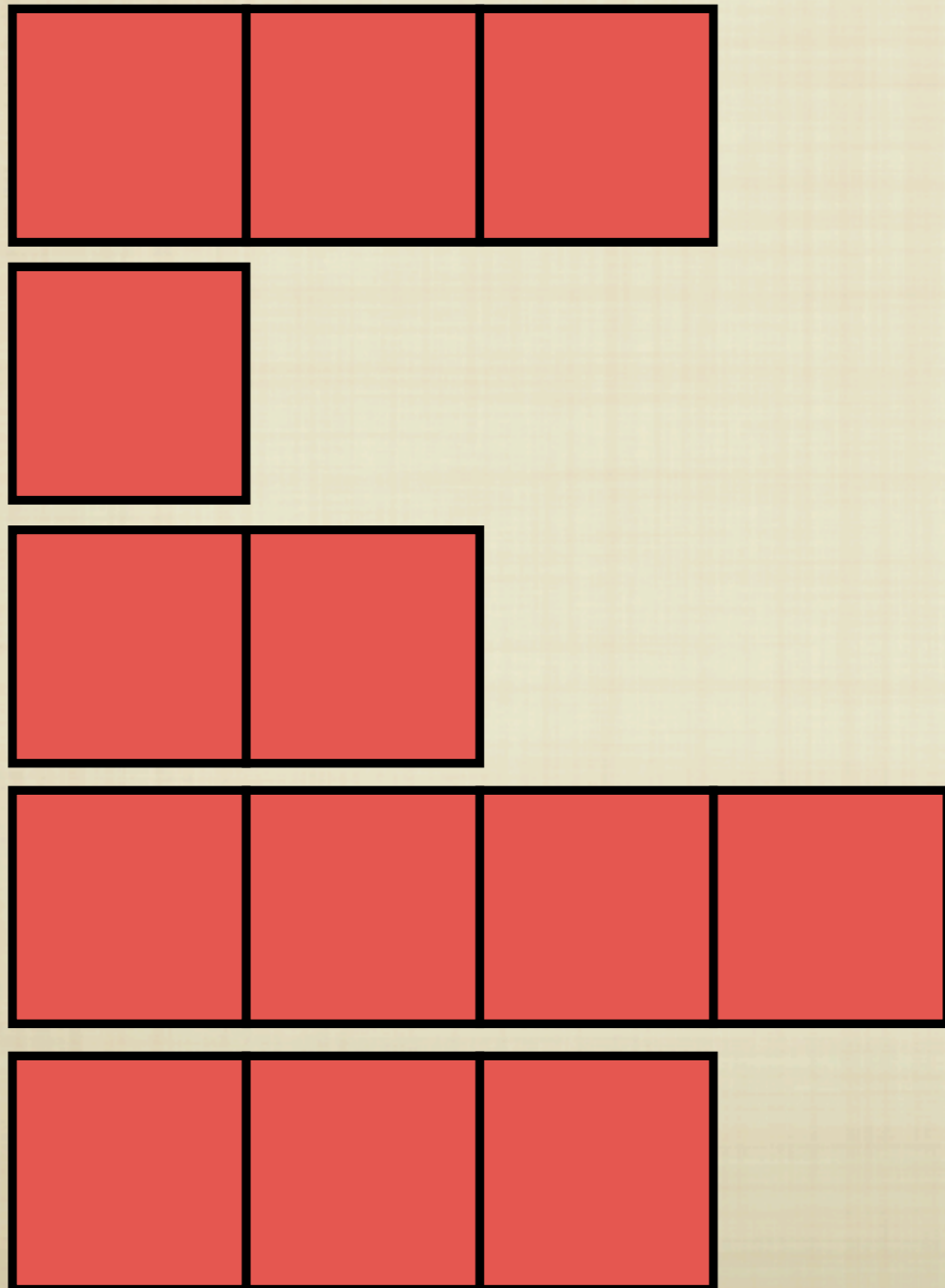


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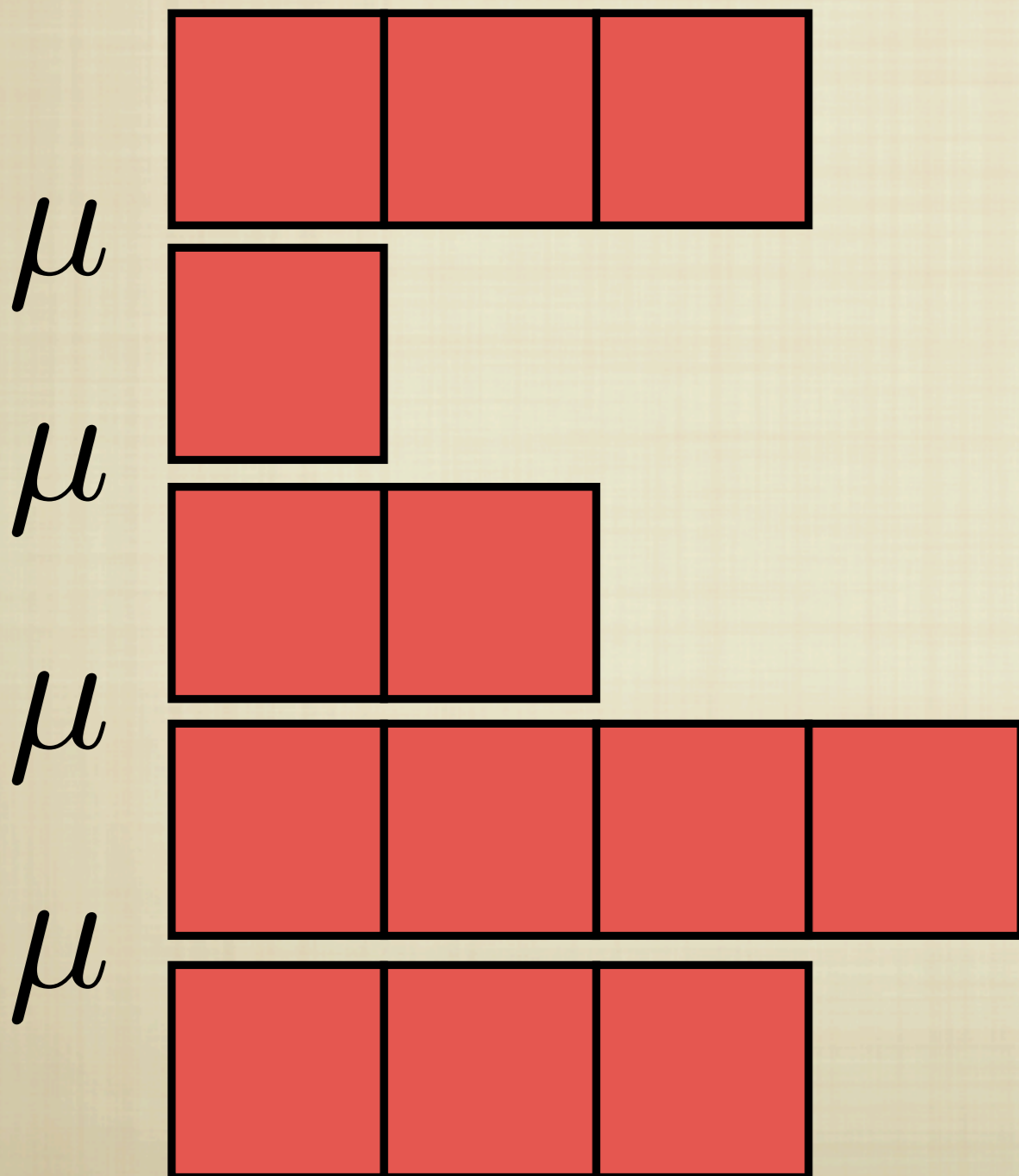
PROPERTIES OF THIS ALGEBRA

- FREELY (COMMUTATIVE) GENERATED BY BUILDING BLOCKS OF ROWS



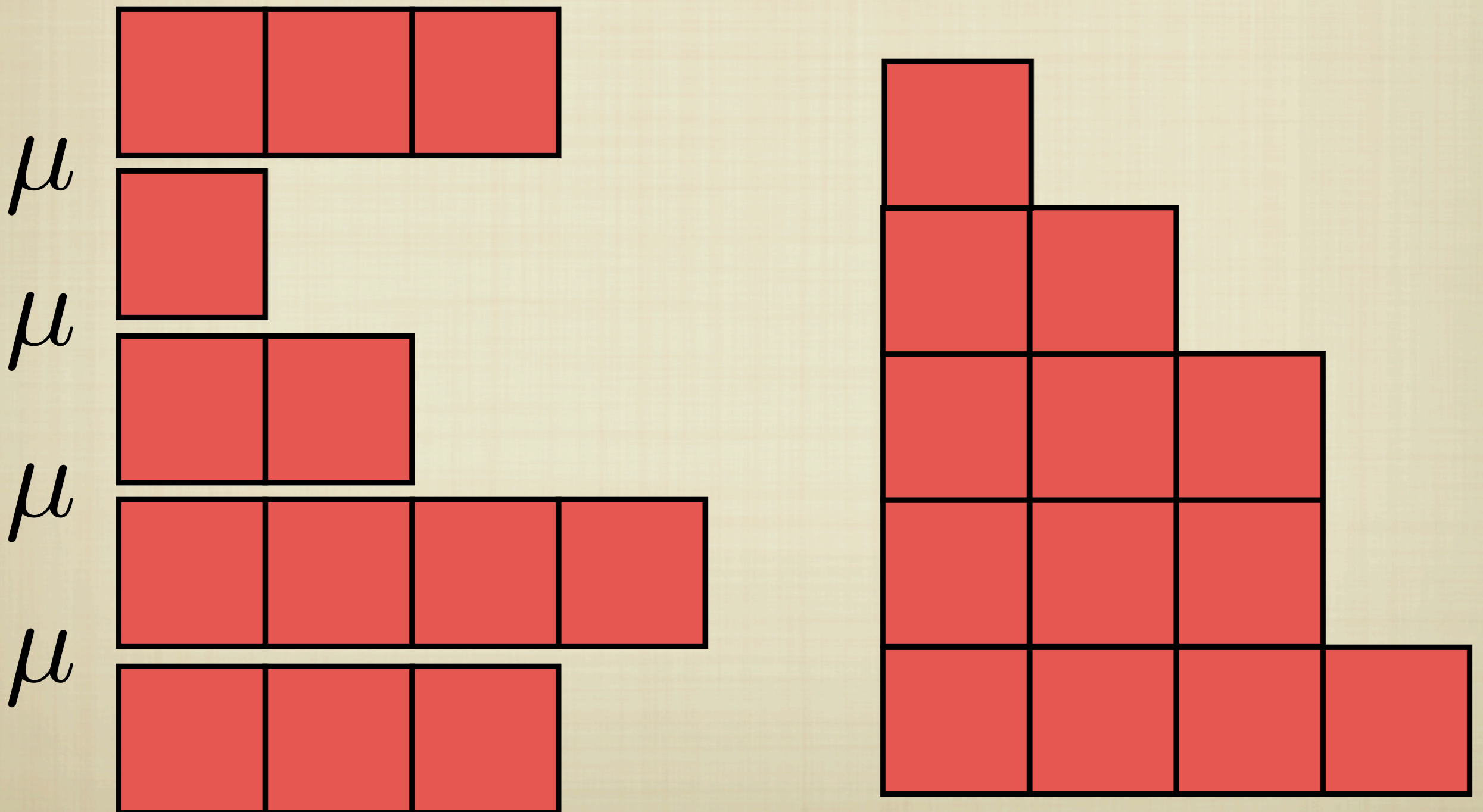
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$$\mu : \Lambda \otimes \Lambda \longrightarrow \Lambda$$

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$$\Lambda \simeq K[p_1, p_2, p_3, \dots]$$

PROPERTIES OF THIS ALGEBRA

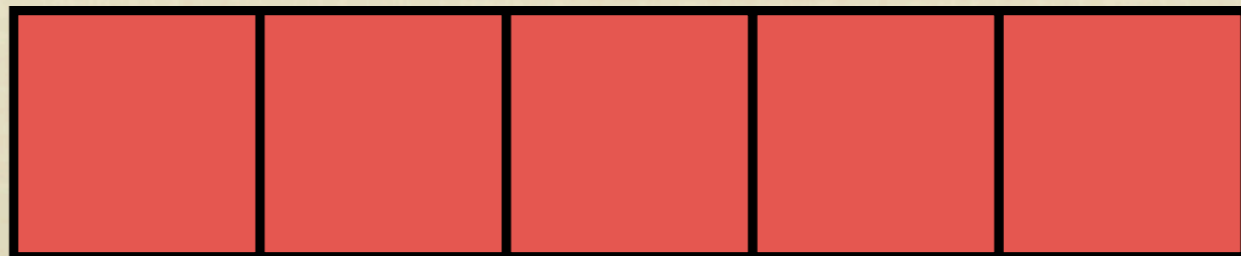
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$$\mu : \Lambda \otimes \Lambda \longrightarrow \Lambda$$

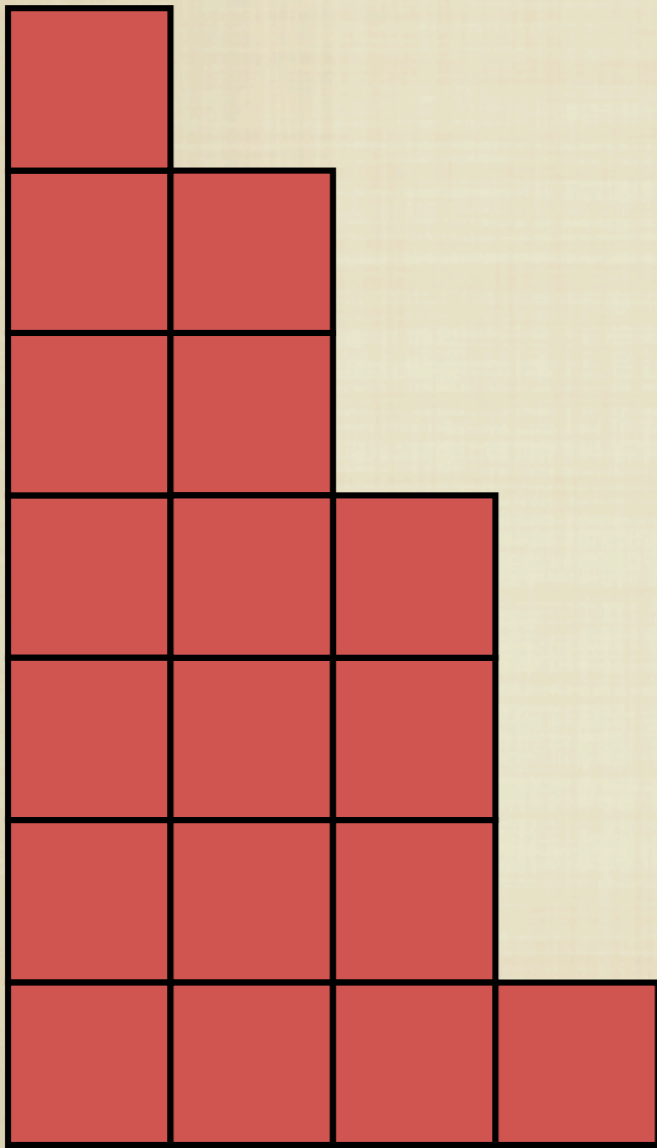
- ISOMORPHIC TO THE FREE POLYNOMIAL ALGEBRA WITH ONE GENERATOR AT EACH DEGREE

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$p_5 \leftrightarrow$

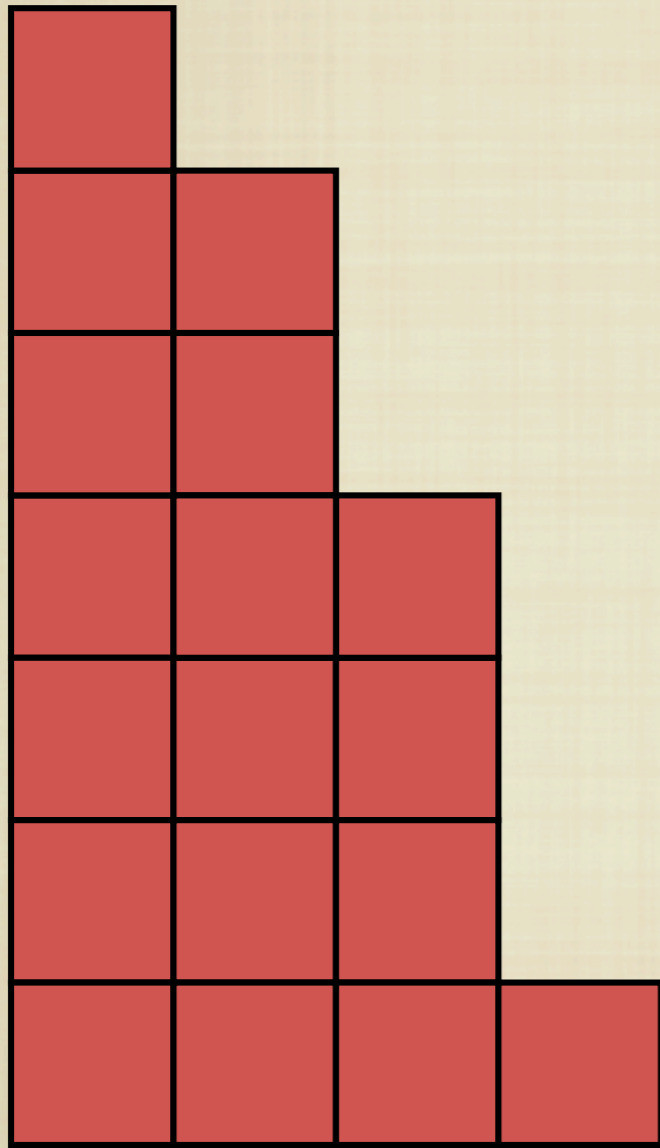


FROM COMBINATORIAL OBJECT TO ALGEBRA

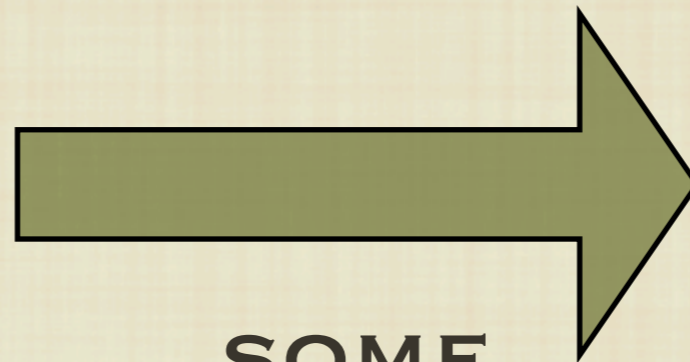


PARTITIONS

FROM COMBINATORIAL OBJECT TO ALGEBRA

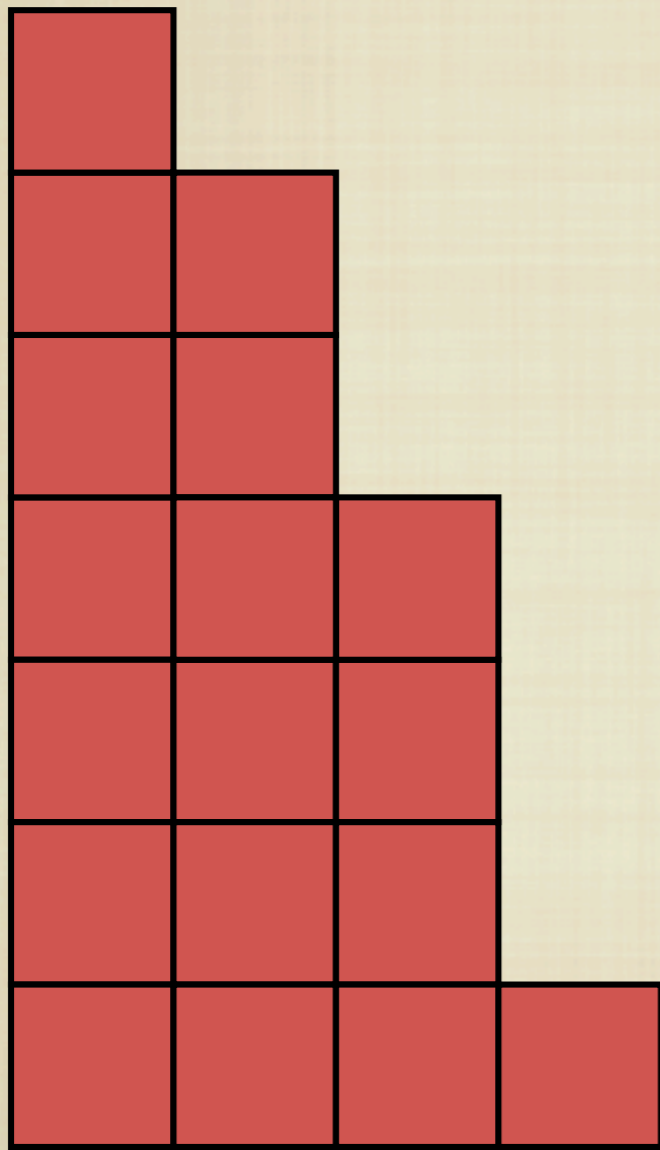


PARTITIONS

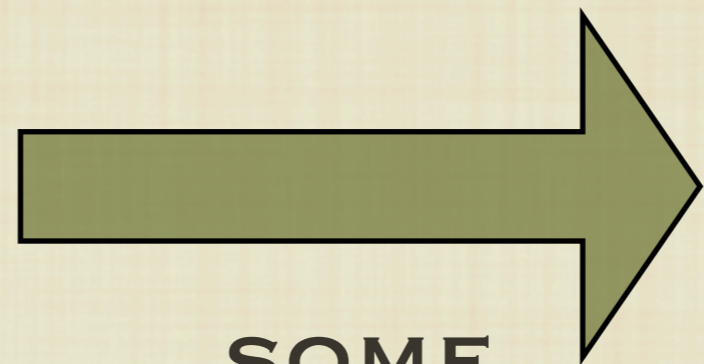


**SOME
COMMUTATIVE
PRODUCT**

FROM COMBINATORIAL OBJECT TO ALGEBRA



PARTITIONS

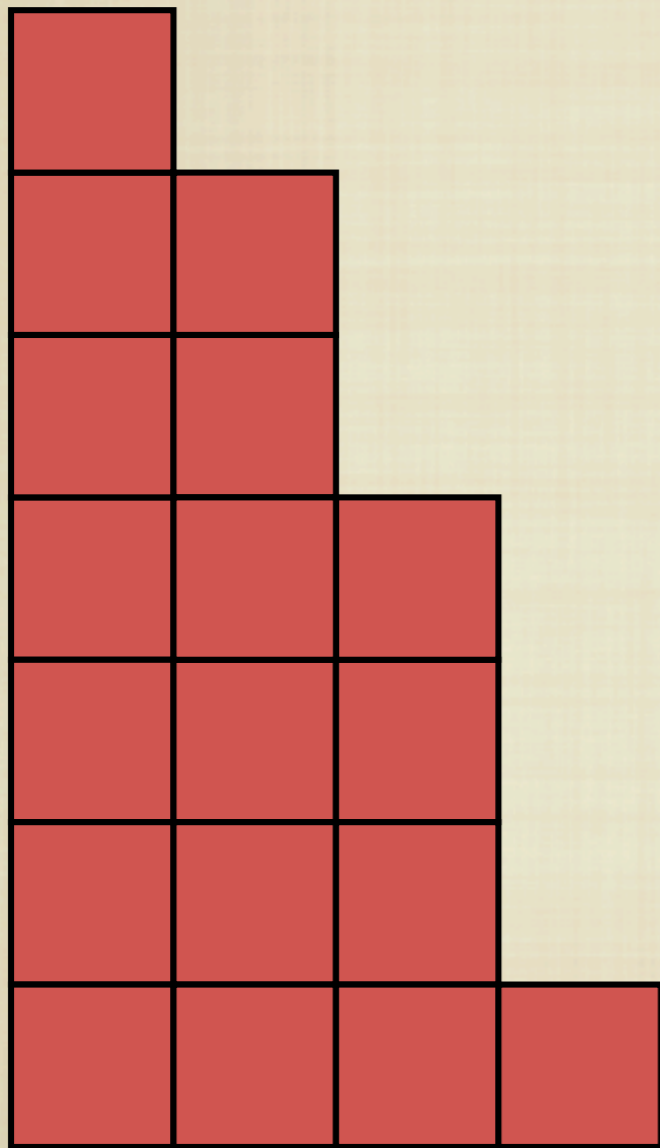


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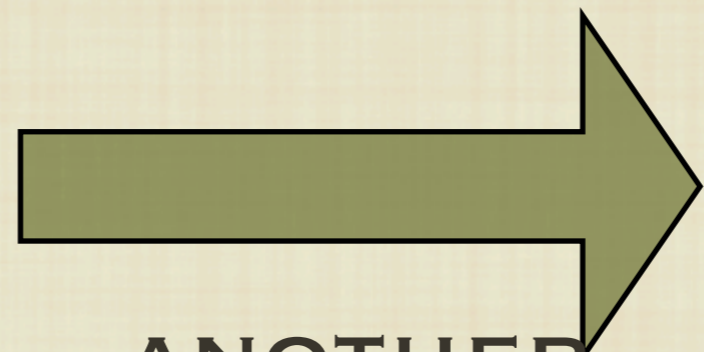
$$K[p_1, p_2, p_3, \dots]$$

ALGEBRA

FROM COMBINATORIAL OBJECT TO ALGEBRA



PARTITIONS

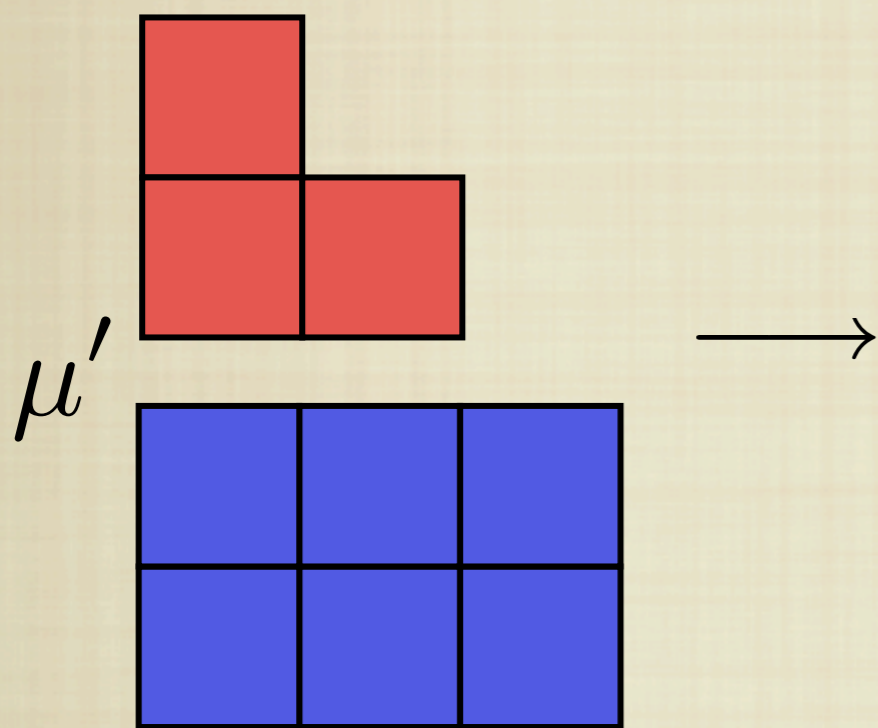


**ANOTHER
COMMUTATIVE
PRODUCT**

$$K[p_1, p_2, p_3, \dots]$$

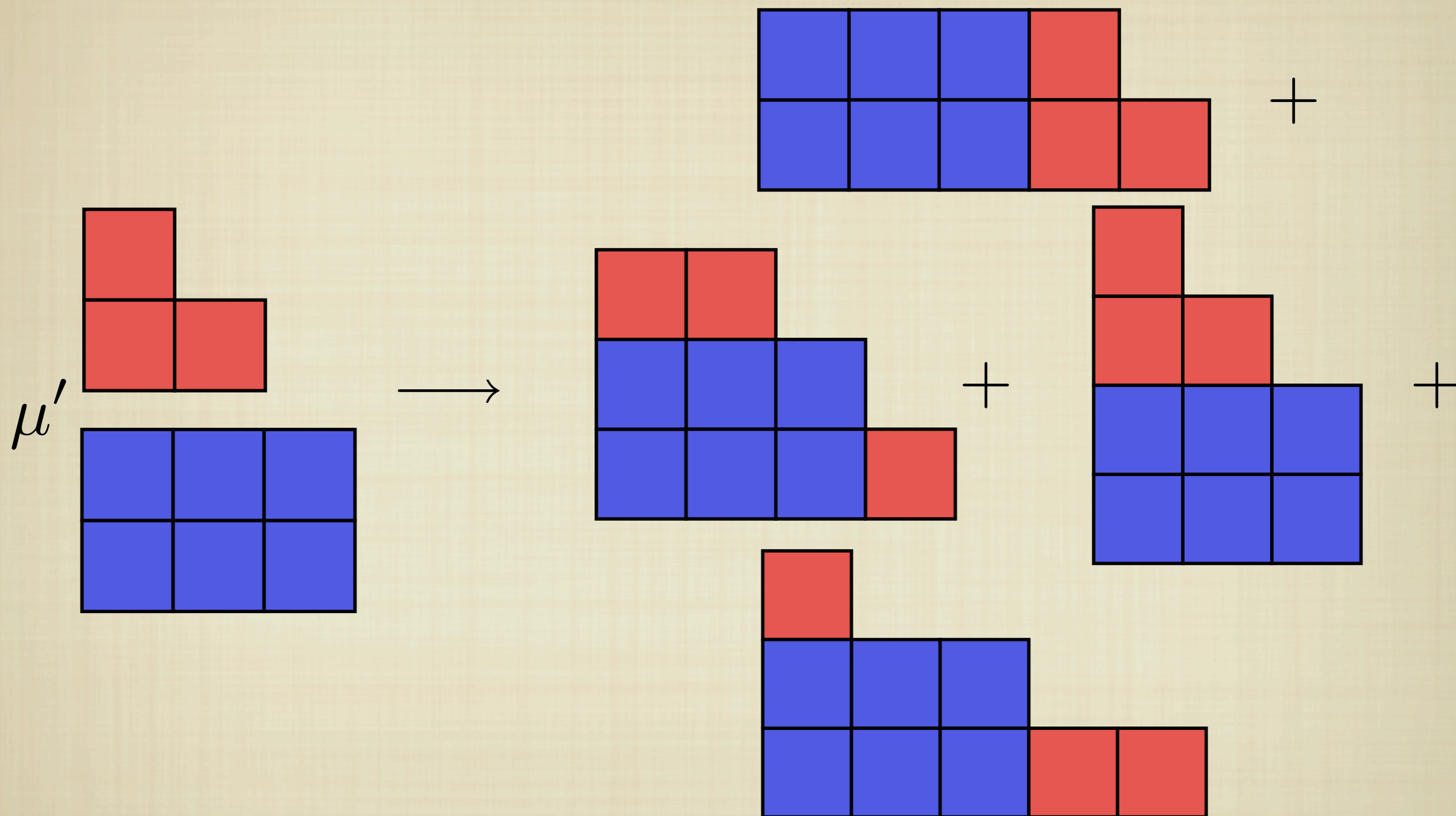
ALGEBRA

A DIFFERENT COMMUTATIVE PRODUCT



AND YET THE ALGEBRA WHICH ARISES IS
ISOMORPHIC TO $K[p_1, p_2, p_3, \dots]$

A DIFFERENT COMMUTATIVE PRODUCT



AND YET THE ALGEBRA WHICH ARISES IS ISOMORPHIC TO $K[p_1, p_2, p_3, \dots]$

THIS ALGEBRA IS SPECIAL



THIS ALGEBRA IS SPECIAL

- JUST ABOUT ANY ALGEBRA WITH BASIS INDEXED BY PARTITIONS IS ISOMORPHIC: E.G. SYMMETRIC FUNCTIONS, REPRESENTATION RING OF SYMMETRIC GROUP, RING OF CHARACTERS OF GLN MODULES, COHOMOLOGY RINGS OF THE GRASSMANNIANS, ETC.



THIS ALGEBRA IS SPECIAL

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- HAS A HOPF ALGEBRA STRUCTURE PRODUCT + COPRODUCT + ANTIPODE WHICH ALL INTERACT NICELY WITH EACH OTHER



WHAT IS A HOPF ALGEBRA?

WHAT IS A HOPF ALGEBRA?

START WITH A BIALGEBRA

WHAT IS A HOPF ALGEBRA?

START WITH A BIALGEBRA

PRODUCT

$$\mu : H \otimes H \rightarrow H$$

COPRODUCT

$$\Delta : H \rightarrow H \otimes H$$

WHAT IS A HOPF ALGEBRA?

START WITH A BIALGEBRA

PRODUCT

$$\mu : H \otimes H \rightarrow H$$

COPRODUCT

$$\Delta : H \rightarrow H \otimes H$$

WITH UNIT

$$\eta : K \rightarrow H$$

AND COUNIT

$$\varepsilon : H \rightarrow K$$

WHAT IS A HOPF ALGEBRA?

START WITH A BIALGEBRA

PRODUCT

$$\mu : H \otimes H \rightarrow H$$

COPRODUCT

$$\Delta : H \rightarrow H \otimes H$$

WITH UNIT

$$\eta : K \rightarrow H$$

AND COUNIT

$$\varepsilon : H \rightarrow K$$

AND AN ANTIPODE MAP

$$S : H \rightarrow H$$

WHAT IS A HOPF ALGEBRA?

START WITH A BIALGEBRA

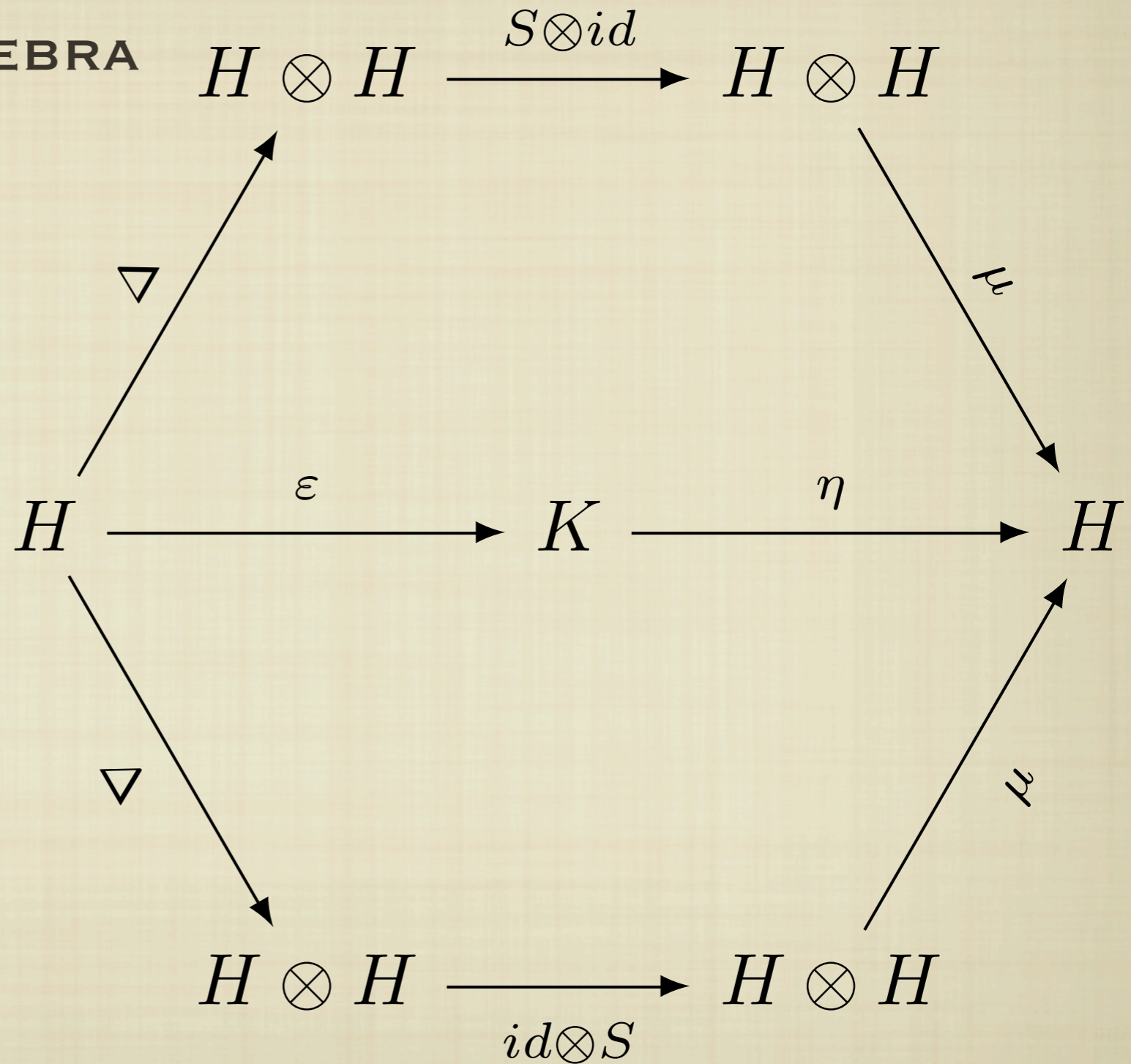
$$\mu : H \otimes H \rightarrow H$$

$$\Delta : H \rightarrow H \otimes H$$

$$\eta : K \rightarrow H$$

$$\varepsilon : H \rightarrow K$$

$$S : H \rightarrow H$$



THIS DIAGRAM COMMUTES

WHAT IS SO GOOD ABOUT A HOPF ALGEBRA?

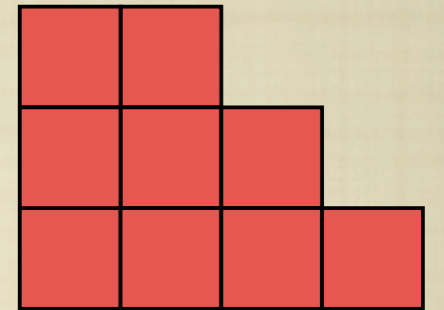
- **THE GRADED KIND ASSOCIATED WITH COMBINATORIAL OBJECTS HAVE LOTS OF STRUCTURE**
- **THERE SEEMS TO BE JUST “ONE” GRADED COMBINATORIAL HOPF ALGEBRA FOR EACH TYPE OF COMBINATORIAL OBJECT**
- **MANY OF THE COMBINATORIAL OPERATIONS ARE REFLECTED IN THE ALGEBRAIC STRUCTURE**

WHAT IS SO GOOD ABOUT A HOPF ALGEBRA?

- THERE IS “USUALLY” AN INTERNAL PRODUCT STRUCTURE AND ON SOME BASES OF SOME ALGEBRAS THIS IS HARD (BUT IMPORTANT) TO EXPLAIN
- AGUIAR-BERGERON-SOTTILE SAYS A COMBINATORIAL HOPF ALGEBRA IS A GRADED CONNECTED HOPF ALGEBRA WITH A MULTIPLICATIVE LINEAR FUNCTION.

CHA'S IN THE MID-90S

THE SYMMETRIC FUNCTIONS ARE
COMMUTATIVE AND GENERATED BY ONE
ELEMENT AT EACH DEGREE

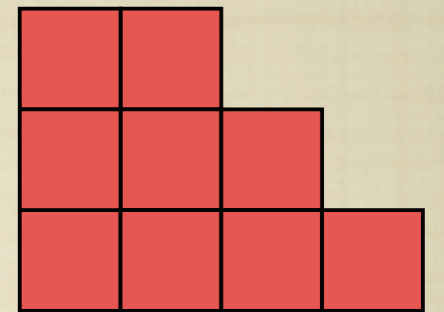


PARTITIONS

$$K[p_1, p_2, p_3, \dots]$$

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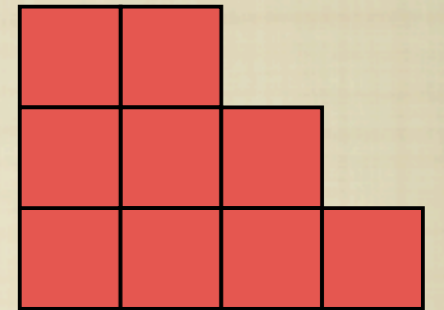
PARTITIONS

$$K[p_1, p_2, p_3, \dots]$$

NON-COMMUTATIVE SYMMETRIC FUNCTIONS
WILL BE NON-COMMUTATIVE AND GENERATED
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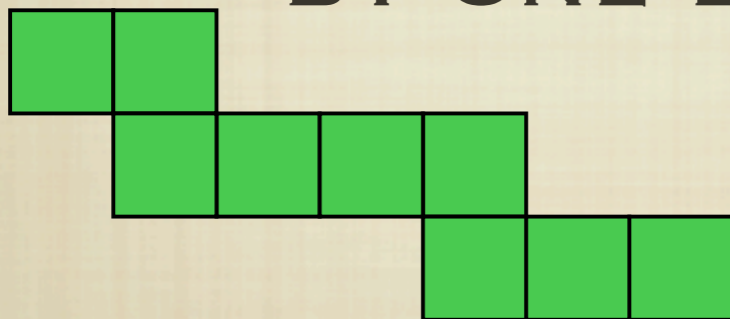
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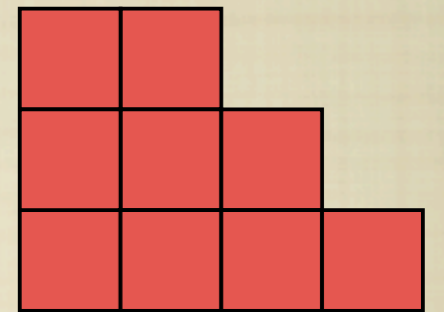
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COMPOSITIONS

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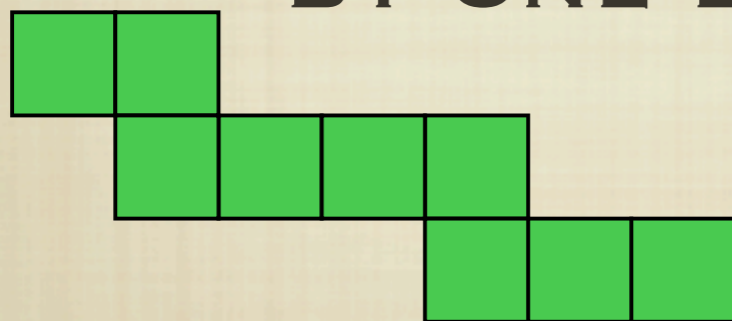
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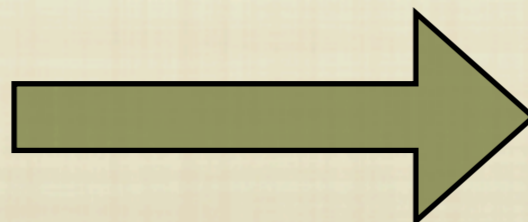
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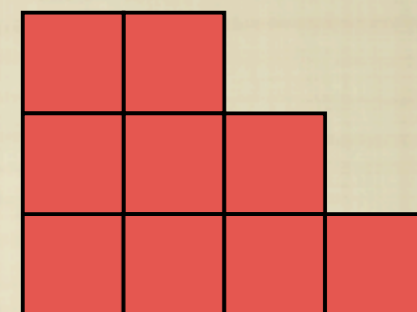
COMPOSITIONS



CONCATENATION
PRODUCT

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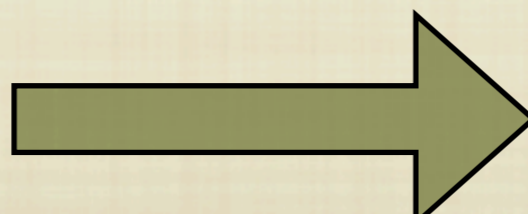
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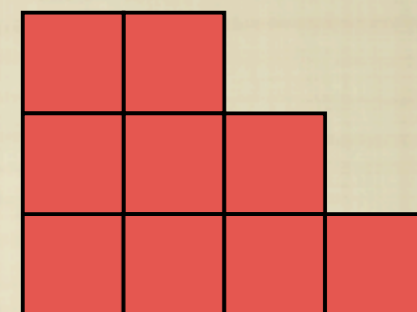


CONCATENATION
PRODUCT

$$K \langle p_1, p_2, p_3, \dots \rangle$$

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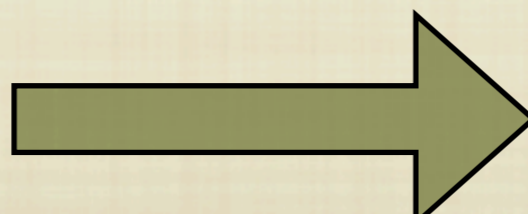
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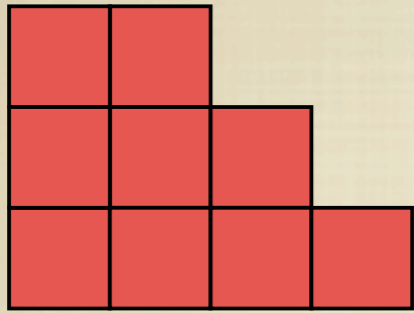


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I. Gelfand, D. Krob, A. Lascoux, B.
Leclerc, V. Retakh, and J.-Y. Thibon

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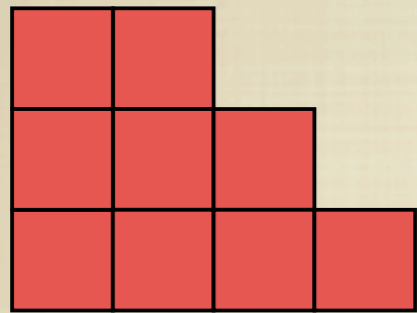


PARTITIONS

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Sym

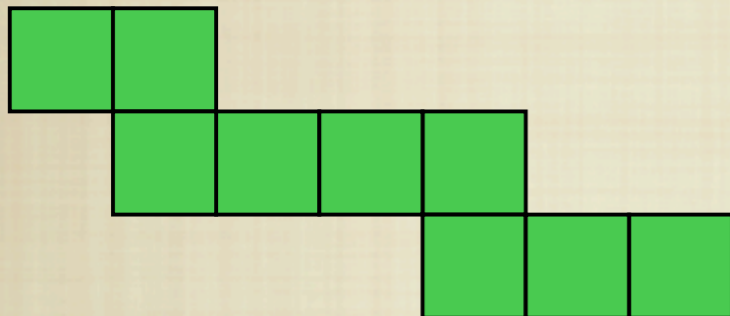
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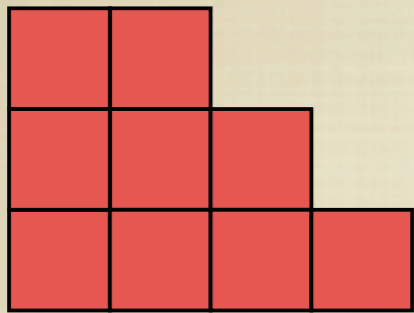
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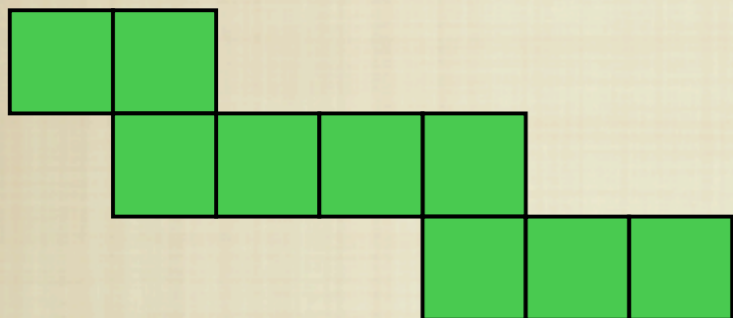
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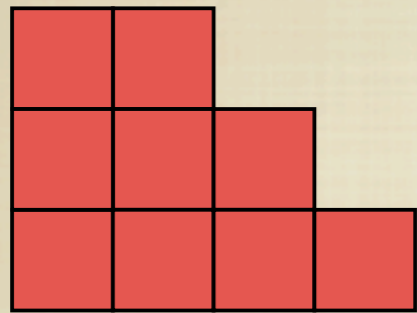


COMPOSITIONS

$$K\langle p_1, p_2, p_3, \dots \rangle$$

GKLLRT ('95)

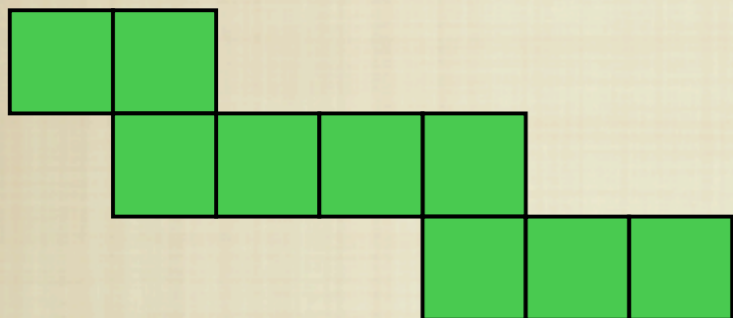
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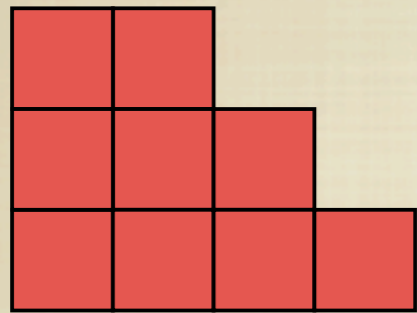
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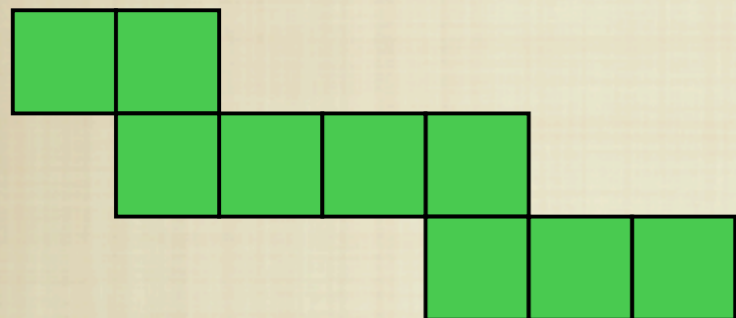
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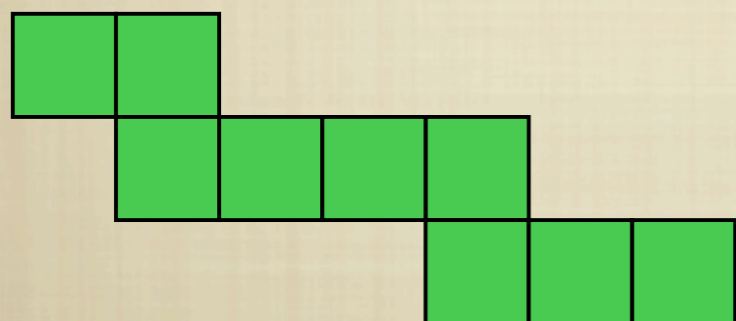


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$$K\langle p_1, p_2, p_3, \dots \rangle$$

NSym

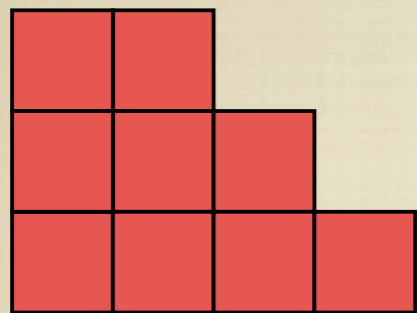
GKLLRT ('95)



COMPOSITIONS

QSym

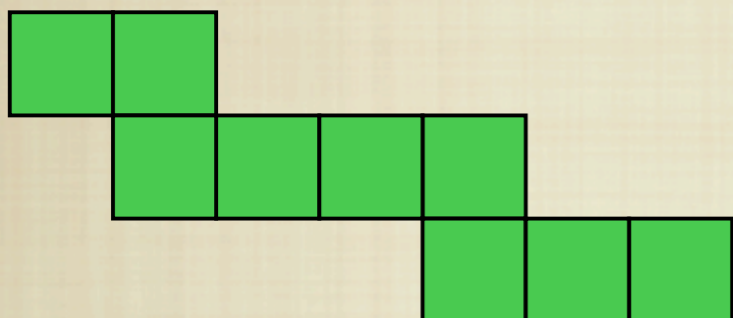
CHA'S IN THE MID-90S



PARTITIONS

$$K[p_1, p_2, p_3, \dots]$$

Sym

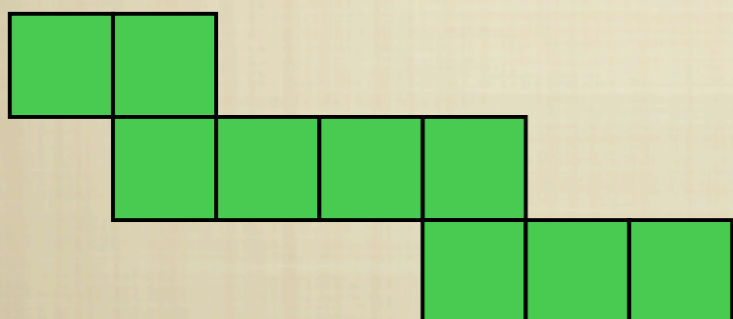


COMPOSITIONS

$$K\langle p_1, p_2, p_3, \dots \rangle$$

NSym

GKLLRT ('95)

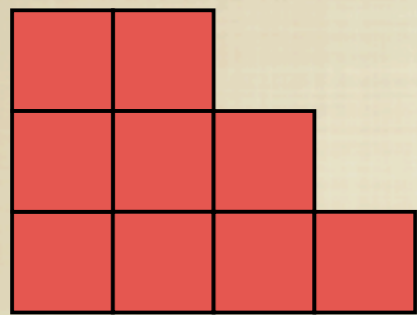


COMPOSITIONS

COMMUTATIVE ALGEBRA
OF QUASI-SYMMETRIC FUNCTIONS

QSym

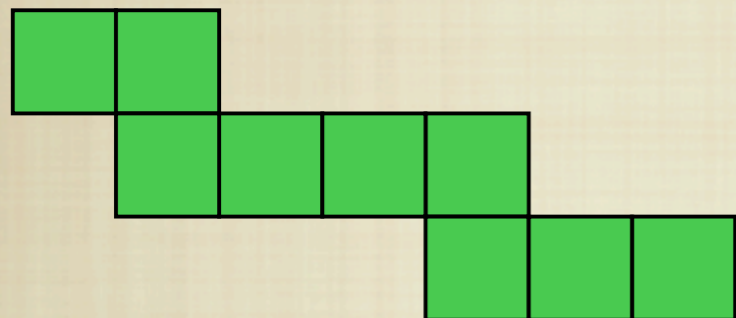
CHA'S IN THE MID-90S



PARTITIONS

$$K[p_1, p_2, p_3, \dots]$$

Sym

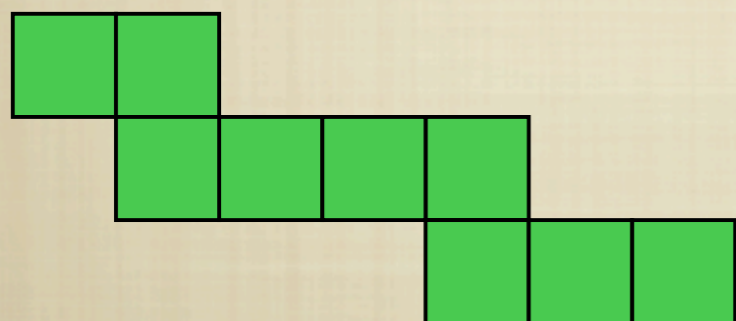


COMPOSITIONS

$$K\langle p_1, p_2, p_3, \dots \rangle$$

NSym

GKLLRT ('95)



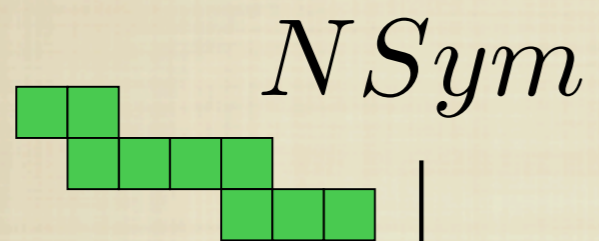
COMPOSITIONS

COMMUTATIVE ALGEBRA
OF QUASI-SYMMETRIC FUNCTIONS

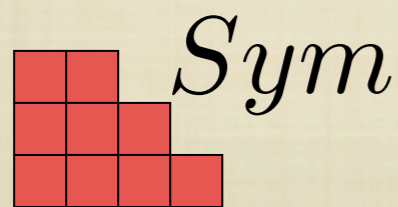
QSym

Gessel ('84)

CHA'S IN THE MID-90S

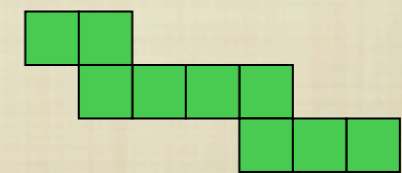


FQSym

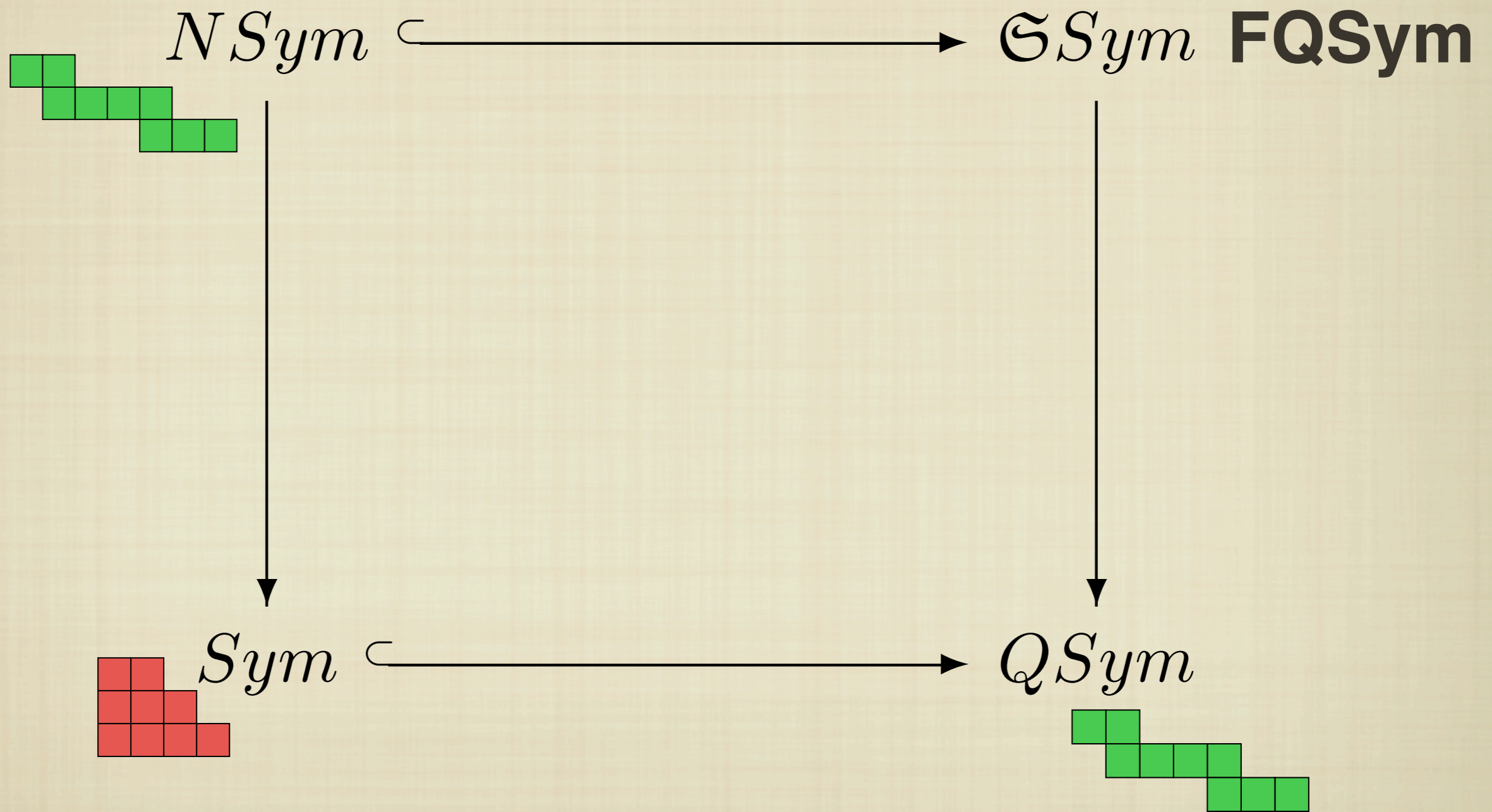


\subset

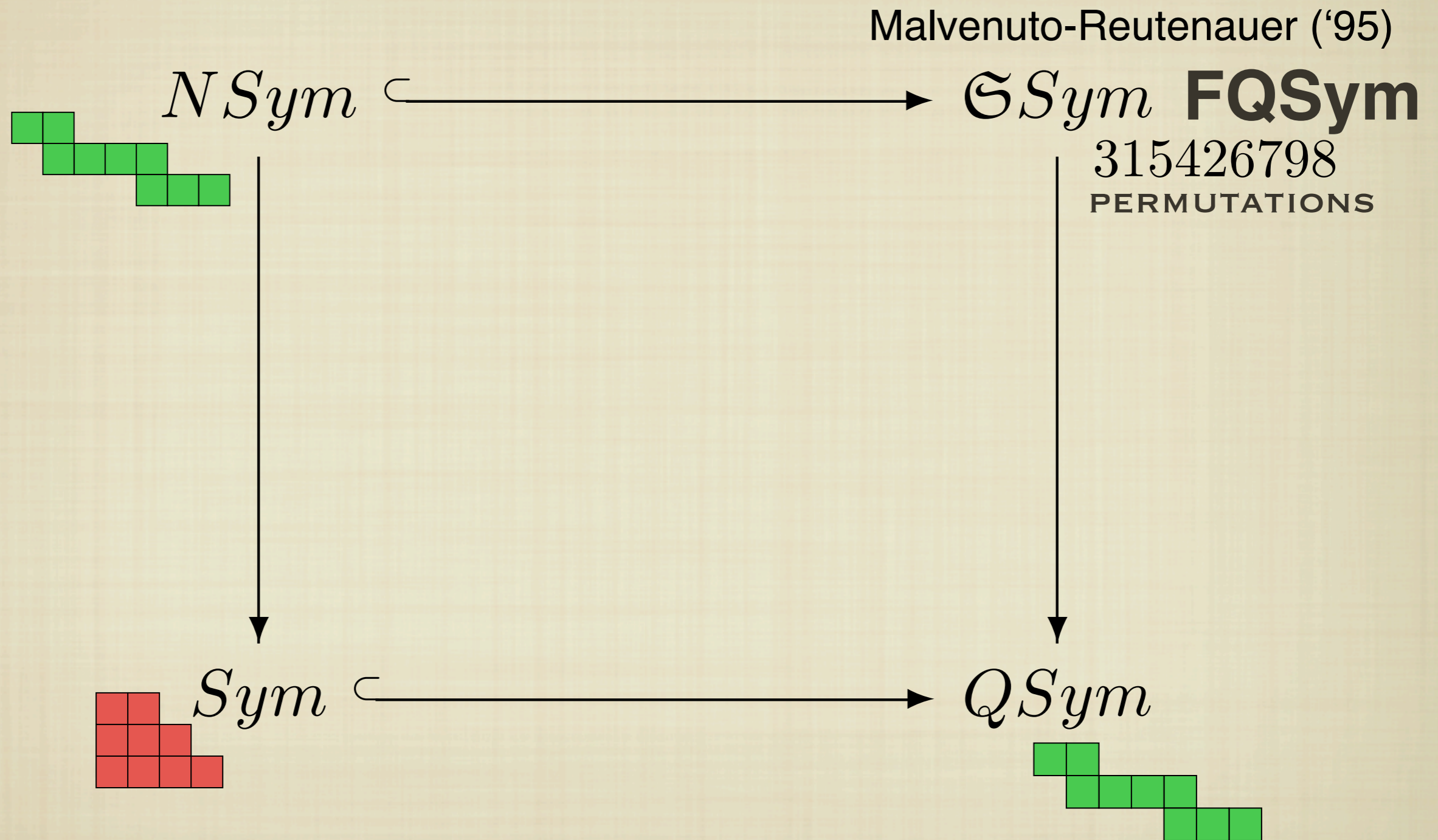
QSym



CHA'S IN THE MID-90S



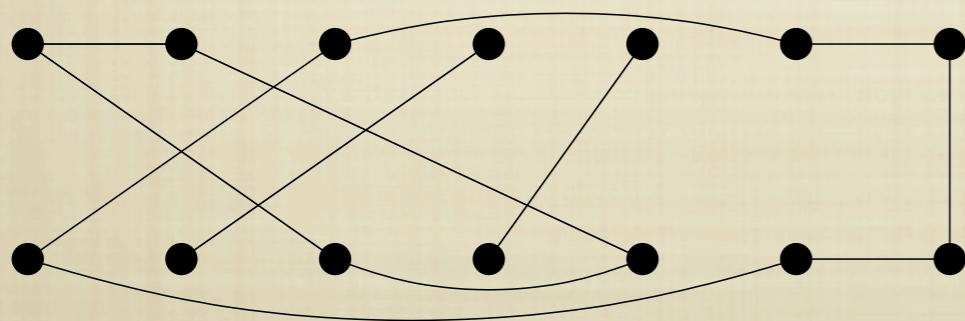
CHA'S IN THE MID-90S



CHA'S IN THE 90S+

CHA'S IN THE 90S+

UNIFORM BLOCK PERMUTATIONS



AGUIAR-ORELLANA '05

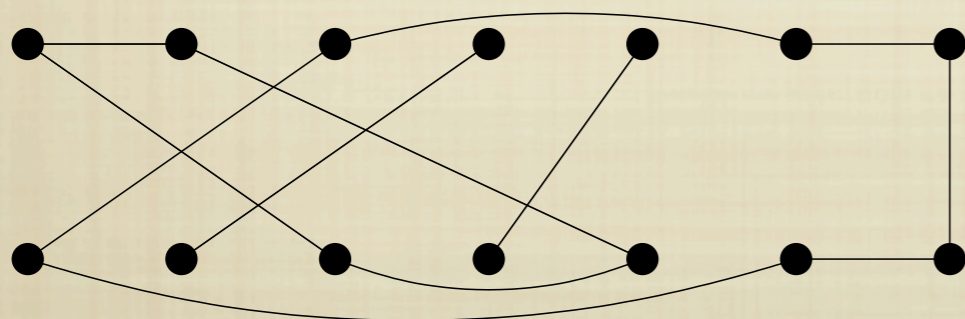
CHA'S IN THE 90S+

TABLEAUX

7				
6	8	11		
4	5	9	12	14
1	2	3	10	13

POIRIER-REUTENAUER '95

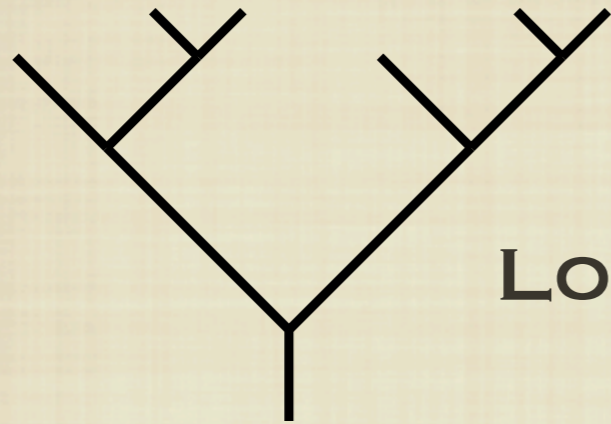
UNIFORM BLOCK PERMUTATIONS



AGUIAR-ORELLANA '05

CHA'S IN THE 90S+

BINARY TREES



CONNES-KREIMER '98

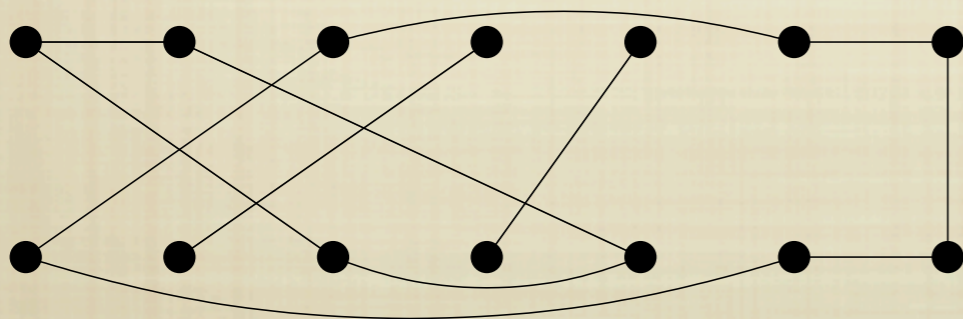
GROSSMAN-LARSON '89

LODAY-RONCO '98

TABLEAUX

7				
6	8	11		
4	5	9	12	14
1	2	3	10	13

UNIFORM BLOCK PERMUTATIONS



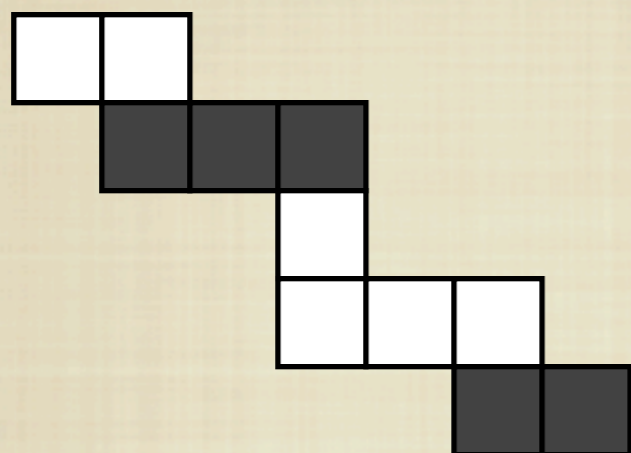
POIRIER-REUTENAUER '95

AGUIAR-ORELLANA '05

CHA'S IN THE 90S+

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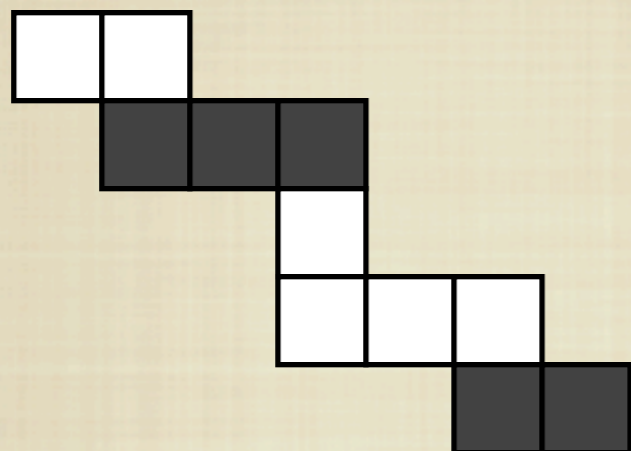
SIGNED COMPOSITIONS



MANTACI-REUTENAUER '95

CHA'S IN THE 90S+

SIGNED COMPOSITIONS



MANTACI-REUTENAUER '95

PACKED WORDS SET COMPOSITIONS

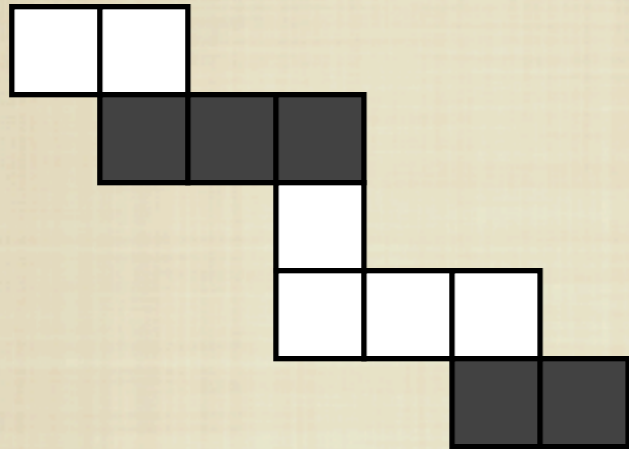
$(\{5, 6\}, \{2, 8\}, \{1, 3, 4\}, \{7\})$

1133212331

HIVERT '99

CHA'S IN THE 90S+

SIGNED COMPOSITIONS



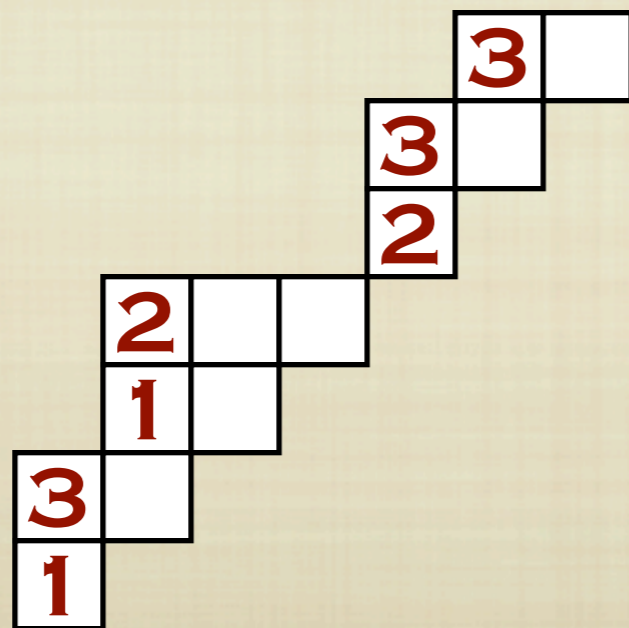
MANTACI-REUTENAUER '95

PACKED WORDS
SET COMPOSITIONS
($\{5, 6\}, \{2, 8\}, \{1, 3, 4\}, \{7\}$)

1133212331

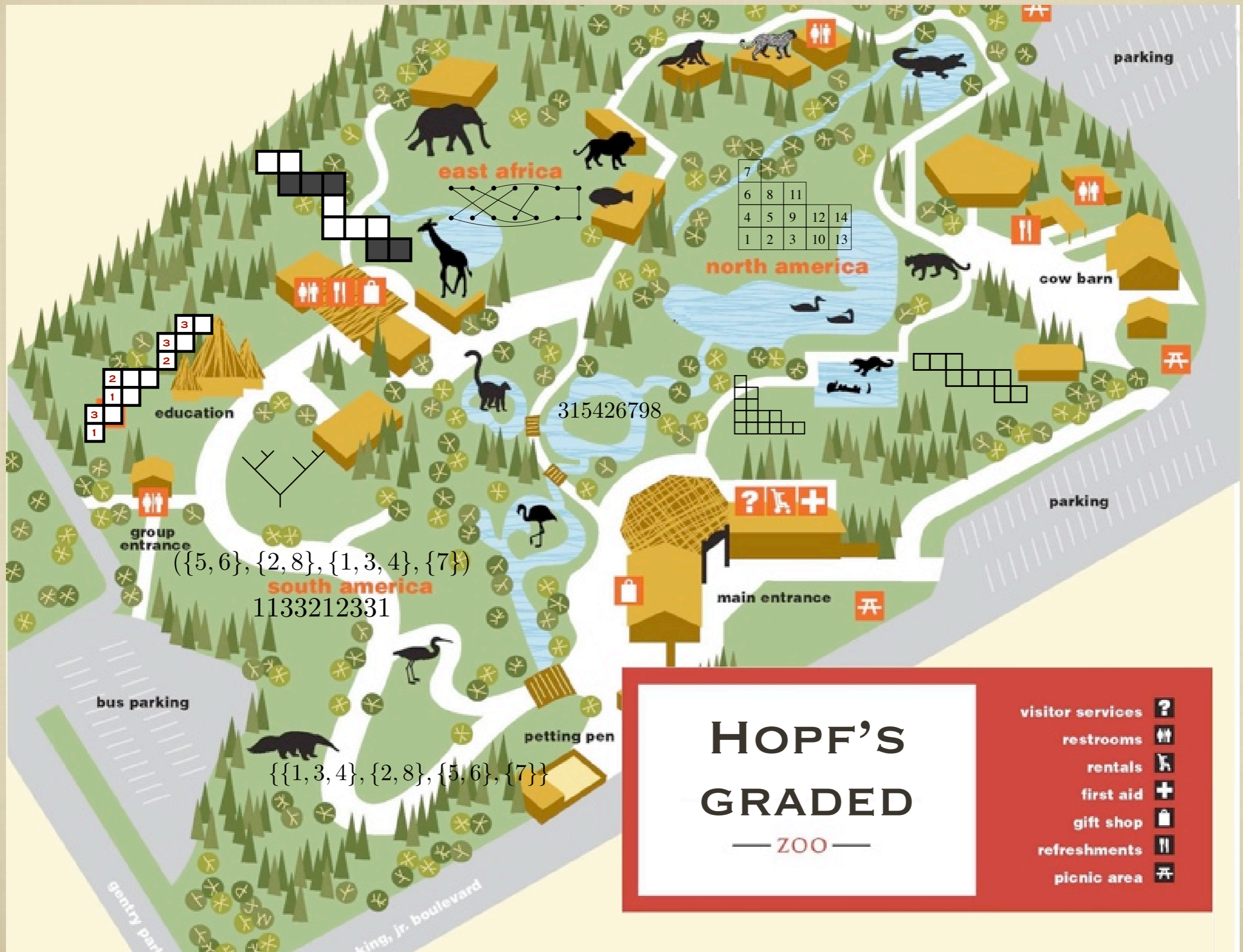
PARKING FUNCTIONS

HIVERT '99



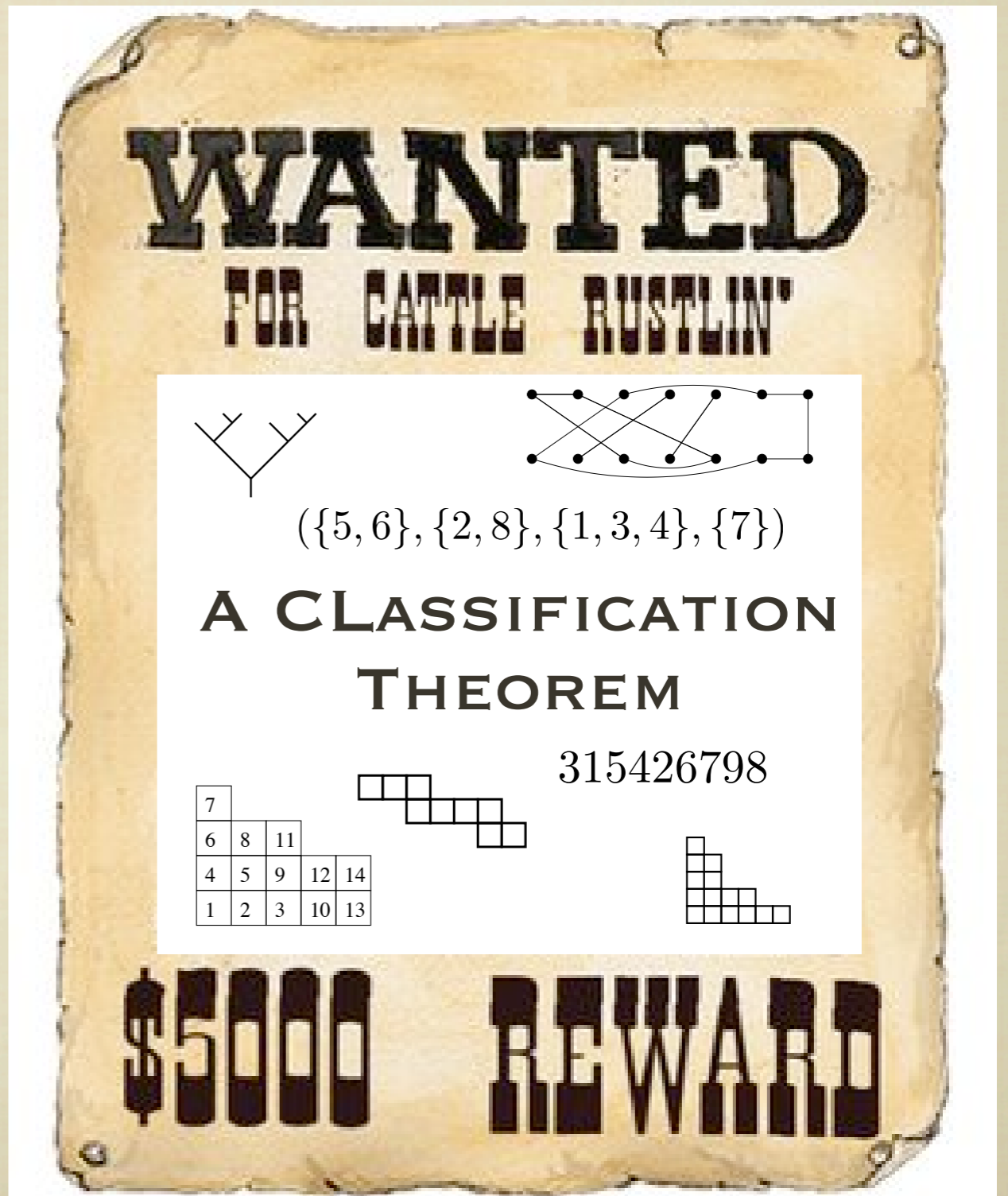
NOVELLI-THIBON '04

A ZOO OF HOPF ALGBERAS



A GOAL OF RESEARCH ON GRADED HOPF ALGEBRAS?

- ONE GOAL OF DETERMINING THE HOPF ALGEBRAS ASSOCIATED TO COMBINATORIAL OBJECTS IS TO TRY AND ARRIVE AT A CLASSIFICATION THEOREM FOR GRADED COMBINATORIAL HOPF ALGEBRAS

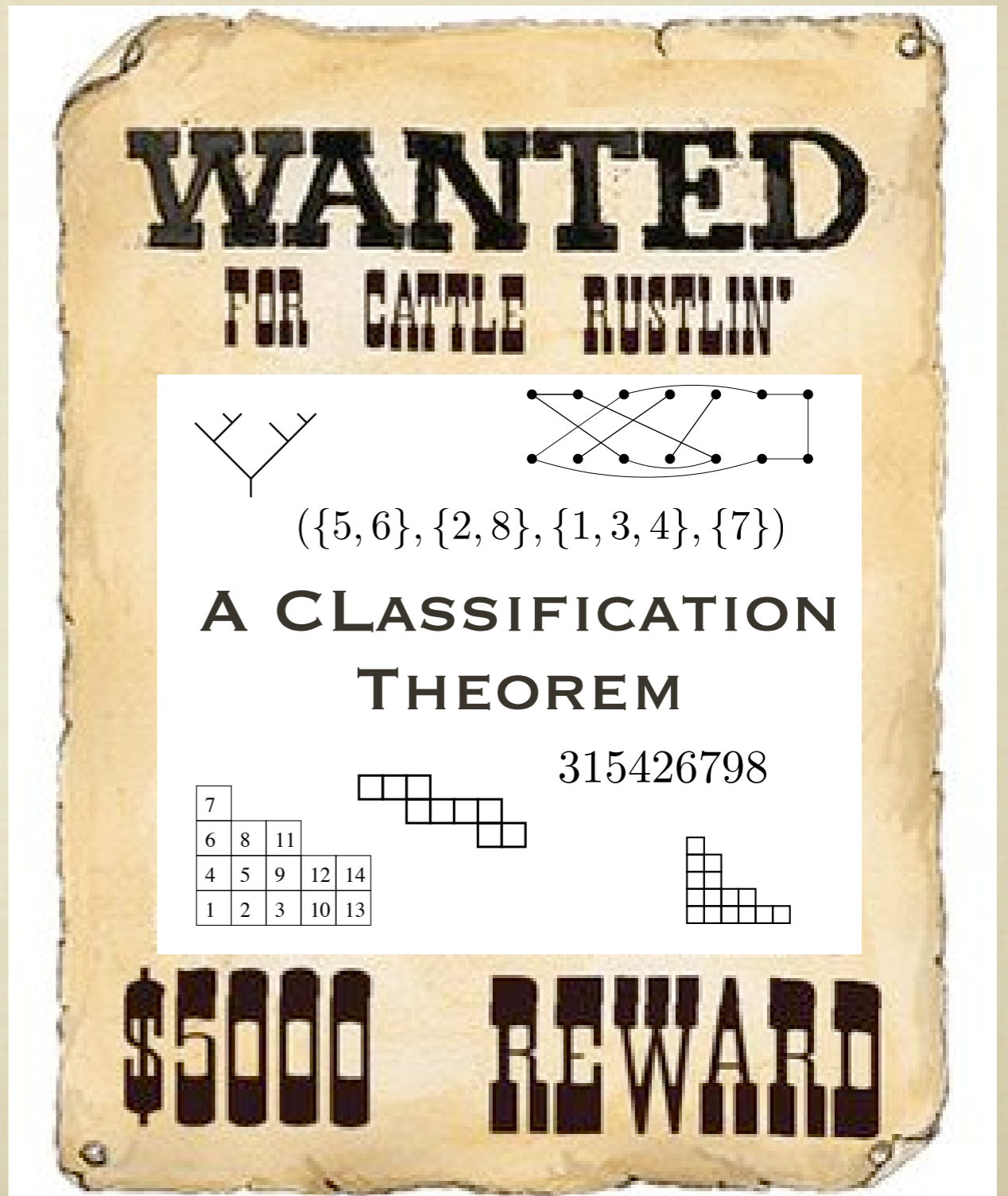


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AGUIAR

SPECIES \longleftrightarrow CHAS



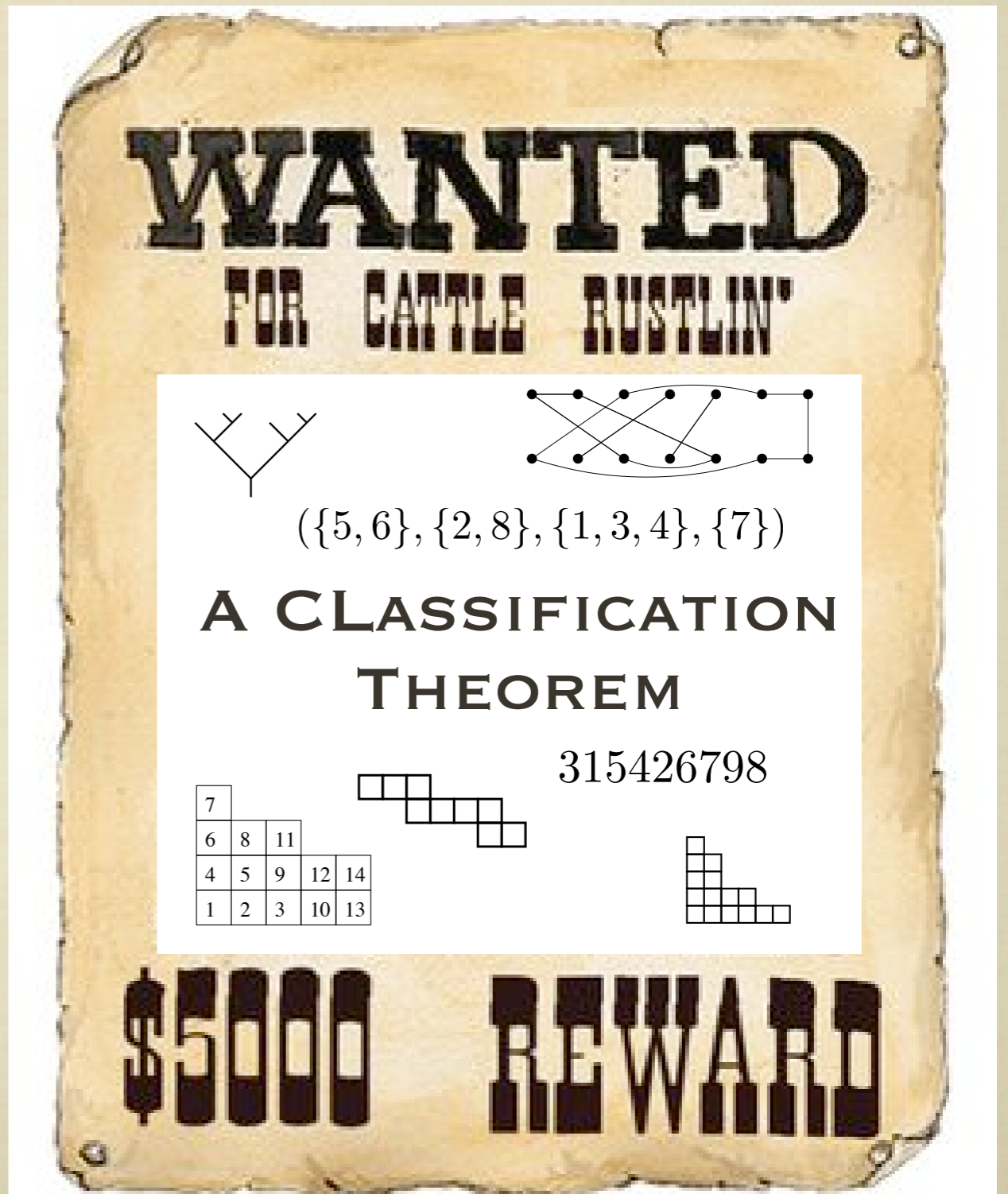
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AGUIAR

SPECIES \leftrightarrow CHAS

(N) BERGERON-LAM-LI
REP THEORY



HOPF ALGEBRAS OF SET PARTITIONS/COMPOSITIONS



HOPF ALGEBRAS OF SET PARTITIONS/COMPOSITIONS

$(\{5, 6\}, \{2, 8\}, \{1, 3, 4\}, \{7\})$

$\{\{1, 3, 4\}, \{2, 8\}, \{5, 6\}, \{7\}\}$

HOPF ALGEBRAS OF SET PARTITIONS/COMPOSITIONS

$(\{5, 6\}, \{2, 8\}, \{1, 3, 4\}, \{7\})$

Set composition definition:

$$(S_1, S_2, \dots, S_k) : S_1 \uplus S_2 \uplus \dots \uplus S_k = \{1, 2, \dots, n\}$$

$\{\{1, 3, 4\}, \{2, 8\}, \{5, 6\}, \{7\}\}$

HOPF ALGEBRAS OF SET PARTITIONS/COMPOSITIONS

$(\{5, 6\}, \{2, 8\}, \{1, 3, 4\}, \{7\})$

Set composition definition:

$$(S_1, S_2, \dots, S_k) : S_1 \uplus S_2 \uplus \dots \uplus S_k = \{1, 2, \dots, n\}$$

Set partition definition:

$$\{S_1, S_2, \dots, S_k\} : S_1 \uplus S_2 \uplus \dots \uplus S_k = \{1, 2, \dots, n\}$$
$$\{\{1, 3, 4\}, \{2, 8\}, \{5, 6\}, \{7\}\}$$

ANOTHER TYPE OF NON-COMMUTATIVE SYMMETRIC FUNCTIONS

Sym INVARIANTS UNDER THE LEFT ACTION
ON THE POLYNOMIAL RING $\mathbb{Q}[X_n]$

$$\sigma(x_i) = x_{\sigma(i)}$$

NSym FREE ALGEBRA GENERATED BY ONE
ELEMENT AT EACH DEGREE

NCSym INVARIANTS UNDER THE LEFT ACTION
ON THE NON-COMM POLY RING $\mathbb{Q}\langle X_n \rangle$

MONOMIAL \longrightarrow SET PARTITION

FOR EACH MONOMIAL

$$x_{i_1} x_{i_2} \cdots x_{i_n}$$

ASSOCIATE A SET PARTITION

$$\begin{aligned} \nabla(i_1, i_2, \dots, i_n) &= A \vdash [n] \\ r, s \in A_d &\text{ whenever } i_r = i_s \end{aligned}$$

$$m_A[X_n] = \sum_{\nabla(i_1, i_2, \dots, i_n) = A} x_{i_1} x_{i_2} \cdots x_{i_n}$$

EXAMPLE:

$$m_{\{\{1,3,5\},\{2,4\}\}}[X_n] = x_1x_2x_1x_2x_1 + x_2x_1x_2x_1x_2 + x_1x_3x_1x_3x_1 + \\ x_3x_1x_3x_1x_3 + x_2x_3x_2x_3x_2 + x_3x_2x_3x_2x_3 + x_1x_4x_1x_4x_1 + \dots$$

EXAMPLE:

$$m_{\{\{1,3,5\},\{2,4\}\}}[X_n] = x_1x_2x_1x_2x_1 + x_2x_1x_2x_1x_2 + x_1x_3x_1x_3x_1 + \\ x_3x_1x_3x_1x_3 + x_2x_3x_2x_3x_2 + x_3x_2x_3x_2x_3 + x_1x_4x_1x_4x_1 + \dots$$

EXAMPLE:

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$$x_3 x_1 x_3 x_1 x_3 + x_2 x_3 x_2 x_3 x_2 + x_3 x_2 x_3 x_2 x_3 + x_1 x_4 x_1 x_4 x_1 + \dots$$

EXAMPLE:

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**THIS IS A NON COMMUTATIVE POLYNOMIAL
FOR A GIVEN n**

EXAMPLE:

$$m_{\{\{1,3,5\},\{2,4\}\}}[X_n] = x_1 x_2 x_1 x_2 x_1 + x_2 x_1 x_2 x_1 x_2 + x_1 x_3 x_1 x_3 x_1 + x_3 x_1 x_3 x_1 x_3 + x_2 x_3 x_2 x_3 x_2 + x_3 x_2 x_3 x_2 x_3 + x_1 x_4 x_1 x_4 x_1 + \dots$$

THIS IS A NON COMMUTATIVE POLYNOMIAL
FOR A GIVEN n

CONSIDER THE ELEMENTS m_A
TO BE THE OBJECT BY LETTING THE
NUMBER OF VARIABLES $n \rightarrow \infty$
IN $m_A[X_n]$

COMBINATORIAL RULE FOR PRODUCT

$$m_{\{\{1,3\},\{2,4\}\}} \cdot m_{\{\{1,2,4\},\{3\}\}} =$$

COMBINATORIAL RULE FOR PRODUCT

$$m_{\{\{1,3\},\{2,4\}\}} \cdot m_{\{\{1,2,4\},\{3\}\}} =$$

$$m_{\{\{1,3\},\{2,4\},\{5,6,8\},\{7\}\}} + m_{\{\{1,3,5,6,8\},\{2,4\},\{7\}\}} +$$

$$m_{\{\{1,3\},\{2,4,5,6,8\},\{7\}\}} + m_{\{\{1,3,7\},\{2,4\},\{5,6,8\}\}} +$$

$$m_{\{\{1,3\},\{2,4,7\},\{5,6,8\}\}} + m_{\{\{1,3,7\},\{2,4,5,6,8\}\}} +$$

$$m_{\{\{1,3,5,6,8\},\{2,4,7\}\}}$$

COMBINATORIAL RULE FOR PRODUCT

$$m_{\{\{1,3\},\{2,4\}\}} \cdot m_{\{\{1,2,4\},\{3\}\}} =$$

$$m_{\{\{1,3\},\{2,4\},\{5,6,8\},\{7\}\}} + m_{\{\{1,3,5,6,8\},\{2,4\},\{7\}\}} +$$

$$m_{\{\{1,3\},\{2,4,5,6,8\},\{7\}\}} + m_{\{\{1,3,7\},\{2,4\},\{5,6,8\}\}} +$$

$$m_{\{\{1,3\},\{2,4,7\},\{5,6,8\}\}} + m_{\{\{1,3,7\},\{2,4,5,6,8\}\}} +$$

$$m_{\{\{1,3,5,6,8\},\{2,4,7\}\}}$$

COPRODUCT

INSPIRATION:

$$m_A[X_n, Y_m]$$

$$\Delta(m_{\{\{1\}, \{2,4,5,6\}, \{3,7\}\}}) =$$

COPRODUCT

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$$m_A[X_n, Y_m]$$

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$$\begin{aligned} & m_{\{\{1\}, \{2,4,5,6\}, \{3,7\}\}} \otimes 1 + m_{\{\{1,3,4,5\}, \{2,6\}\}} \otimes m_{\{\{1\}\}} + \\ & m_{\{\{1\}, \{2,3\}\}} \otimes m_{\{\{1,2,3,4\}\}} + m_{\{\{1\}, \{2,3,4,5\}\}} \otimes m_{\{\{1,2\}\}} + \\ & m_{\{\{1\}\}} \otimes m_{\{\{1,3,4,5\}, \{2,6\}\}} + m_{\{\{1,2,3,4\}\}} \otimes m_{\{\{1\}, \{2,3\}\}} + \\ & m_{\{\{1,2\}\}} \otimes m_{\{\{1\}, \{2,3,4,5\}\}} + 1 \otimes m_{\{\{1\}, \{2,4,5,6\}, \{3,7\}\}} \end{aligned}$$

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DEFINITION OF $NC\mathit{Sym}$

$$NC\mathit{Sym} = \bigoplus_{n \geq 0} \mathcal{L}\{m_A : A \vdash [n]\}$$

**NON-COMMUTATIVE
CO-COMMUTATIVE
HOPF ALGEBRA OF SET PARTITIONS**

ANALOGY BETWEEN SYM AND NCSYM

$$\begin{aligned} S(V^*) &= \text{symmetric tensor algebra} \\ &\simeq \mathbb{Q}[X_n] \end{aligned}$$

$$\begin{aligned} T(V^*) &= \text{tensor algebra} \\ &\simeq \mathbb{Q}\langle X_n \rangle \end{aligned}$$

Sym is to $S(V^*)$ as *NC*Sym** is to $T(V^*)$

PROPERTIES OF NCSYM

PROPERTIES OF NCSYM

- **NON-COMMUTATIVE AND CO-COMMUTATIVE**

PROPERTIES OF NC SYM

- **NON-COMMUTATIVE AND CO-COMMUTATIVE**
- **HAS BASES ANALOGOUS TO POWER, ELEMENTARY, HOMOGENEOUS, MONOMIAL SYMMETRIC FUNCTIONS IN THE ALGEBRA OF SYM (ROSAS-SAGAN '03, BERGERON-BRLEK)**

PROPERTIES OF NC SYM

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- **THE DIMENSION OF THE PART OF DEGREE N ARE THE BELL NUMBERS**

1, 1, 2, 5, 15, 52, 203, 877, 4140, ...

SOME QUESTIONS TO ASK



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- WHAT IS A FUNDAMENTAL BASIS OF THIS SPACE (ANALOGUE OF SCHUR BASIS)?



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SOME QUESTIONS TO ASK

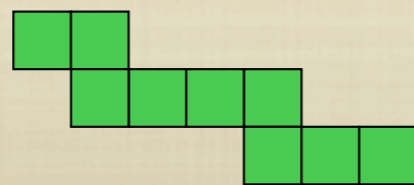
■ WHAT IS A FUNDAMENTAL BASIS OF THIS SPACE (ANALOGUE OF SCHUR BASIS)?

■ WHAT IS THE CONNECTION WITH REPRESENTATION THEORY?



■ WHAT IS THE STRUCTURE OF THIS ALGEBRA?

■ WHAT IS THE RELATIONSHIP WITH THE 'OTHER' NON-COMMUTATIVE SYMMETRIC FUNCTIONS? (NSYM)



COMPOSITIONS

$\{\{1, 3, 4\}, \{2, 8\}, \{5, 6\}, \{7\}\}$

SET PARTITIONS

THE CONNECTION BETWEEN NSYM AND NCSYM

NCSYM HAS GRADED DIMENSIONS

1, 1, 2, 5, 15, 52, 203, 877, 4140, ...

NSYM HAS GRADED DIMENSIONS

1, 1, 2, 4, 8, 16, 32, 64, 128, ...

THE CONNECTION BETWEEN NSYM AND NCSYM

NCSYM HAS GRADED DIMENSIONS

1, 1, 2, 5, 15, 52, 203, 877, 4140, ...

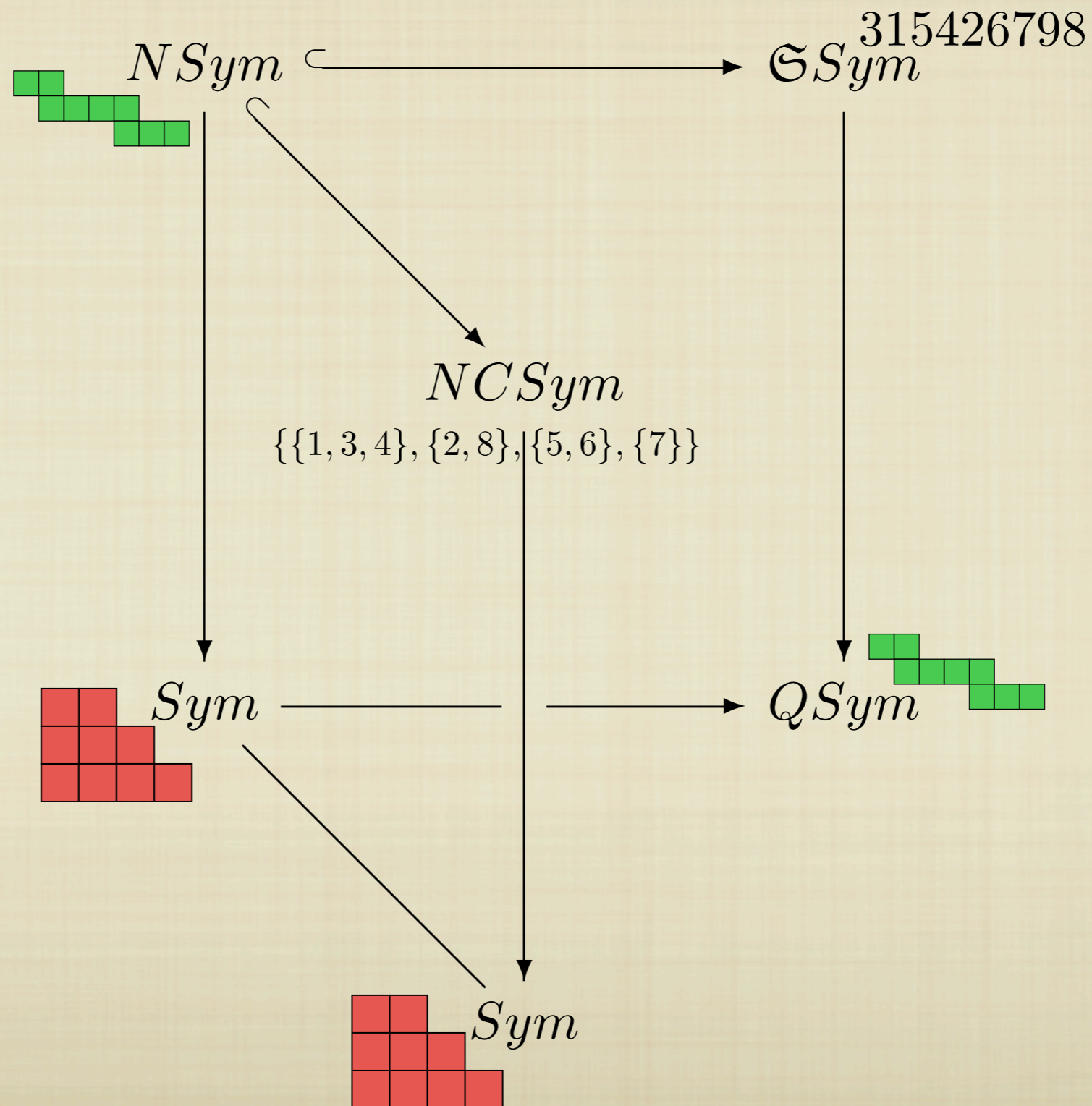
NSYM HAS GRADED DIMENSIONS

1, 1, 2, 4, 8, 16, 32, 64, 128, ...

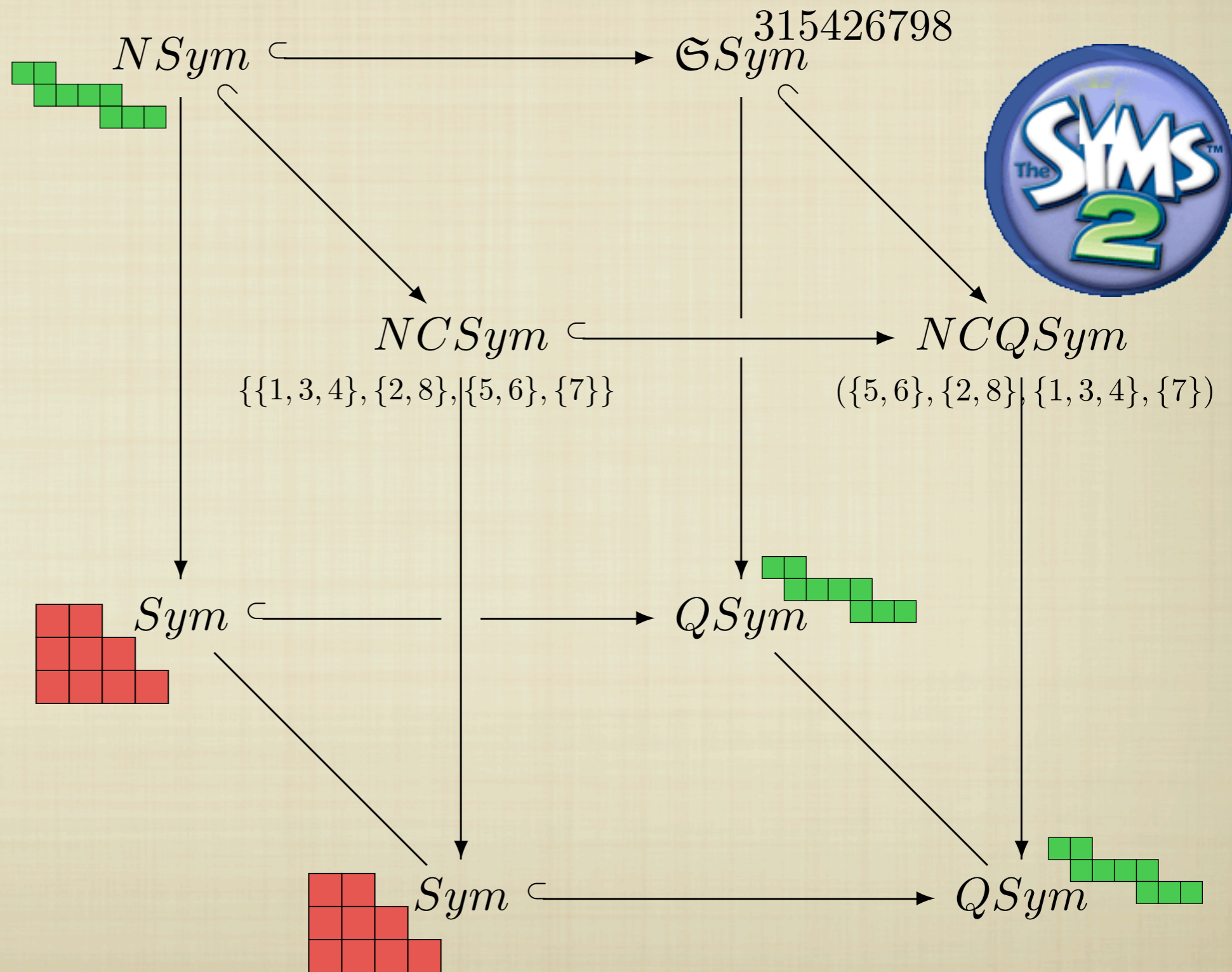
THERE EXISTS A HOPF MORPHISM

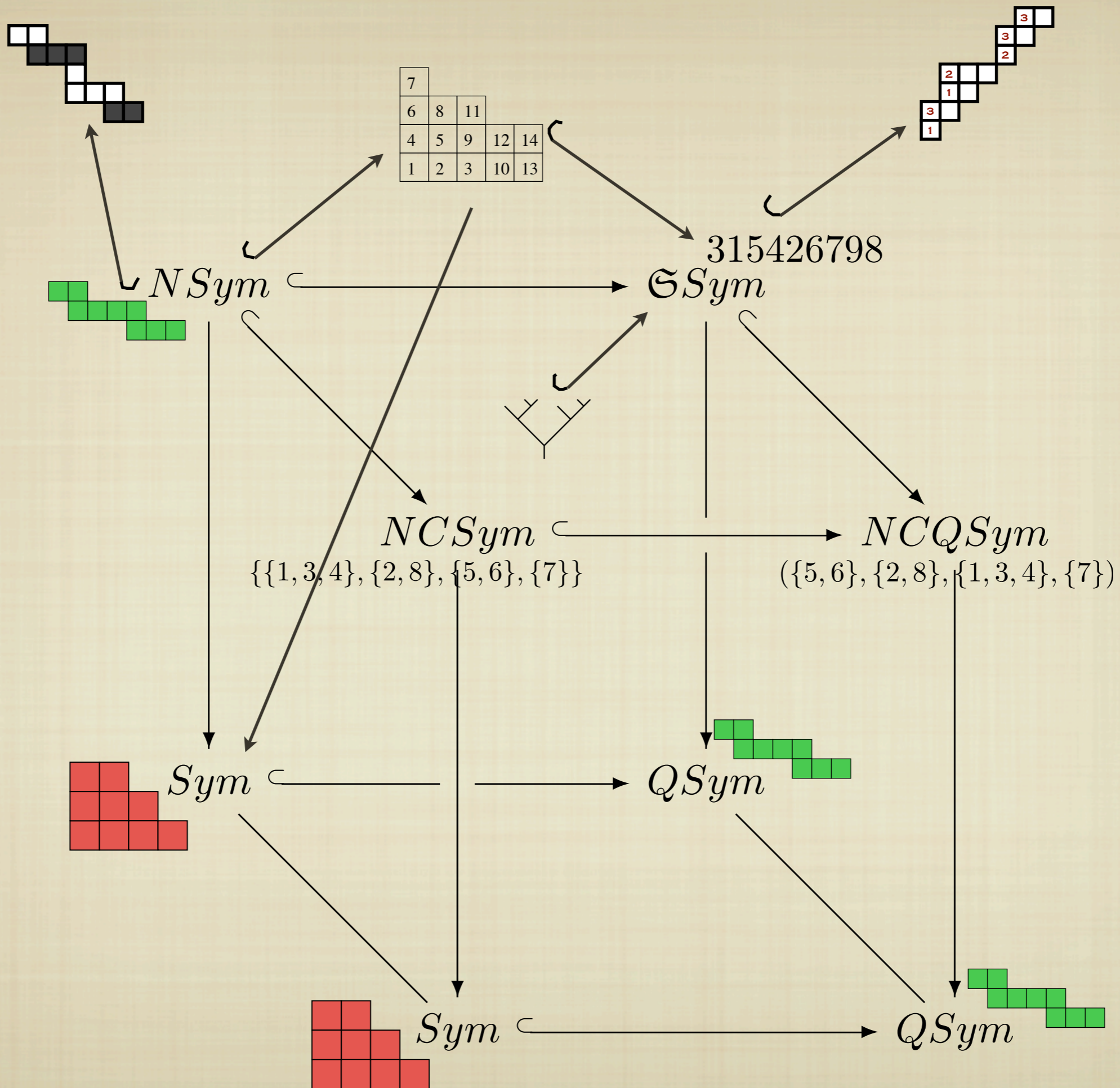
$$NSym \hookrightarrow NCSym$$

FAMILIES OF MORPHISMS



FAMILIES OF MORPHISMS





ONE LAST OPEN QUESTION

ONE LAST OPEN QUESTION

- WHAT IS THE HOPF ALGEBRA OF LIONS?

ONE LAST OPEN QUESTION

- **WHAT IS THE HOPF ALGEBRA OF LIONS?**



ONE LAST OPEN QUESTION

- WHAT IS THE HOPF ALGEBRA OF LIONS?

