

Plaintext Message Space  $M = \{m_1, m_2, \dots, m_N\}$   
Key Space  $K = \{k_1, k_2, \dots, k_S\}$   
Ciphertext Message Space  $C = \{c_1, c_2, \dots, c_Q\}$

$$c = E_k(m)$$

The encrypting  
transformation using to  
the key  $k$

$$m = D_k(c)$$

The decrypting  
transformation using to  
the key  $k$

Two sets of probabilities

$$\{p_1, p_2, \dots, p_N\} \text{ and } \{q_1, q_2, \dots, q_S\}$$

# Random Cryptographic Transaction

Three random variables  $\left\{ \begin{array}{l} M = \text{the chosen plaintext} \\ K = \text{the chosen key} \\ C = \text{the resulting ciphertext} \end{array} \right.$

- Sender produces a message  $M$  which is a random variable with

$$P(M = m_i) = p_i$$

- Sender selects a key  $K$  by an independent mechanism with

$$P(K = k_s) = q_s$$

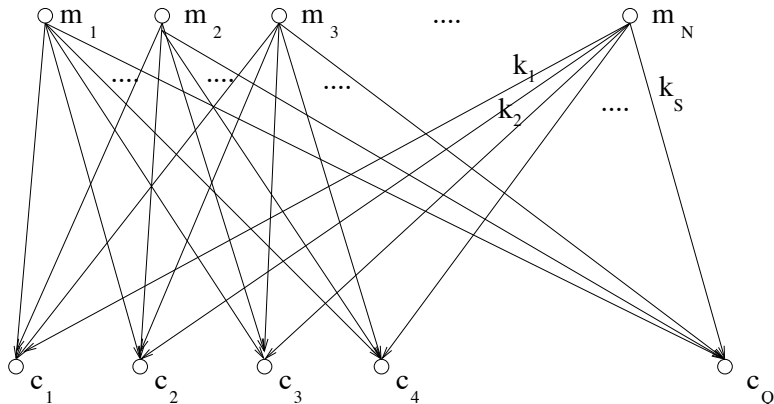
- The sender encrypts  $M$  into  $C = E_K(M)$  and sends it to the intended recipient.
- Under our assumptions, the random variable  $C$  is dependent on  $M$  and  $K$ .

$C$  yields no information about  $M$  means that  $M$  and  $C$  are independent random variables.

**Definition:** We say that a random cryptographic system achieves *perfect secrecy* if for all choices of  $m_i \in M$  and  $c_j \in C$ , we have

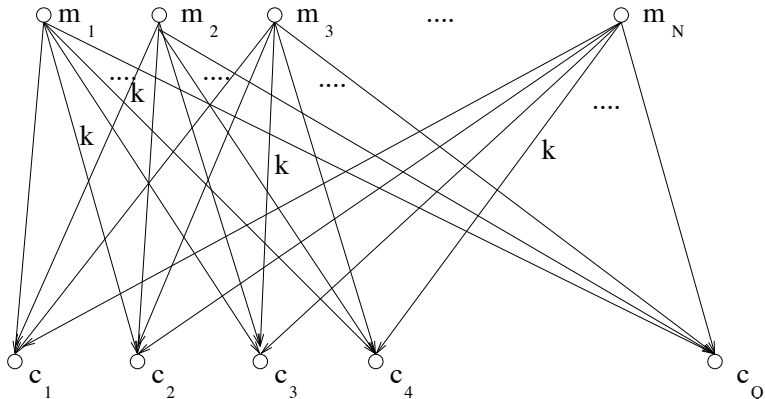
$$P(M = m_i, C = c_j) = P(M = m_i)P(C = c_j)$$

$$P[M = m_i, C = c_j] = P[M = m_i]P[C = c_j]$$

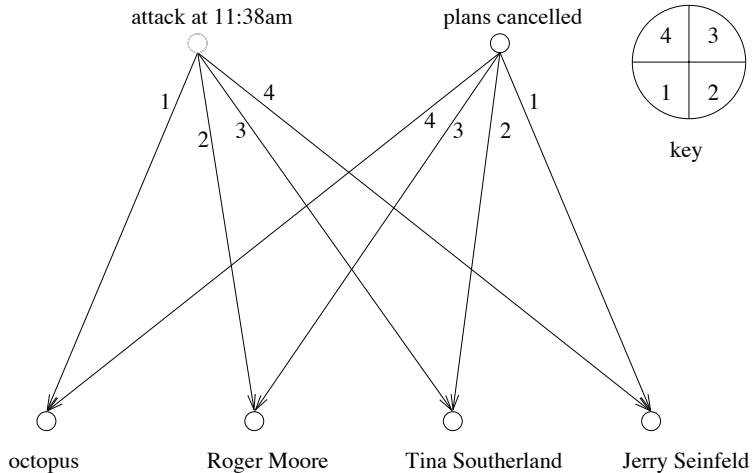


Since every message  $m_i$  must be able to be sent to every cyphertext  $c_j$  (since  $M$  and  $C$  are independent), it must be that the number of keys is larger than or equal to the number of cyphertexts.

$$P[M = m_i, C = c_j] = P[M = m_i]P[C = c_j]$$



Since if we fix a key  $k$  we see every message is sent to a different cyphertext we must have that the number of cyphertexts is larger or equal to the number of plaintexts.



## Theorem

*Perfect secrecy is achieved when*

- 1 *All keys are equally likely*
- 2 *For each pair  $(m_i, c_j)$  there is a unique key,  $k_s$ , such that*

$$E_{k_s}(m_i) = c_j$$

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**Proof.**

$$P(C = c_j) = \sum_{i=1}^N P(M = m_i) \sum_{E_{k_s}(m_i)=c_j} P(K = k_s)$$

But if there is only one key  $k_s$  yielding  $E_{k_s}(m_i) = c_j$  then the inner sum reduces to a single term, and if all keys are equally likely then  $P(K = k_s) = 1/S$

$$P(C = c_j) = \sum_{i=1}^N P(M = m_i) \frac{1}{S} = \frac{1}{S}$$



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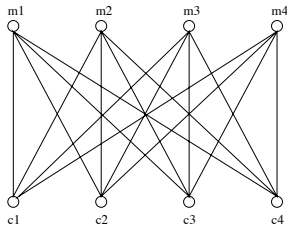
On the other hand

$$\begin{aligned} P(M = m_i, C = c_j) &= \sum_{E_{k_s}(m_i)=c_j} P(M = m_i)P(K = k_s) \\ &= P(M = m_i)\frac{1}{S} \\ &= P(M = m_i)P(C = c_j) \end{aligned}$$

# Latin Squares

# of Keys = # of Ciphers = # of Plaintexts

	$m_1$	$m_2$	$m_3$	$m_4$
$k_1$	1	2	3	4
$k_2$	2	3	4	1
$k_3$	3	4	1	2
$k_4$	4	1	2	3

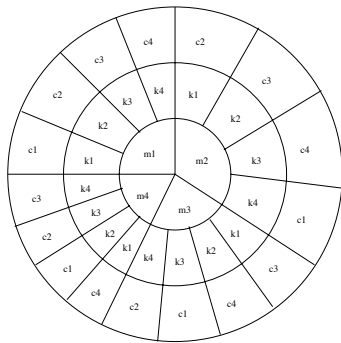


A latin square is an  $n \times n$  array where the integers 1 through  $n$  appear exactly once in each row and column.

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# One Time Pad

A “one time pad system” is one in which we encrypt a message with  $N$  letters by means of  $N$  random integer keys

$$k_1, k_2, \dots, k_N$$

in the range  $0 \dots 25$  with each of these possibilities equally likely. The  $i^{\text{th}}$  letter of the message is encrypted by the Caesar substitution  $C_{k_i}$  (in other words the  $i^{\text{th}}$  letter is Caesar  $k_i$ -shifted). The vector

$$(k_1, k_2, \dots, k_N)$$

is called the *key stream*.

## Theorem

*The one time pad system achieves perfect secrecy.*

**Proof.** It is easy to see that given any cipher

$$c = Y_1 Y_2 \cdots Y_N$$

and message

$$m = X_1 X_2 \cdots X_N$$

there is one and only one key stream

$$(k_1, k_2, \dots, k_N)$$

such that

$$Y_i = X_i + k_i \pmod{26}.$$

Since all keys are equally likely we have the conditions of the previous theorem are satisfied.