

Finally, we briefly consider the *parabolic* version of $G_\lambda[X; q]$ which are analogs of the functions introduced in [16, 17]. The definition follows the generalization of Jing's Hall-Littlewood vertex operator to a more general class of operators, as was considered in [18]. The coefficients that appear in this generalization can be viewed as q -analogs of the structure coefficients of Schur's Q -functions.

2. NOTATION AND DEFINITIONS

2.1. Symmetric functions, partitions, tableaux. Define the ring of symmetric functions as the polynomial ring $\Lambda = \mathbb{C}[p_1, p_2, p_3, \dots]$ with $\deg(p_k) = k$. A typical monomial of degree n in this ring will be $p_{\lambda_1} p_{\lambda_2} \cdots p_{\lambda_\ell} := p_\lambda$, where $\sum_i \lambda_i = n$ and a basis will indexed by the sequences λ such that $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_k \geq 0$.

The sequence λ is a partition of n (denoted by $\lambda \vdash n$) if the entries are non-negative integers and are weakly decreasing. The size of λ is given by $|\lambda| := \sum_i \lambda_i = n$. The entries of λ are called the parts of the partition. The number of parts that are of size i in λ will be represented by $m_i(\lambda)$ and the total number of non-zero parts is represented by $\ell(\lambda) = \sum_i m_i(\lambda)$. A common statistic on partitions λ is $n(\lambda) := \sum_i (i-1)\lambda_i$.

The dominance order, $\lambda \leq \mu$ if and only if $\sum_{i=1}^k \lambda_i \leq \sum_{i=1}^k \mu_i$ for all $1 \leq k \leq \ell(\lambda)$, is a partial order on partitions. Using this partial order, the operators

$$R_{ij}\lambda = (\lambda_1, \dots, \lambda_i + 1, \dots, \lambda_j - 1, \dots, \lambda_{\ell(\lambda)})$$

for $1 \leq i \leq j \leq \ell(\lambda)$ have the property that $R_{ij}\lambda \geq \lambda$ if $R_{ij}\lambda$ is a partition.

We will consider three fundamental bases of Λ here. Following the notation of [14], we define the homogeneous (complete) symmetric functions are $h_\lambda := h_{\lambda_1} h_{\lambda_2} \cdots h_{\lambda_{\ell(\lambda)}}$ where $h_n = \sum_{\lambda \vdash n} p_\lambda / z_\lambda$ and $z_\lambda = \prod_{i=1}^{\ell(\lambda)} i^{m_i(\lambda)} m_i(\lambda)!$. The elementary symmetric functions are $e_\lambda := e_{\lambda_1} e_{\lambda_2} \cdots e_{\lambda_{\ell(\lambda)}}$ where $e_n = \sum_{\lambda \vdash n} (-1)^{n-\ell(\lambda)} p_\lambda / z_\lambda$. By convention we set $p_0 = h_0 = e_0 = 1$ and $p_{-k} = h_{-k} = e_{-k} = 0$ for $k > 0$. The Schur functions are given by $s_\lambda = \det |h_{\lambda_i + i - j}|_{1 \leq i, j \leq \ell(\lambda)}$. The sets $\{p_\lambda\}_{\lambda \vdash n}$, $\{h_\lambda\}_{\lambda \vdash n}$, $\{e_\lambda\}_{\lambda \vdash n}$ and $\{s_\lambda\}_{\lambda \vdash n}$ all form bases for the symmetric functions of degree n .

The fundamental theorem of symmetric functions says that the subring $\mathbb{C}[p_1, p_2, \dots, p_n]$ is isomorphic to the ring of symmetric polynomials $\Lambda^{X_n} = \mathbb{C}[x_1, x_2, \dots, x_n]^{S_n}$ (the polynomials in n variables which are invariant under the action $\sigma(x_i) = x_{\sigma(i)}$ for any $\sigma \in S_n$) using the map that sends $p_k \rightarrow x_1^k + x_2^k + \cdots + x_n^k$. The space Λ^X of symmetric series in an infinite number of variables x_1, x_2, x_3, \dots of finite degree is isomorphic to Λ under the map that sends $p_k \rightarrow x_1^k + x_2^k + x_3^k + \cdots$.

Much of our notation for the symmetric functions thus far has reflected that of [14], but we will concentrate on operations involving the Hopf algebra structure of the symmetric functions and specialization of variables. To this end we extend the notation for these maps in a natural manner and represent a set of variables as a sum $X = x_1 + x_2 + x_3 + \dots$ and act on this sum with elements of Λ . We define $p_k[X] = x_1^k + x_2^k + x_3^k + \cdots$ and for any $P \in \Lambda$ we set $P[X]$ equal to P with p_k replaced by $p_k[X]$. That is for $P = \sum_\lambda c_\lambda p_\lambda$,

$$(1) \quad P[X] = \sum_\lambda c_\lambda p_{\lambda_1}[X] p_{\lambda_2}[X] \cdots p_{\lambda_{\ell(\lambda)}}[X].$$

It is clearly true for two sets of variables X and $Y = y_1 + y_2 + y_3 + \cdots$ that $p_k[X+Y] = p_k[X] + p_k[Y]$ and to extend this linearly we set $p_k[X-Y] = p_k[X] - p_k[Y]$ and $p_k[XY] = p_k[X] p_k[Y]$. We will also consider the Cauchy element

$$(2) \quad \Omega = \sum_{n \geq 0} \sum_{\lambda \vdash n} p_\lambda / z_\lambda = \sum_{n \geq 0} h_n$$

in the completion of Λ . This special element has the property that $\Omega[X+Y] = \Omega[X]\Omega[Y]$, $\Omega[X-Y] = \Omega[X]/\Omega[Y]$ and $\Omega[X] = \prod_i (1 - x_i)^{-1}$.

Notice that for an arbitrary element $c \in \mathbb{C}$, we have $p_k[cX] = c p_k[X]$. This implies that cX does not represent $cx_1 + cx_2 + cx_3 + \cdots$, instead it represents c 'copies of' the variables X . We introduce a special parameter q or t that interacts with the variable set in that $p_k[qX] = q^k p_k[X]$. Sometimes

this element will be an arbitrary parameter and other times we will specialize it to values in the base field \mathbb{C} . To obtain operations such as replacing x_i by cx_i in a symmetric function we use our special parameter q and at the end of our calculations we specialize this parameter to c . In particular, the operation of replacing x_i by $-x_i$ is useful and we will represent it with the notation

$$(3) \quad P[\epsilon X] = P[qX] \Big|_{q=-1}.$$

We also have the relations $p_k[\epsilon X] = (-1)^k p_k[X]$, $\Omega[\epsilon X] = \prod_i (1 + x_i)^{-1}$ and $h_n[X] = e_n[-\epsilon X]$. Of course if the symmetric function P or the set of variables X already has a parameter q , the one that is set to -1 is unique and does not interfere with parameters in P or X .

It follows from the definition of the Schur function and the expansion of the Vandermonde determinant $\det|x_i^{j-1}|_{1 \leq i, j \leq n} = \prod_{1 \leq i < j \leq n} (x_i - x_j)$ that $s_\lambda[X] = \prod_{1 \leq i < j \leq n} (1 - R_{ij}) h_\lambda[X]$, where $R_{ij} h_\lambda[X] = h_{R_{ij}\lambda}[X]$. Since the coefficient of z^λ in $\Omega[Z_n X]$ is $h_\lambda[X]$ and $(z_j/z_i)^{-1} z_\lambda = z^{R_{ij}\lambda}$, then the Schur function is equal to

$$(4) \quad s_\lambda[X] = \Omega[Z_n X] \prod_{1 \leq i < j \leq n} (1 - z_j/z_i) \Big|_{z^\lambda}.$$

Remark: We follow [14] in the use of R_{ij} acting on symmetric functions, however one should note that these operators are not associative. This issue can be resolved however and is dealt with in more detail in [1] or [8].

Now for any symmetric function $P \in \Lambda$ define $\mathbf{S}(z)P[X] := P[X - \frac{1}{z}] \Omega[zX]$. Since we have that $\mathbf{S}(z_1)\mathbf{S}(z_2)\cdots\mathbf{S}(z_n)1 = \Omega[Z_n X] \prod_{1 \leq i < j \leq n} (1 - z_j/z_i)$, then the operator $\mathbf{S}_m P[X] = \mathbf{S}(z)P[X] \Big|_{z^m}$ raises the degree of a symmetric function by m and has the property that $\mathbf{S}_m(s_\lambda[X]) = s_{(m, \lambda)}[X]$ as long as $m \geq \lambda_1$. The \mathbf{S}_m operators also have the commutation relations $\mathbf{S}_m \mathbf{S}_{m+1} = 0$ and $\mathbf{S}_m \mathbf{S}_n = -\mathbf{S}_{n-1} \mathbf{S}_{m+1}$.

A Young diagram for a partition will be a collection of cells of the integer grid lying in the first quadrant. For a partition λ , $Y(\lambda) = \{(i, j) : 0 \leq j < \ell(\lambda) \text{ and } 0 \leq i \leq \lambda_j\}$. The reason why we consider empty cells rather than say points is because we wish to consider fillings of these cells. A tableau is a map from the set $Y(\lambda)$ to \mathbb{N} , this may be represented on a Young diagram by writing integers within the cells of a graphical representation of a Young diagram (see figure 1). The shape of the tableau is the partition λ . We say that a tableau T is column strict if $T(i, j) \leq T(i+1, j)$ and $T(i, j) < T(i, j+1)$ whenever the points $(i+1, j)$ or $(i, j+1)$ are in $Y(\lambda)$. Let $m_k(T)$ represent the number of points p in $Y(\lambda)$ such that $T(p) = k$. The vector $(m_1(T), m_2(T), \dots)$ is the content of the tableau T .

The Pieri rule describes a combinatorial method for computing the product of $h_m[X]$ and $s_\mu[X]$ expanded in the Schur basis. We will use the notation $\lambda/\mu \in \mathcal{H}_m$ to represent that $|\lambda| - |\mu| = m$ and for $1 \leq i \leq \ell(\lambda)$, $\mu_i \leq \lambda_i$ and $\mu_i \geq \lambda_{i+1}$. It may be easily shown that

$$(5) \quad h_m[X] s_\mu[X] = \sum_{\lambda/\mu \in \mathcal{H}_m} s_\lambda[X].$$

This gives a method for computing the expansion of the $h_\mu[X]$ basis in terms of the Schur functions. Consider the coefficients $K_{\lambda\mu}$ defined by the expression

$$(6) \quad h_\mu[X] = \sum_{\lambda \vdash |\mu|} K_{\lambda\mu} s_\lambda[X].$$

$K_{\lambda\mu}$ are called the Kostka numbers and are equal to the number of column strict tableaux of shape λ and content μ . Now define a q analog of the $\{h_\lambda\}$ basis by setting

$$(7) \quad H_\lambda[X; q] = \prod_{i < j} \frac{1 - R_{ij}}{1 - qR_{ij}} h_\lambda[X] = \prod_{i < j} (1 + (q-1)R_{ij} + (q^2 - q)R_{ij}^2 + \cdots) h_\lambda[X].$$

Since the coefficient of z^λ in $\Omega[Z_k X]$ is $h_\lambda[X]$, it is clear that we have the formula

$$(8) \quad H_\lambda[X; q] = \Omega[Z_k X] \prod_{1 \leq i < j \leq k} \frac{1 - z_j/z_i}{1 - qz_j/z_i} \Big|_{z^\lambda}.$$

This leads us to a ‘vertex operator’ definition for these functions. If we define the operation $\mathbf{H}(z)P[X] = P[X - \frac{1-q}{z}] \Omega[zX]$, then

$$(9) \quad \mathbf{H}(z_1)\mathbf{H}(z_2)\cdots\mathbf{H}(z_k)1 = \Omega[Z_k X] \prod_{1 \leq i < j \leq k} \frac{1 - z_j/z_i}{1 - qz_j/z_i},$$

and therefore defining the operator \mathbf{H}_m that raises the degree of a symmetric function by m as $\mathbf{H}_m P[X] := \mathbf{H}(z)P[X] \Big|_{z^m}$, has the property that $\mathbf{H}_m H_\lambda[X; q] = H_{(m, \lambda)}[X; q]$ as long as $m \geq \lambda_1$. The vertex operator also satisfies the relations $\mathbf{H}_{m-1}\mathbf{H}_m = q\mathbf{H}_m\mathbf{H}_{m-1}$ and $\mathbf{H}_{m-1}\mathbf{H}_n - q\mathbf{H}_m\mathbf{H}_{n-1} = q\mathbf{H}_n\mathbf{H}_{m-1} - \mathbf{H}_{n-1}\mathbf{H}_m$.

The functions $H_\lambda[X; q]$ interpolate between the functions $s_\lambda[X] = H_\lambda[X; 0]$ and $h_\lambda[X] = H_\lambda[X; 1]$. The Kostka-Foulkes polynomials are defined as the q -polynomial coefficient of $s_\lambda[X]$ in $H_\mu[X; q]$ and hence we have the expansion analogous to (6).

$$(10) \quad H_\mu[X; q] = \sum_{\lambda \vdash |\mu|} K_{\lambda\mu}(q) s_\lambda[X].$$

The coefficients $K_{\lambda\mu}(q)$ are clearly polynomials in q , but it is surprising to find that the coefficients of the polynomials are non-negative integers. A defining recurrence can be derived $K_{\lambda\mu}(q)$ in terms of the Kostka-Foulkes polynomials indexed by partitions of size $|\mu| - \mu_1$ using the formula for \mathbf{H}_m . This recurrence is often referred to as the ‘Morris recurrence’ for the Kostka-Foulkes polynomials.

The Kostka-Foulkes polynomials and the generating functions $H_\mu[X; q]$ have the following important properties which we simply list here so that we may draw a connection to analogous formulae. For a more detailed reference of these sorts of properties we refer the interested reader to the excellent survey article [1].

1. $K_{\lambda\mu}(q)$ has non-negative integer coefficients.
2. $K_{\lambda\mu}(q) = \sum_T q^{c(T)}$, where the sum is over all column strict tableaux of shape λ and content μ and $c(T)$ denotes the charge of a tableau T (see [12]). In addition there is a combinatorial interpretation for these coefficients in terms of objects called rigged configurations (see [10]).
3. The degree in q of $K_{\lambda\mu}(q)$ is $n(\mu) - n(\lambda)$.
4. $K_{\lambda\mu}(0) = \delta_{\lambda\mu}$ which implies $H_\mu[X; 0] = s_\mu[X]$, $K_{\lambda\mu}(1) = K_{\lambda\mu}$, so that $H_\mu[X; 1] = h_\mu[X]$, $K_{\lambda\lambda}(q) = 1$ and $K_{(|\mu|)\mu}(q) = q^{n(\mu)}$. We also have that $K_{\lambda\mu}(q) = 0$ if $\lambda < \mu$.
5. $H_{(1^n)}[X; q] = e_n \left[\frac{X}{1-q} \right] (q; q)_n$ where $(q; q)_n = \prod_{i=1}^n (1 - q^i)$.
6. If ζ is k^{th} root of unity, $H_\mu[X; \zeta]$ factors into a product of symmetric functions.
7. Set $K'_{\mu\lambda}(q) := q^{n(\lambda) - n(\mu)} K_{\mu\lambda}(1/q)$, then $K'_{\mu\lambda}(q) \geq K'_{\mu\nu}(q)$ for $\lambda \leq \nu$.
8. $K_{\lambda+(a), \mu+(a)}(q) \geq K_{\lambda, \mu}(q)$, where $\lambda + (a)$ represents the partition λ with a part of size a inserted into it.
9. $K_{\lambda\mu}(q) = \sum_{w \in S_n} \text{sign}(w) \mathcal{P}_q(w(\lambda + \rho) - (\mu + \rho))$ where $\mathcal{P}_q(\alpha)$ is the coefficient of x^α in $\prod_{1 \leq i < j \leq n} (1 - qx_i/x_j)^{-1}$, a q analog of the Kostant partition function and $\rho = (\ell(\mu) - 1, \ell(\mu) - 2, \dots, 1, 0)$.
10. $H_\mu[X; q] H_\lambda[X; q] = \sum_\gamma d_{\lambda\mu}^\nu(q) H_\nu[X; q]$, for some coefficients $d_{\lambda\mu}^\nu(q)$ with the property that if the Littlewood-Richardson coefficient $c_{\lambda\mu}^\nu = 0$ then $d_{\lambda\mu}^\nu(q) = 0$. These coefficients are a transformation of the Hall algebra structure coefficients.
11. For the scalar product $\langle s_\lambda[X], s_\mu[X] \rangle = \delta_{\lambda\mu}$, we have that $\langle H_\lambda[X; q], H_\mu[X(1-q); q] \rangle = 0$ if $\lambda \neq \mu$.

2.2. Schur’s Q -functions, strict partitions, and marked shifted tableaux. The Q -function algebra is a sub-algebra of the symmetric functions $\Gamma = \mathbb{C}[p_1, p_3, p_5, \dots]$. A typical monomial in this algebra will be p_λ , where λ is a partition and λ_i is odd. A partition λ is strict if $\lambda_i > \lambda_{i+1}$ for all $1 \leq i \leq \ell(\lambda) - 1$ and a partition λ is odd if λ_i is odd for $1 \leq i \leq \ell(\lambda)$. We will use the notation $\lambda \vdash_s n$ (respectively $\lambda \vdash_o n$) to denote that λ is a partition of size n that is strict (respectively odd). Note that the number of strict partitions of size n and the number of odd partitions of size n is the same (proof: write out a generating function for each sequence).



FIGURE 1. The diagram on the left represents a column strict tableau of shape $(6, 5, 3, 3)$ and content $(4, 3, 3, 2, 2, 1)$. The diagram on the right represents a shifted marked tableau of shape $(7, 5, 4, 1)$ and content $(2, 5, 5, 3, 2)$. This tableau has labels which are marked on the diagonal.

The analog of the homogeneous and elementary symmetric functions in Γ are the functions $q_\lambda := q_{\lambda_1} q_{\lambda_2} \cdots q_{\lambda_{\ell(\lambda)}}$, where $q_n = \sum_{\lambda \vdash n} 2^{\ell(\lambda)} p_\lambda / z_\lambda$. Define an algebra morphism $\theta : \Lambda \rightarrow \Gamma$ by the action on the p_n generators as $\theta(p_n) = (1 - (-1)^n) p_n$. That is $\theta(p_n) = 2p_n$ if n is odd and $\theta(p_n) = 0$ for n even. θ has the property that $\theta(h_n) = \theta(e_n) = q_n$ and may be represented in our notation as $\theta(p_n[X]) = p_n[(1 - \epsilon)X]$. Under this morphism, our Cauchy element may also be considered a generating function for the q_n elements since

$$(11) \quad \Omega[(1 - \epsilon)X] = \sum_{n \geq 0} q_n[X] = \prod_i \frac{1 + x_i}{1 - x_i}.$$

It follows that $\{p_\lambda\}_{\lambda \vdash n}$, $\{q_\lambda\}_{\lambda \vdash n}$, $\{q_\lambda\}_{\lambda \vdash n}$ are all bases for the subspace of Q -functions of degree n . Another fundamental basis for this space are the Schur's Q -functions $Q_\lambda[X] = \theta(H_\lambda[X; -1])$. These functions hold a similar place in the Q -function algebra that the Schur functions hold in Λ . In particular, $\{Q_\lambda[X]\}_{\lambda \vdash n}$ is a basis for the Q -functions of degree n .

In analogy with the Schur functions, $Q_\lambda[X]$ may also be defined with a raising operator formula by setting $q = -1$ and applying the θ homomorphism to equation (7). We arrive at the formula:

$$(12) \quad Q_\lambda[X] = \prod_{i < j} \frac{1 - R_{ij}}{1 + R_{ij}} q_\lambda[X] = \prod_{i < j} (1 - 2R_{ij} + 2R_{ij}^2 - \cdots) q_\lambda[X],$$

where the operators now act as $R_{ij} q_\lambda[X] = q_{R_{ij}\lambda}[X]$. Furthermore, they have a formula as the coefficient in a generating function:

$$(13) \quad Q_\lambda[X] = \Omega[(1 - \epsilon)Z_n X] \prod_{1 \leq i < j \leq n} \frac{1 - z_j/z_i}{1 + z_j/z_i} \Big|_{z^\lambda}.$$

As with Schur functions and the Hall-Littlewood functions, the raising operator formula leads us to a vertex operator definition. By setting $\mathbf{Q}(z)P[X] = P[X - \frac{1}{z}] \Omega[(1 - \epsilon)zX]$, it is easily shown that $\mathbf{Q}(z_1)\mathbf{Q}(z_2)\cdots\mathbf{Q}(z_n)1 = \Omega[(1 - \epsilon)Z_n X] \prod_{1 \leq i < j \leq n} \frac{1 - z_j/z_i}{1 + z_j/z_i}$, and hence if we set $\mathbf{Q}_m P[X] = \mathbf{Q}(z)P[X] \Big|_{z^m}$ then $\mathbf{Q}_m(Q_\lambda[X]) = Q_{(m,\lambda)}[X]$ as long as $m > \lambda_1$. The commutation relations for the \mathbf{Q}_m are

$$(14) \quad \mathbf{Q}_m \mathbf{Q}_n = -\mathbf{Q}_n \mathbf{Q}_m \text{ for } m \neq -n,$$

$$(15) \quad \mathbf{Q}_m \mathbf{Q}_{-m} = 2(-1)^m - \mathbf{Q}_{-m} \mathbf{Q}_m \text{ if } m \neq 0,$$

$$(16) \quad \mathbf{Q}_m^2 = 0 \text{ if } m \neq 0 \text{ and } \mathbf{Q}_0^2 = 1.$$

These formulas allow us to straighten the $Q_\mu[X]$ functions when they are not indexed by a strict partition.

A shifted Young diagram for a partition will again be a collection of cells lying in the first quadrant. For a strict partition λ , let $YS(\lambda) = \{(i, j) : 0 \leq j \leq \ell(\lambda) \text{ and } j - 1 \leq i \leq \lambda_j + j - 1\}$. A marked shifted tableau T of shape λ is a map from $YS(\lambda)$ to the set of marked integers $\{1' < 1 < 2' < 2 < \dots\}$ that satisfy the following conditions

- $T(i, j) \leq T(i + 1, j)$ and $T(i, j) \leq T(i, j + 1)$
- If $T(i, j) = k$ for some integer k (i.e. has an unmarked label) then $T(i, j + 1) \neq k$

- If $T(i, j) = k'$ for some marked label k' then $T(i + 1, j) \neq k'$.

We may represent these objects graphically with a diagram representing λ and the cells filled with the marked integer alphabet. If T is a marked shifted tableau, then we will set $m_i(T)$ as the number of occurrences of i and i' in T . The sequence $(m_1(T), m_2(T), m_3(T), \dots)$ is the content of T .

The combinatorial definition of the marked shifted tableaux is defined so that it reflects the change of basis coefficients between the q_λ and Q_μ basis. The rule for computing the product of $q_m[X]$ and $Q_\mu[X]$ when expanded in the Schur Q -functions is the analog of the Pieri rule for the Γ space. If $\lambda/\mu \in \mathcal{H}_m$ then $a(\lambda/\mu)$ will represent $1 +$ the number of $1 < j \leq \ell(\lambda)$ such that $\lambda_j > \mu_j$ and $\mu_{j-1} > \lambda_j$. We may show that

$$(17) \quad q_m[X]Q_\mu[X] = \sum_{\lambda/\mu \in \mathcal{H}_m} 2^{a(\lambda/\mu) - \ell(\lambda) + \ell(\mu)} Q_\lambda[X].$$

Denote by $L_{\lambda\mu}$ the number of marked shifted tableaux T of shape λ and content μ (where λ is a strict partition) such that $T(i, i)$ is not a marked integer. We may expand the function $q_\mu[X]$ in terms of the Q -functions using (17) to show

$$(18) \quad q_\mu[X] = \sum_{\lambda \vdash |\mu|} L_{\lambda\mu} Q_\lambda[X].$$

3. THE Q -HALL-LITTLEWOOD BASIS $G_\lambda(x; q)$ FOR THE ALGEBRA Γ

Note: From here, unless otherwise stated, all partitions are considered strict.

3.1. Raising operator formula. We define the following analog of the Hall-Littlewood functions in the subalgebra Γ

$$(19) \quad G_\lambda[X; q] := \prod_{1 \leq i < j \leq n} \left(\frac{1 + qR_{ij}}{1 - qR_{ij}} \right) \left(\frac{1 - R_{ij}}{1 + R_{ij}} \right) q_\lambda[X] = \prod_{1 \leq i < j \leq n} \left(\frac{1 + qR_{ij}}{1 - qR_{ij}} \right) Q_\lambda[X].$$

We call the functions $G_\lambda \in \Gamma \otimes_{\mathbb{C}} \mathbb{C}(q)$ the *Q -Hall-Littlewood functions*.

In $\Gamma \otimes \mathbb{C}(q)$ this family can be expressed in the basis of Q -functions as

$$(20) \quad G_\mu[X; q] = \sum_{\lambda} L_{\lambda\mu}(q) Q_\lambda[X],$$

which can be viewed as a q -analog of (18). We call the coefficients $L_{\lambda\mu}(q)$ the *Q -Kostka polynomials*. We shall see that this family of polynomials shares many of the same properties with the classical Kostka-Foulkes polynomials. Tables of these coefficients are given in an Appendix. It follows from (19) that $L_{\lambda\mu}(q)$ have integer coefficients and $L_{\lambda\mu}(q) = 0$ if $\lambda < \mu$. This shows

Proposition 1. *The G_λ , λ strict, form a \mathbb{Z} -basis for $\Gamma \otimes_{\mathbb{Z}} \mathbb{Z}(q)$.*

The basis G_λ interpolates between the Schur's Q -functions and the functions q_μ because $G_\lambda[X; 0] = Q_\lambda[X]$ and $G_\lambda[X; 1] = q_\lambda[X]$ as is clear from (19).

Since the coefficient of z^λ in $\Omega[(1 - \epsilon)Z_n X]$ is $q_\lambda[X]$ equation (19) implies

$$(21) \quad G_\lambda[X; q] = \prod_{1 \leq i < j \leq n} \left(\frac{1 - z_j/z_i}{1 + z_j/z_i} \right) \left(\frac{1 + qz_j/z_i}{1 - qz_j/z_i} \right) \Omega[(1 - \epsilon)Z_n X] \Big|_{z^\lambda}.$$

By defining $\mathbf{G}(z)P[X] = P[X - \frac{1-q}{z}]\Omega[(1 - \epsilon)zX]$, we may show that

$$(22) \quad \mathbf{G}(z_1)\mathbf{G}(z_2)\cdots\mathbf{G}(z_n)1 = \prod_{1 \leq i < j \leq n} \left(\frac{1 - z_j/z_i}{1 + z_j/z_i} \right) \left(\frac{1 + qz_j/z_i}{1 - qz_j/z_i} \right) \Omega[(1 - \epsilon)Z_n X].$$

This implies that if we define the operator

$$(23) \quad \mathbf{G}_m P[X] = P \left[X - \frac{1-q}{z} \right] \Omega[(1 - \epsilon)zX] \Big|_{z^m},$$

then

$$G_\lambda[X; q] = \mathbf{G}_{\lambda_1} \cdots \mathbf{G}_{\ell(\lambda)}(1).$$

The operator \mathbf{G}_m satisfies the following commutation relation.

Proposition 2. *For all $r, s \in \mathbb{Z}$ we have*

$$(1-q^2)(\mathbf{G}_r \mathbf{G}_s + \mathbf{G}_s \mathbf{G}_r) + q(\mathbf{G}_{r-1} \mathbf{G}_{s+1} - \mathbf{G}_{s+1} \mathbf{G}_{r-1} + \mathbf{G}_{s-1} \mathbf{G}_{r+1} - \mathbf{G}_{r+1} \mathbf{G}_{s-1}) = 2(-1)^r (1-q)^2 \delta_{r,-s}.$$

For $q = 0$ in the equation above we recover the commutation relations of the operator \mathbf{Q} given in equations (14), (15) and (16).

We can use formula (23) to derive the action of this operator on the basis of Schur's Q -functions.

Proposition 3. *For $m > 0$,*

$$(24) \quad \mathbf{G}_m(Q_\lambda[X]) = \sum_{i \geq 0} q^i \sum_{\mu: \lambda/\mu \in \mathcal{H}_i} 2^{a(\lambda/\mu)} (-1)^{\epsilon(m+i, \mu)} Q_{\mu+(m+i)}[X],$$

where $\mu+(k)$ denotes the partition formed by adding a part of size k to the partition μ , and $\epsilon(k, \mu)+1$ represents which part k becomes in $\mu+(k)$. For $m \leq 0$ a similar statement can be made using the commutation relations (14), (15) and (16).

Proof From (23) the action of \mathbf{G}_m on a function $P[X] \in \Gamma$ can be written as

$$\begin{aligned} \mathbf{G}_m P[X] &= P[X - (1-q)/z] \Omega[(1-\epsilon)zX] \Big|_{z^m} \\ &= \sum_{i \geq 0} q^i (q_i^\perp P)[X - 1/z] \Omega[(1-\epsilon)zX] \Big|_{z^m} \\ &= \sum_{i \geq 0} q^i \mathbf{Q}_{m+i} q_i^\perp P[X] \end{aligned}$$

where q_i^\perp is

$$\mathbf{Q}[X+z] \Big|_{z^i} = q_i^\perp Q_\lambda[X] = \sum_{\mu: \lambda/\mu \in \mathcal{H}_i} 2^{a(\lambda/\mu)} Q_\mu[X],$$

and thus equation (24) follows from (14) and (15). \square

Example 1. *We compute $G_{(3,2,1)}[X; q]$ using the Proposition above. We have*

$$\begin{aligned} G_{(3,2,1)}[X; q] &= \mathbf{G}_3(\mathbf{G}_2(Q_{(1)}[X])) = \mathbf{G}_3 \left(\sum_{i \geq 0} \sum_{(1)/\mu \in \mathcal{H}_i} 2^{a((1)/\mu)} (-1)^{\epsilon(2+i, \mu)} Q_{\mu+(2+i)}[X] \right) \\ &= \mathbf{G}_3(Q_{(2,1)}) + 2q \mathbf{G}_3(Q_{(3)}) = \sum_{i \geq 0} \sum_{(2,1)/\mu \in \mathcal{H}_i} 2^{a((2,1)/\mu)} (-1)^{\epsilon(3+i, \mu)} Q_{\mu+(3+i)}[X] + \\ &\quad + 2q \left(\sum_{i \geq 0} \sum_{(3)/\nu \in \mathcal{H}_i} 2^{a((3)/\nu)} (-1)^{\epsilon(3+i, \nu)} Q_{\nu+(3+i)}[X] \right) \\ &= (q^0 2^0 Q_{(3,2,1)} + q^1 2^1 Q_{(4,2)} + q^2 2^1 Q_{(5,1)}) + 2q(q^1 2^1 Q_{(4,2)} + q^2 2^1 Q_{(5,1)} + q^3 2^1 Q_{(6)}) \\ &= Q_{(3,2,1)} + (2q + 4q^2) Q_{(4,2)} + (2q^2 + 4q^3) Q_{(5,1)} + 4q^4 Q_{(6)}. \end{aligned}$$

3.2. Properties of the polynomials $L_{\lambda\mu}(q)$. The Q -Kostka polynomials introduced here have a number of remarkable properties that are very similar to those of Kostka Foulkes polynomials listed in the previous section. We have already seen the analog of Property 4 holds for Q -Kostka polynomials. In what follows we will consider the other remaining properties.

An important consequence of equation (24) is a Morris-like recurrence which expresses the Q -Kostka polynomials $L_{\lambda\mu}(q)$ in terms of smaller ones.

Proposition 4. *We have the following recurrence*

$$(25) \quad L_{\alpha, (n, \mu)}(q) = \sum_{s=1}^{t: \alpha_t \geq n} (-1)^{s-1} q^{\alpha_s - n} \sum_{\lambda: \lambda/\alpha^{(s)} \in \mathcal{H}_{(\alpha_s - n)}} 2^{a(\lambda/\alpha^{(s)})} L_{\lambda\mu}(q),$$

where $n > \mu_1$ and $\alpha^{(s)}$ is α with part α_s removed.

Proof If $n > \mu_1$ we have that

$$(26) \quad \mathbf{G}_n G_\mu[X; q] = G_{(n,\mu)}[X; q] = \sum_{\alpha} L_{\alpha,(n,\mu)}(q) Q_\alpha[X].$$

On the other hand $G_\mu[X; q] = \sum_{\lambda} L_{\lambda\mu}(q) Q_\lambda[X]$ and so

$$\mathbf{G}_n \left(\sum_{\lambda} L_{\lambda\mu}(q) Q_\lambda[X] \right) = \sum_{\mu} L_{\lambda\mu}(q) \mathbf{G}_n(Q_\lambda[X]).$$

Using the action in (24) we have

$$(27) \quad \mathbf{G}_n G_\mu[X; q] = \sum_{\lambda} L_{\lambda\mu}(q) \sum_{i \geq 0} q^i \sum_{\nu: \lambda/\nu \in \mathcal{H}_i} 2^{a(\lambda/\nu)} (-1)^{\epsilon(n+i,\nu)} Q_{\nu+(n+i)}[X].$$

For $\alpha = \nu + (n+i)$, equating the coefficients of Q_α in (26) and (27) we get

$$L_{\alpha,(n,\mu)}(q) = \sum_{\lambda} \sum_{i \geq 0} q^i 2^{a(\lambda/\alpha-(n+i))} (-1)^{\epsilon(n+i,\alpha-(n+i))} L_{\lambda\mu}(q).$$

By reindexing $i := \alpha_s - n$ for $\alpha_s - n \geq 0$ we obtain the desired recurrence (25). \square

Example 2. Let $n = 5$ and $L_{(6,2),(5,2,1)}(q) = 2q + 4q^2$. Using the recurrence we have one s such that $\alpha_s \geq 5$, i.e. $\alpha_1 = 6$. So

$$\begin{aligned} L_{(6,2),(5,2,1)}(q) &= q^{6-5} \sum_{\lambda/(2) \in \mathcal{H}_1} 2^{a(\lambda/(2))} L_{\lambda(2,1)}(q) \\ &= q(2L_{(21),(21)}(q) + 2L_{(3),(21)}(q)) = q(2 + 2 \cdot 2q) = 2q + 4q^2. \end{aligned}$$

As a consequence of the Morris-like recurrence we have the following

Corollary 5. Let $\mu \leq \lambda$ in dominance order.

1. If $n > \lambda_1$ then $L_{(n,\lambda),(n,\mu)}(q) = L_{\lambda\mu}(q)$.
2. $L_{\lambda\lambda}(q) = 1$ and $L_{(|\lambda|)\lambda}(q) = 2^{\ell(\lambda)-1} q^{n(\lambda)}$.
3. $2^{\ell(\mu)-\ell(\lambda)}$ divides $L_{\lambda\mu}(q)$.

Proof 1. There is only one term in the recurrence (25) in this case which is exactly $L_{\lambda\mu}(q)$. 2. The first is a consequence of (1). For the second, we have that the only term on the right hand side is $q^{|\lambda|-\lambda_1} 2L_{(|\lambda|-\lambda_1)(\lambda_2, \dots)}(q)$ which by induction is $q^{|\lambda|-\lambda_1+n((\lambda_2, \dots))} 2 \cdot 2^{\ell(\lambda)-2} = 2^{\ell(\lambda)-1} q^{n(\lambda)}$. This is the analog of Property 4 for the Kostka-Foulkes polynomials.

3. This property can be easily derived by induction from the recurrence. \square

Using the Morris-like recurrence one can obtain a formula for the degree of $L_{\lambda\mu}(q)$ similar to Property 3 for Kostka-Foulkes.

Proposition 6. If $\mu \leq \lambda$ in dominance order, we have

$$\deg_q L_{\lambda\mu}(q) = n(\mu) - n(\lambda).$$

The property that is most suggestive that these polynomials are analogs of the Kostka-Foulkes polynomials is

Conjecture 7. The Q -Kostka polynomials $L_{\lambda\mu}(q)$ have non-negative coefficients.

We can prove this conjecture for some particular cases. In general we believe that there should exist a similar combinatorial interpretation as for the Kostka-Foulkes polynomials. More precisely there should exist a statistic function d on the set of marked shifted tableaux, similar to the charge function on column strict tableaux, such that

$$L_{\lambda\mu}(q) = \sum_T q^{d(T)}$$

summed over marked shifted tableaux of shifted shape λ and content μ with diagonal entries unmarked.

In addition, we conjecture that this function must have the property that if T and S are two marked shifted tableaux such that by erasing the marks the two resulting tableaux coincide, then $d(T) = d(S)$.

For some of the polynomials $L_{\lambda\mu}(q)$, this observation determines completely the statistic on the tableaux. For instance there are two marked shifted tableaux classes of shape $(5, 3)$ and content $(4, 3, 1)$ and $L_{(5,3),(4,3,1)}(q) = 2q + 4q^2$. Clearly the tableau with a 3 in the first row must have statistic 1 and with 3 in the second row has statistic 2. On the other hand, $L_{(6,2),(4,3,1)}(q) = 4q^2 + 4q^3$. This polynomial does not uniquely determine which of the two tableaux have statistic 2 and 3. We have used the function $G_{(4,3,1)}[X; q]$ to draw a conjectured tableau poset (similar to the case of column strict tableau) for the marked shifted tableaux with unmarked diagonals of content $(4, 3, 1)$ in an appendix.

We also note that monotonicity properties, similar to Property 7 and 8, hold for the Q -Kostka polynomials.

Conjecture 8. Let $L'_{\lambda\mu}(q) := q^{n(\mu)-n(\lambda)}L_{\lambda\mu}(q^{-1})$. We have

$$L'_{\lambda\mu}(q) \geq 2^{\ell(\nu)-\ell(\mu)}L'_{\lambda\nu}(q), \quad \text{for } \mu \leq \nu \text{ in dominance order.}$$

We can prove this fact by using induction and the recurrence (25) for the case $\mu_1 = \nu_1$.

Example 3. Let $\lambda = (6, 2)$, $\mu = (4, 3, 1)$, $\nu = (5, 2, 1)$. We have $n(\lambda) = 2$, $n(\mu) = 5$, and $n(\nu) = 4$. The L' polynomials are

$$L'_{\lambda\mu} = q^{5-2}(4/q^2 + 4/q^3) = 4 + 4q, \quad L'_{\lambda\nu} = q^{4-2}(2/q + 4/q^2) = 4 + 2q,$$

and thus $L'_{\lambda\mu}(q) \geq 2^{3-3}L'_{\lambda\nu}(q)$.

Another property of the Kostka-Foulkes polynomials case that seems to hold in our case refers to the growth of the polynomials L . For the Kostka-Foulkes polynomials the conjecture is due to Gupta (see [1] and references therein).

Conjecture 9. If r is an integer that is not a part in either partitions λ or μ , then

$$L_{\lambda+(r),\mu+(r)}(q) \geq L_{\lambda\mu}(q).$$

The case where $r > \lambda_1$ (which also ensures that $r > \mu_1$) is obviously true since $L_{(r,\lambda),(r,\mu)}(q) = L_{\lambda\mu}(q)$ (see Corollary 5).

Example 4. Let $\lambda = (5, 3)$, $\mu = (4, 3, 1)$ and $r = 2$. We have

$$L_{(5,3,2),(4,3,2,1)}(q) - L_{(5,3),(4,3,1)}(q) = 2q + 4q^2 + 8q^3 - (2q + 4q^2) = 8q^3.$$

The polynomials $L_{\lambda\mu}(q)$ have a similar interpretation to property 9 using an analog of the q -Kostant partition function. Using the formal inversion from [1], equation (12) may be written as

$$(28) \quad q_\lambda[X] = \prod_{i < j} \left(\frac{1 - R_{ij}}{1 + R_{ij}} \right)^{-1} Q_\lambda[X].$$

In fact if we let $\zeta_n := \prod_{i < j} \left(\frac{1 - x_i/x_j}{1 + x_i/x_j} \right)^{-1}$, we have that $\zeta_n = \sum_{\alpha \in \mathbb{Z}^n} \mathcal{R}(\alpha)e^\alpha$ where $\mathcal{R}(\alpha) = \sum_t a_t 2^t$

and a_t counts the number of ways the vector α can be written as a sum of positive roots of type A_{n-1} , t of which are distinct. The positive roots in the root lattice of A_{n-1} are $\{e_i - e_j\}_{1 \leq i < j \leq n}$, where $e_i = (0, \dots, 1, \dots, 0)$ is the canonical basis of \mathbb{Z}^n .

The q -analog of ζ_n is defined to be

$$\zeta_n(q) := \prod_{i < j} \left(\frac{1 - qx_i/x_j}{1 + qx_i/x_j} \right)^{-1},$$

and thus $\zeta_n(q) = \sum_{\alpha \in \mathbb{Z}^n} \mathcal{R}_q(\alpha) e^\alpha$ where $\mathcal{R}_q(\alpha) = \sum_{t,k} a_{t,k} 2^t q^k$ and $a_{t,k}$ counts the number of ways the vector α can be written as a sum of k positive roots, t of which are distinct.

We can express the Q -Kostka polynomials in terms of $\mathcal{R}_q(\alpha)$ as

$$L_{\lambda\mu}(q) = \sum_{\alpha: Q_{\alpha+\mu} = \pm 2^t Q_\lambda} \pm 2^t \mathcal{R}_q(\alpha).$$

It is possible to express the equation above using the action of the symmetric group on Schur's Q -functions, yielding an alternating sum similar to Property 9. Unfortunately the action of the symmetric group on Schur's Q -functions indexed by a general integer vector is not as elegant as for Schur functions (due to relation (15)).

Remark: Most of the properties of the Q -Kostka polynomials $L_{\lambda\mu}(q)$ are analogous to the Kostka-Foulkes. A few properties for the Kostka-Foulkes polynomials do not have a corresponding property for the Q -Kostka polynomials.

1. The analog of Property 6 does not seem to hold since computations of $G_\lambda[X; q]$ where q is set to a root of unity do not factor.
2. There does not seem to exist an elegant relationship between $G_\lambda[X; q]$ and its dual basis (Property 11).
3. A property similar to that of Property 10 does not seem to hold. We do not know if there is a relationship between $G_\lambda[X; q]$ and a Hall-like algebra.
4. The symmetries of the Macdonald symmetric function in Λ cannot hold in Γ and do not suggest what a two parameter analog of what these functions must be.

3.3. Generalized (parabolic) Q -Kostka polynomials. Shimozono and Weyman [17], defined a generalization of the Kostka-Foulkes polynomials that are a q -analog of the Littlewood-Richardson coefficients. They were originally defined as the coefficient of a Schur function in a symmetrized rational series, however it became clear in later work [18] that they can be defined as coefficients in families of symmetric functions using formulas similar to those presented here.

This construction exists in complete analogy within the Q -function algebra. We will create a family of functions in Γ which are indexed by a sequence of strict partitions. Let $\mu^* = (\mu^{(1)}, \mu^{(2)}, \dots, \mu^{(k)})$ where $\mu^{(i)}$ is a strict partition and set $\eta = (\ell(\mu^{(1)}), \ell(\mu^{(2)}), \dots, \ell(\mu^{(k)}))$. Define $Roots_\eta = \{(i, j) : 1 \leq i \leq \eta_1 + \dots + \eta_r < j \leq n \text{ for some } r\}$ and then define the function

$$(29) \quad G_{\mu^*}[X; q] = \prod_{(i,j) \in Roots_\eta} \frac{1 + qR_{ij}}{1 - qR_{ij}} Q_{\bar{\mu}^*}[X]$$

A generating function, vertex operator, and a Morris-like recurrence analogous to equations (21), (23) and (25) may be derived from this definition.

If we set $\bar{\mu}^*$ equal to the concatenation of the partitions in μ^* , then $G_{\mu^*}[X; 0] = Q_{\bar{\mu}^*}[X]$ and $G_{\mu^*}[X; 1] = Q_{\mu^{(1)}}[X] Q_{\mu^{(2)}}[X] \cdots Q_{\mu^{(k)}}[X]$. Define the polynomials $L_{\lambda\mu^*}(q)$ by the expansion

$$(30) \quad G_{\mu^*}[X; q] = \sum_{\lambda} L_{\lambda\mu^*}(q) Q_\lambda[X].$$

Computing these coefficients suggests the following remarkable conjecture and indicates that these coefficients are an important q -analog of the structure coefficients of the $Q_\lambda[X]$ functions in the same way that the parabolic Kostka coefficients are q -analogs of the Littlewood-Richardson coefficients.

Conjecture 10. *For a sequence of partitions μ^* , if $\bar{\mu}^*$ is a partition then $L_{\lambda\mu^*}(q)$ is a polynomial in q with non-negative integer coefficients.*

4. APPENDIX: TABLES OF $2^{\ell(\lambda) - \ell(\mu)} L_{\lambda\mu}(q)$ FOR $n = 4, 5, 6, 7, 8, 9$

$$\begin{bmatrix} (3, 1) & (4) \\ 1 & q \\ 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} (3, 2) & (4, 1) & (5) \\ 1 & 2q & q^2 \\ 0 & 1 & q \\ 0 & 0 & 1 \end{bmatrix}$$

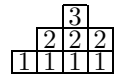
$$\begin{bmatrix} (3, 2, 1) & (4, 2) & (5, 1) & (6) \\ 1 & 2q^2 + q & 2q^3 + q^2 & q^4 \\ 0 & 1 & 2q & q^2 \\ 0 & 0 & 1 & q \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} (4, 2, 1) & (4, 3) & (5, 2) & (6, 1) & (7) \\ 1 & q & 2q^2 + q & 2q^3 + q^2 & q^4 \\ 0 & 1 & 2q & 2q^2 & q^3 \\ 0 & 0 & 1 & 2q & q^2 \\ 0 & 0 & 0 & 1 & q \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} (4, 3, 1) & (5, 2, 1) & (5, 3) & (6, 2) & (7, 1) & (8) \\ 1 & 2q & 2q^2 + q & 2q^2 + 2q^3 & q^3 + 2q^4 & q^5 \\ 0 & 1 & q & 2q^2 + q & 2q^3 + q^2 & q^4 \\ 0 & 0 & 1 & 2q & 2q^2 & q^3 \\ 0 & 0 & 0 & 1 & 2q & q^2 \\ 0 & 0 & 0 & 0 & 1 & q \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} (4, 3, 2) & (5, 3, 1) & (5, 4) & (6, 2, 1) & (6, 3) & (7, 2) & (8, 1) & (9) \\ 1 & 2q + 4q^2 & 2q^3 + q^2 & 2q^2 + 4q^3 & q^2 + 2q^4 + 4q^3 & 4q^4 + q^3 + 2q^5 & 2q^6 + 2q^5 & q^7 \\ 0 & 1 & q & 2q & 2q^2 + q & 2q^2 + 2q^3 & q^3 + 2q^4 & q^5 \\ 0 & 0 & 1 & 0 & 2q & 2q^2 & 2q^3 & q^4 \\ 0 & 0 & 0 & 1 & q & 2q^2 + q & 2q^3 + q^2 & q^4 \\ 0 & 0 & 0 & 0 & 1 & 2q & 2q^2 & q^3 \\ 0 & 0 & 0 & 0 & 0 & 1 & 2q & q^2 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & q \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

5. APPENDIX: EXAMPLE OF CONJECTURED TABLEAUX POSET OF CONTENT (4, 3, 1)



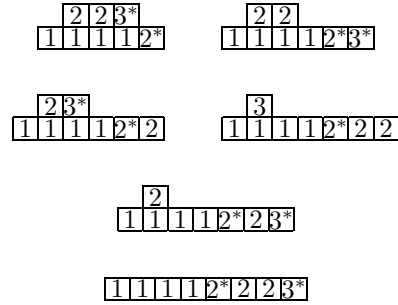


FIGURE 2. The cells marked with a k^* can be labeled with either k or k' , we conjecture that the statistic is independent of these markings. The value of $G_{(4,3,1)}[X; q]$ determines the position of each of the shifted tableaux here except for the two of shape $(6, 2)$. The covering relation is unknown, but the rank function indicates that it is not the same as the charge statistic.

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