

Hopf Monoids of supercharacters

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(with **M. Aguiar** and **N. Thiem**)

(**C. Benedetti**, **A. Lauve**, **F. Saliola** and many more)

Outline

- Supercharacter theory of $U_n(q)$ $SC(q)$ a Hopf algebra
- Symmetric functions in noncommutative variables $NC\text{Sym}$ a Hopf algebra
- Isomorphism (based on 28 authors AIM paper)
- Hopf monoid (on species)
- Hopf monoids related to $U_n(q)$
- What can we do with this? conceptual and computational (Higman's conjecture, primitive, antipode formulas)

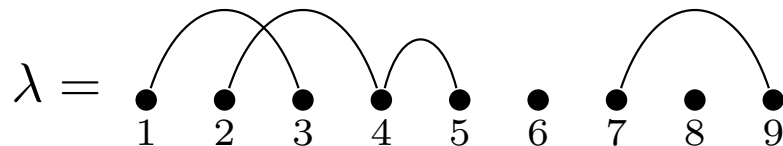
Supercharacter theory of $U_n(q)$

lumping conjugacy classes and characters together to get a more tame theory [André, Diaconis-Isaac](#).

- Unipotent upper triangular matrices over finite Fields \mathbf{F}_q : $U_n(q)$.
- Superclasses in $U_n(q)$:

$$A \cong B \iff (A - I) = DM(B - I)N$$

superclass representative has at most one 1 in each row and column (strictly above the diagonal).



Supercharacter theory of $U_n(q)$

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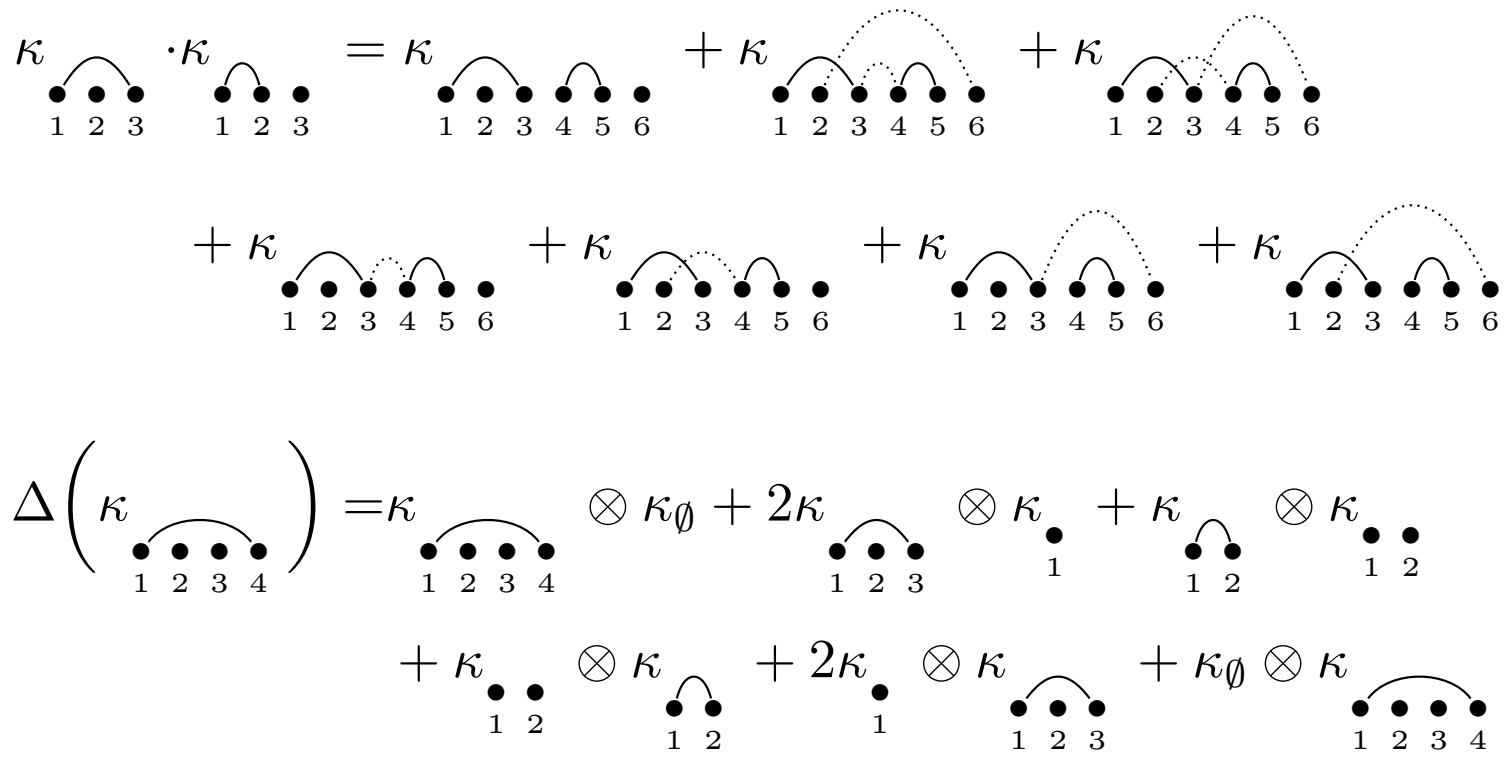
- Unipotent upper triangular matrices over finite Fields \mathbf{F}_q : $U_n(q)$.
- Superclasses in $U_n(q)$ λ
- Supercharacters χ^λ Hopf algebra structure [see ArXive 28 author paper](#):

$$\Delta(\chi) = \sum_{A+B=[n]} \text{Res}_{U_{|A|}(q) \times U_{|B|}(q)}^{U_n(q)} \chi$$
$$\chi \cdot \psi = \text{Inf}_{U_n(q) \times U_m(q)}^{U_{n+m}(q)} \chi \otimes \psi = (\chi \otimes \psi) \circ \pi$$

where $\pi: U_{n+m}(q) \rightarrow U_n(q) \times U_m(q)$.

Supercharacter theory of $U_n(q)$

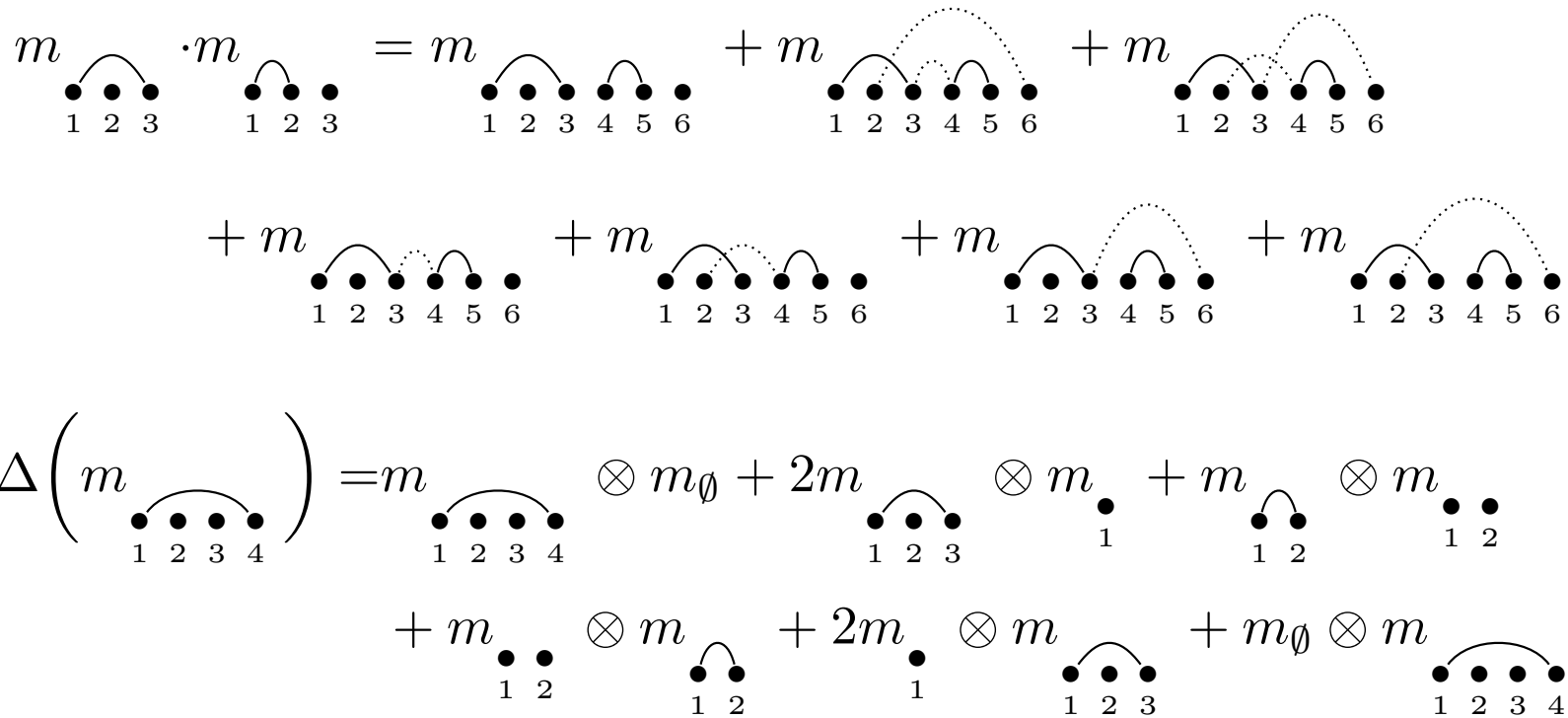
- Superclass functions κ_λ basis Hopf algebra structure is nice:



Symmetric functions in noncommutative variables

Wolf, Rosas-Sagan, Bergeron-Zabrocki, ... Reutenauer ...

- monomial symmetric functions m_λ basis (sum of orbit of a word)
- indexed by set partitions Hopf algebra structure is nice:



Isomorphism

- the Hopf algebra of symmetric functions in noncommutative variables is isomorphic to the Hopf algebra of superclass functions.
- Now we want to better understand these structures.
- Where is q ? [see nice paper by Bergeron-Thiem to appear in the volume dedicated to CR]

Hopf Monoid

A nice **Combinatorial Hopf Algebra** is indexed by combinatorial object with a lot of structure. It should have a **lift** at the level of species (**Hopf monoid**). These are “Hopf algebras” **graded by finite sets** instead of integers.

This explains much of the structures of the Combinatorial Hopf algebras with **more elegant** and **simplified** formulas.

Also we have many Combinatorial Hopf algebras associated to one Hopf monoid.

Aguiar-Mahajan

Hopf Monoid

- A **Species** \mathbf{P} encode data: \forall finite set $K \mapsto \mathbf{P}[K]$ vector space.

Typically, $\mathbf{P}[K]$ is the formal linear span of combinatorial objects of type “ \mathbf{P} ” (think **Graphs**, **Set partitions**, ...)

A bijection $K \xrightarrow{\sigma} T$ should **induce a bijection** $\mathbf{P}[K] \xrightarrow{\mathbf{P}[\sigma]} \mathbf{P}[T]$

and other natural conditions:

$$\mathbf{P}[Id_K] = Id_{\mathbf{P}[K]},$$

$$\mathbf{P}[\sigma \circ \tau] = \mathbf{P}[\sigma] \circ \mathbf{P}[\tau],$$

...

Hopf Monoid

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- **Monoidal structure** ‘ \bullet ’ on species:

$$(\mathbf{p} \bullet \mathbf{q})[K] = \bigoplus_{K=I \sqcup J} \mathbf{p}[I] \otimes \mathbf{q}[J],$$

Hopf Monoid

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$$(\mathbf{p} \bullet \mathbf{q})[K] = \bigoplus_{K=I \sqcup J} \mathbf{p}[I] \otimes \mathbf{q}[J],$$

- A **Hopf Monoid** \mathbf{H} is a species such that $\mathbf{H}[\emptyset] = \mathbf{C}$ and structure maps $m, \Delta, u, \epsilon, S$.

$$(1) m_{I,J}: \mathbf{H}[I] \otimes \mathbf{H}[J] \rightarrow \mathbf{H}[K], \quad \forall I \sqcup J = K$$

Associative **multiplication** $m: \mathbf{H} \bullet \mathbf{H} \rightarrow \mathbf{H}$ with **unity** $u: \mathbf{1} \rightarrow \mathbf{H}$

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Associative **comultiplication** $\Delta: \mathbf{H} \rightarrow \mathbf{H} \bullet \mathbf{H}$ with **counit** $\epsilon: \mathbf{H} \rightarrow \mathbf{1}$

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$$(3) \quad \Delta_{I,J} \circ m_{I',J'} = (m_{A,C} \otimes m_{B,D})(\text{id}_A \otimes \beta_{B,C} \otimes \text{id}_D)(\Delta_{A,B} \otimes \Delta_{C,D})$$

where for $K = I \sqcup J = I' \sqcup J'$ there is **unique**

$$A = I' \cap I, \quad B = I' \cap J, \quad C = J' \cap I \quad \text{and} \quad D = J' \cap J.$$

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The **antipode** $S: \mathbf{H} \rightarrow \mathbf{H}$ is constructed (for free) recursively.

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These structure are more natural than they *look*:

(1) given two combinatorial objects, give a rule to **build** larger one

(2) Given a decomposition of the ground set, give ways to **decompose** combinatorial object

(3) (typically) Find **bijection** between two constructions.

Matrices indexed by finite set

Given a finite set I and a linear order ℓ on I .

$\mathbf{M}(I)$: $A = (a_{ij})_{i,j \in I}$, $a_{ij} \in \mathbb{F}_q$ for all $i, j \in I$.

$\mathbf{GL}(I)$: the invertible matrices in $\mathbf{M}(I)$.

$\mathbf{U}(I, \ell)$: the *upper ℓ -unitriangular* matrices

$$U = (u_{ij})_{i,j \in I}, u_{ii} = 1 \text{ for all } i \in I, u_{ij} = 0 \text{ whenever } i >_{\ell} j.$$

Matrices indexed by finite set

$U(I, \ell)$: the *upper ℓ -unitriangular* matrices

DIRECT SUM: Given $K = I \sqcup J$ and ℓ on K ,

$$\begin{aligned}\sigma_{I,J}: U(I, \ell|_I) \times U(J, \ell|_J) &\rightarrow U(K, \ell) \\ (A, B) &\mapsto A \oplus B\end{aligned}$$

MINORS: Given $K = I \sqcup J$ and ℓ_1, ℓ_2 on I, J (resp.),

$$\begin{aligned}\pi_{I,J}: U(K, \ell_1 \cdot \ell_2) &\rightarrow U(I, \ell_1) \times U(J, \ell_2) \\ U &\mapsto (U|_I, U|_J)\end{aligned}$$

Group Morphisms.

Hopf monoids using \mathbf{U}

Direct sums and Minors allow us to define Hopf monoids:

$\mathbf{f}(\mathbf{U})$: The species of functions on \mathbf{U} .

$$\mathbf{f}(\mathbf{U})[I] = \bigoplus_{\ell \in L[I]} \mathbf{f}(\mathbf{U}(I, \ell)),$$

where $L[I]$ is the set of linear orders on I and $\mathbf{f}(\mathbf{U}(I, \ell))$ is the space of functions $\mathbf{U}(I, \ell) \rightarrow \mathbb{C}$

with **basis**: $\kappa_{(U, \ell)}$ the characteristic function of $U \in \mathbf{U}(I, \ell)$

$$\kappa_{(U, \ell)}(V, \tau) = \begin{cases} 1 & \text{if } \ell = \tau \text{ and } U = V, \\ 0 & \text{if not.} \end{cases}$$

Hopf monoids using \mathbf{U}

Direct sums and Minors allow us to define Hopf monoids:

$$\mathbf{f}(\mathbf{U}): \mathbf{f}(\mathbf{U})[I] = \bigoplus_{\ell \in L[I]} \mathbf{f}(\mathbf{U}(I, \ell)),$$

with **basis**: $\kappa_{(U, \ell)}$ the characteristic function of $U \in \mathbf{U}(I, \ell)$

Multiplication: $U \in \mathbf{U}(I, \ell)$, $V \in \mathbf{U}(J, \tau)$ and $I \sqcup J = K$,

$$\kappa_{(U, \ell)} \kappa_{(V, \tau)} = \sum_{\pi_{I, J}(W) = (U, V)} \kappa_{(W, \ell \cdot \tau)}$$

Comultiplication: for $W \in \mathbf{U}(K, \ell)$ and $I \sqcup J = K$,

$$\Delta_{I, J}(\kappa_{(W, \ell)}) = \sum_{\sigma_{I, J}(U, V) = W} \kappa_{(U, \ell|_I)} \otimes \kappa_{(V, \ell|_J)}$$

Associativity and compatibility follow from properties of $\pi_{I, J}$ and $\sigma_{I, J}$.

Hopf monoids using \mathbf{U}

Direct sums and Minors allow us to define Hopf monoids:

$$\mathbf{f}(\mathbf{U}): \mathbf{f}(\mathbf{U})[I] = \bigoplus_{\ell \in L[I]} \mathbf{f}(\mathbf{U}(I, \ell)); \quad \text{basis: } \{\kappa_{(U, \ell)}\}.$$

$$\mathbf{cf}(\mathbf{U}): \mathbf{cf}(\mathbf{U})[I] = \bigoplus_{\ell \in L[I]} \mathbf{cf}(\mathbf{U}(I, \ell)); \quad \text{basis: } \{\kappa_{(C, \ell)}\}.$$

$\mathbf{cf}(\mathbf{U}(I, \ell))$: the subspace of class functions on $\mathbf{U}(I, \ell)$

$\kappa_{(C, \ell)} = \sum_{U \in C} \kappa_{(U, \ell)}$ for a conjugacy class C .

$\mathbf{cf}(\mathbf{U}) \hookrightarrow \mathbf{f}(\mathbf{U})$ is Hopf submonoid.

This follow from the fact that $\pi_{I, J}$ and $\sigma_{I, J}$ are group morphisms.

Hopf monoids using U

Direct sums and Minors allow us to define Hopf monoids:

$$\mathbf{f}(U): \mathbf{f}(U)[I] = \bigoplus_{\ell \in L[I]} \mathbf{f}(U(I, \ell)); \quad \text{basis: } \{\kappa_{(U, \ell)}\}.$$

$$\mathbf{cf}(U): \mathbf{cf}(U)[I] = \bigoplus_{\ell \in L[I]} \mathbf{cf}(U(I, \ell)); \quad \text{basis: } \{\kappa_{(C, \ell)}\}.$$

$$\mathbf{scf}(U): \mathbf{scf}(U)[I] = \bigoplus_{\ell \in L[I]} \mathbf{scf}(U(I, \ell)); \quad \text{basis: } \{\kappa_{(\lambda, \ell)}\}.$$

$\mathbf{scf}(U(I, \ell))$: the subspace of superclass functions on $U(I, \ell)$

$\kappa_{(\lambda, \ell)} = \sum_{U \in \lambda} \kappa_{(U, \ell)}$ for a superclass λ .

$\mathbf{scf}(U) \hookrightarrow \mathbf{cf}(U) \hookrightarrow \mathbf{f}(U)$ Hopf submonoids.

This follows from the fact that $\pi_{I, J}$ and $\sigma_{I, J}$ behave well with superclasses.

Hopf monoids using \mathbf{U}

Direct sums and Minors allow us to define Hopf monoids:

$$\mathbf{f}(\mathbf{U}): \mathbf{f}(\mathbf{U})[I] = \bigoplus_{\ell \in L[I]} \mathbf{f}(\mathbf{U}(I, \ell)); \quad \text{basis: } \{\kappa_{(U, \ell)}\}.$$

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$$\mathbf{scf}(\mathbf{U}): \mathbf{scf}(\mathbf{U})[I] = \bigoplus_{\ell \in L[I]} \mathbf{scf}(\mathbf{U}(I, \ell)); \quad \text{basis: } \{\kappa_{(\lambda, \ell)}\}.$$

$$\mathbf{L}: \mathbf{scf}(\mathbf{U})[I] = \bigoplus_{\ell \in L[I]} \mathbb{C}1_\ell; \quad \text{basis: } \{1_\ell\}.$$

$\mathbf{L} \hookrightarrow \mathbf{scf}(\mathbf{U}) \hookrightarrow \mathbf{cf}(\mathbf{U}) \hookrightarrow \mathbf{f}(\mathbf{U})$ Hopf submonoids.

Combinatorial model for Hopf monoids

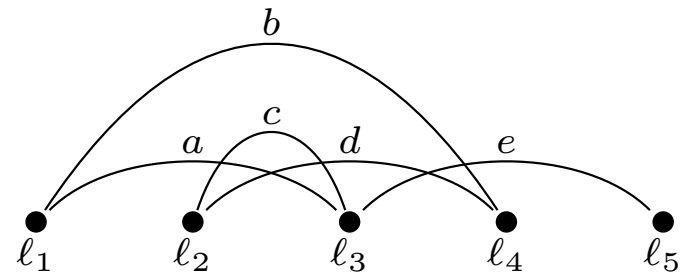
$\mathbf{f}(U)$; basis: $\{\kappa_{(U,\ell)}\}$; labeled graph on a linear order $\mathbf{L} \times \mathbf{G}_q$

$\mathbf{cf}(U)$; basis: $\{\kappa_{(C,\ell)}\}$;

$\mathbf{scf}(U)$; basis: $\{\kappa_{(\lambda,\ell)}\}$;

$$U = \begin{pmatrix} 1 & 0 & a & b & 0 \\ & 1 & c & d & 0 \\ & & 1 & 0 & e \\ & & & 1 & 0 \\ & & & & 1 \end{pmatrix}$$

\Rightarrow

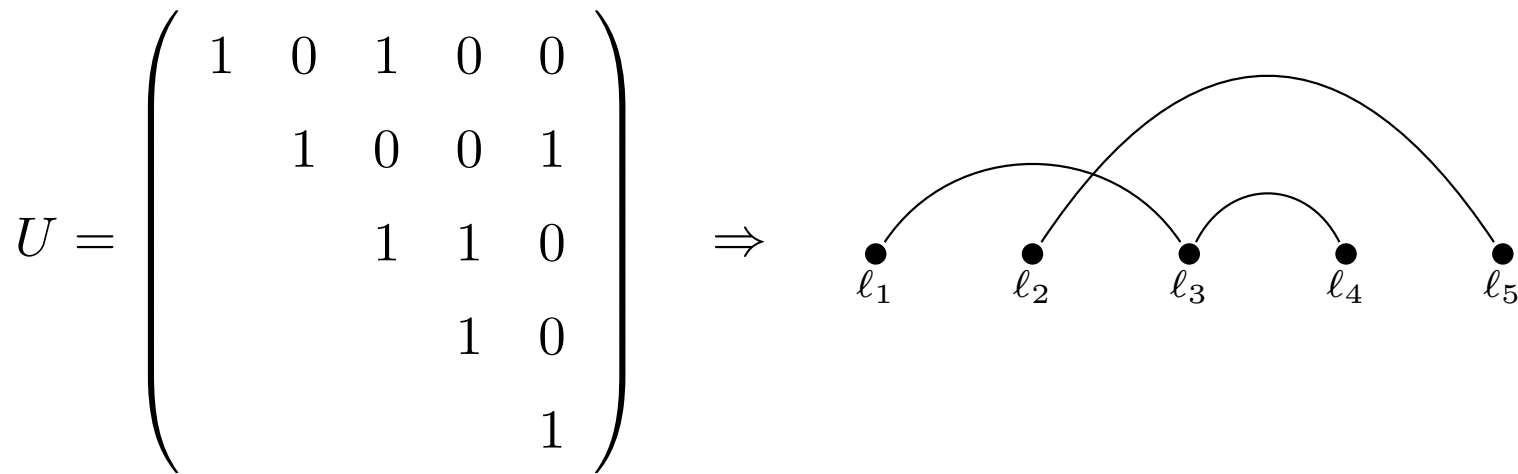


Combinatorial model for Hopf monoids

f(U); basis: $\{\kappa_{(U,\ell)}\}$; labeled graph on a linear order $\mathbf{L} \times \mathbf{G}_q$

cf(U); basis: $\{\kappa_{(C,\ell)}\}$;

scf(U); basis: $\{\kappa_{(\lambda,\ell)}\}$; set partitions on a linear order $\mathbf{L} \times \mathbf{\Pi}$



Lots of representation properties are read on the picture

Combinatorial model for Hopf monoids

f(U); basis: $\{\kappa_{(U,\ell)}\}$; labeled graph on a linear order $\mathbf{L} \times \mathbf{G}_q$

cf(U); basis: $\{\kappa_{(C,\ell)}\}$; **W I L D !!!**

scf(U); basis: $\{\kappa_{(\lambda,\ell)}\}$; set partitions on a linear order $\mathbf{L} \times \mathbf{\Pi}$

???

Combinatorial model for Hopf monoids

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$\mathbf{cf}(\mathbf{U})$; basis: $\{\kappa_{(C,\ell)}\}$; **W I L D !!!**

$\mathbf{scf}(\mathbf{U})$; basis: $\{\kappa_{(\lambda,\ell)}\}$; set partitions on a linear order $\mathbf{L} \times \mathbf{\Pi}$

THEOREM

$\mathbf{f}(\mathbf{U})$, $\mathbf{cf}(\mathbf{U})$ and $\mathbf{scf}(\mathbf{U})$ are free monoid.

CONJECTURE[Higman]

$\dim \mathbf{cf}(\mathbf{U}(I, \ell))$ is a positive polynomial in $q - 1$.

CONJECTURE(refinement)

The number of free generators of $\mathbf{cf}(\mathbf{U}(I, \ell))$
is a positive polynomial in $q - 1$.

we can also derive upper and lower bound for these number using $\mathbf{scf}(\mathbf{U}) \hookrightarrow \mathbf{cf}(\mathbf{U}) \hookrightarrow \mathbf{f}(\mathbf{U})$

Hopf Monoid $\text{scf}(U)$

$$\begin{array}{c}
 \kappa \quad \cdot \kappa \\
 \begin{array}{ccc}
 \begin{array}{c} \bullet \quad \bullet \quad \bullet \\ \text{3} \quad \text{1} \quad \text{6} \end{array} & \begin{array}{c} \bullet \quad \bullet \quad \bullet \\ \text{7} \quad \text{5} \quad \text{2} \end{array} & = \\
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 \begin{array}{ccc}
 \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \quad \bullet \\ \text{3} \quad \text{1} \quad \text{6} \quad \text{7} \quad \text{5} \quad \text{2} \end{array} & + \kappa & \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \quad \bullet \\ \text{3} \quad \text{1} \quad \text{6} \quad \text{7} \quad \text{5} \quad \text{2} \end{array} & + \kappa & \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \quad \bullet \\ \text{3} \quad \text{1} \quad \text{6} \quad \text{7} \quad \text{5} \quad \text{2} \end{array} \\
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 \begin{array}{cccc}
 + \kappa & + \kappa & + \kappa & + \kappa \\
 \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \quad \bullet \\ \text{3} \quad \text{1} \quad \text{6} \quad \text{7} \quad \text{5} \quad \text{2} \end{array} & \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \quad \bullet \\ \text{3} \quad \text{1} \quad \text{6} \quad \text{7} \quad \text{5} \quad \text{2} \end{array} & \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \quad \bullet \\ \text{3} \quad \text{1} \quad \text{6} \quad \text{7} \quad \text{5} \quad \text{2} \end{array} & \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \quad \bullet \\ \text{3} \quad \text{1} \quad \text{6} \quad \text{7} \quad \text{5} \quad \text{2} \end{array}
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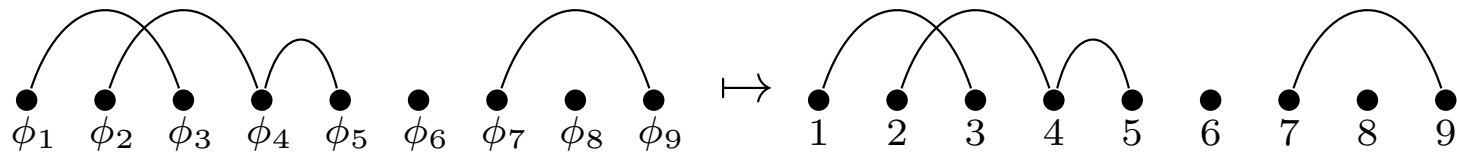
$$\Delta_{\{2,4\},\{1,3\}} \left(\kappa \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \\ \text{4} \quad \text{1} \quad \text{3} \quad \text{2} \end{array} \right) = \kappa \begin{array}{c} \bullet \quad \bullet \\ \text{4} \quad \text{2} \end{array} \otimes \kappa \begin{array}{c} \bullet \quad \bullet \\ \text{1} \quad \text{3} \end{array}$$

What can we do with this?

- **Functor** that send Hopf monoid to Hopf algebras

$$\mathbf{scf}(U) \mapsto \mathit{NCSym}$$

$$(\phi, \lambda) \mapsto \phi^{-1} \circ \lambda$$



The structure stay the same but the linear order is forgotten.

What can we do with this?

- Gives new basis (that depend on q)
- The simplified structure of $\mathbf{scf}(U)$ gives **multiplicity free** and **cancellation free** formula for the **antipode** of $\kappa_{(\phi, \lambda)}$ and other basis.

Functor that send $\mathbf{scf}(U)$ to $NC\text{Sym}$

- Gives new basis (that depend on q)
- Gives **cancellation free** formula for the **antipode** of $\kappa_{(\phi, \lambda)}$ and other basis.
- Gives us some understanding of **antipode for supercharacter** χ^λ .
In particular we show that given $\lambda = \lambda^{(1)} | \dots | \lambda^{(k)}$ with its unique factorization into **atomics**.

$$S(\chi^\lambda) = (-1)^k S(\chi^{\lambda^{(k)} | \dots | \lambda^{(1)}}) + \text{lower term in some order.}$$