

**NON-COMMUTATIVE SYMMETRIC FUNCTIONS I:  
A ZOO OF HOPF ALGEBRAS**

**MIKE ZABROCKI  
YORK UNIVERSITY**

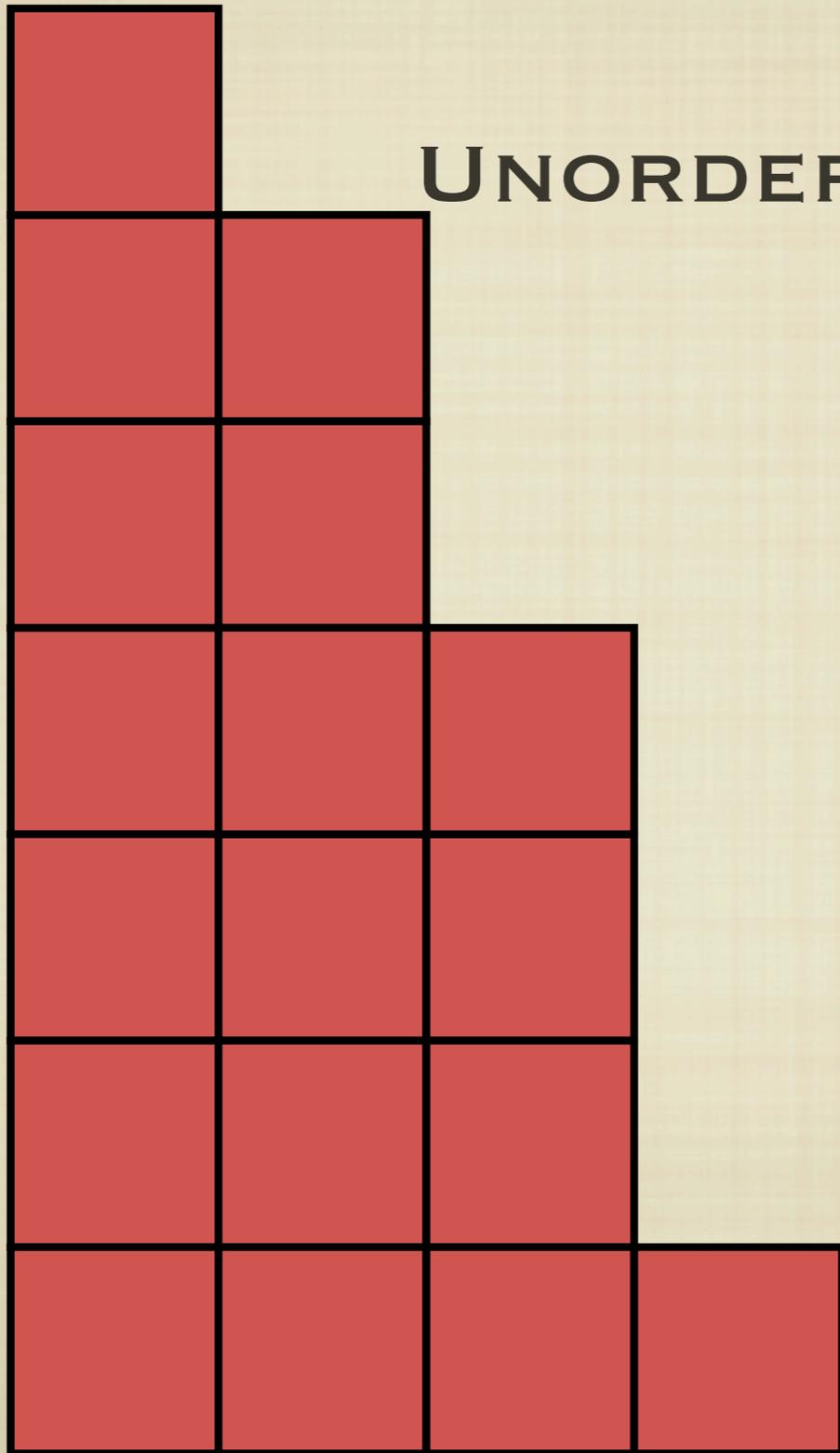
**JOINT WORK WITH NANTEL BERGERON,  
ANOUK BERGERON-BRLEK, EMMANUREL BRIAND,  
CHRISTOPHE HOHLWEG, CHRISTOPHE REUTENAUER,  
MERCEDDES ROSAS AND OTHERS...**

# PARTITIONS

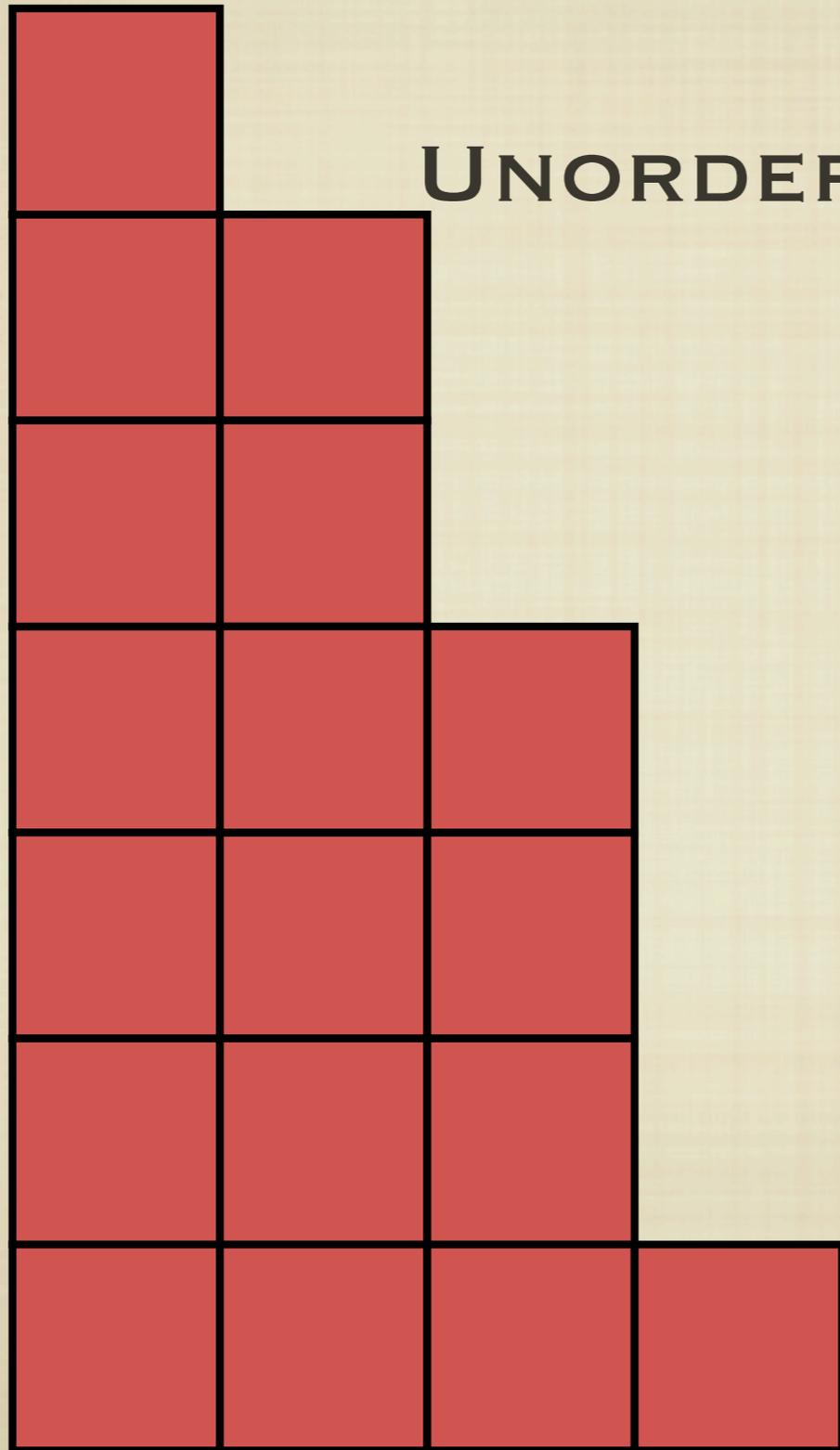
**UNORDERED LISTS OF NON-NEGATIVE  
INTEGERS**

# PARTITIONS

UNORDERED LISTS OF NON-NEGATIVE  
INTEGERS



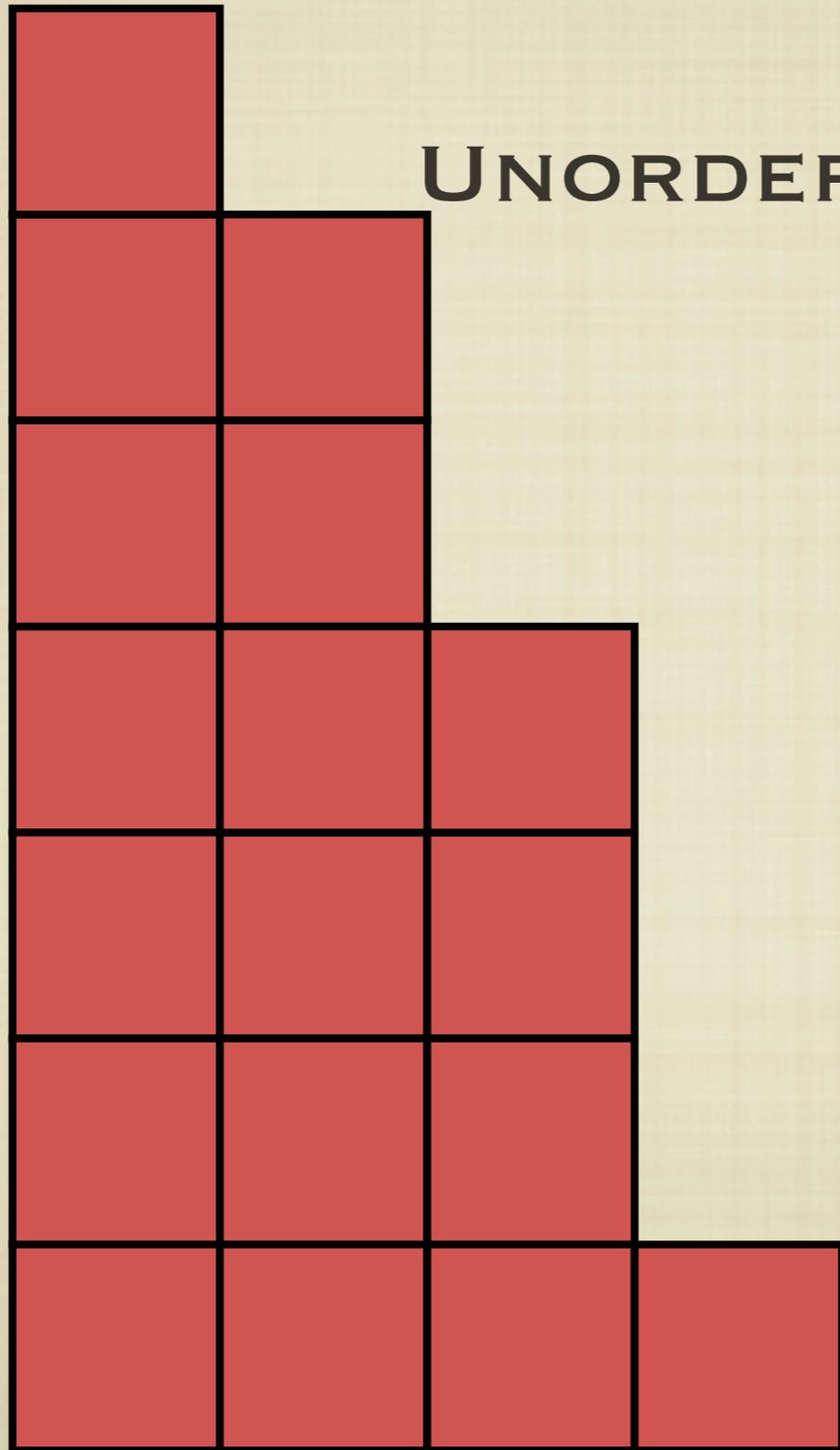
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UNORDERED LISTS OF NON-NEGATIVE  
INTEGERS

$(4, 3, 3, 3, 2, 2, 1)$

# PARTITIONS



UNORDERED LISTS OF NON-NEGATIVE  
INTEGERS

$$(4, 3, 3, 3, 2, 2, 1)$$

$$4 + 3 + 3 + 3 + 2 + 2 + 1$$

CREATE AN ALGEBRA

# CREATE AN ALGEBRA

■ LINEARLY SPANNED BY PARTITIONS

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■ COMMUTATIVE PRODUCT  $\mu$

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$$\Lambda = \bigoplus_i \Lambda_i$$

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$\Lambda_i$  LINEAR SPAN OF  
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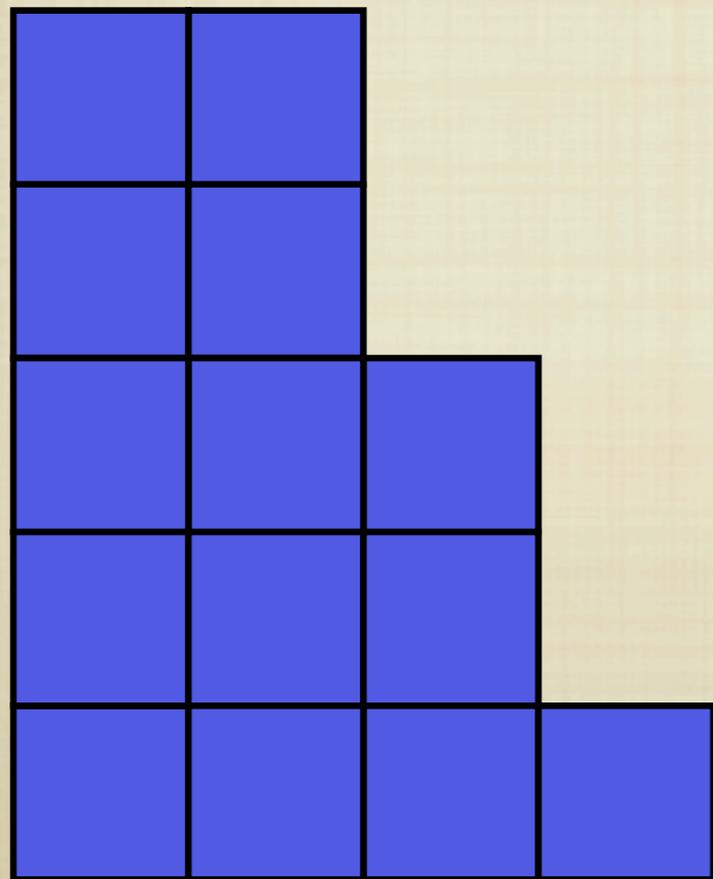
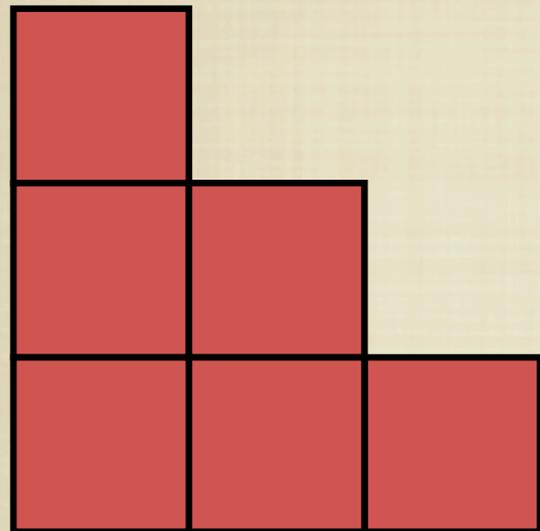
■ GRADED BY SIZE OF PARTITIONS

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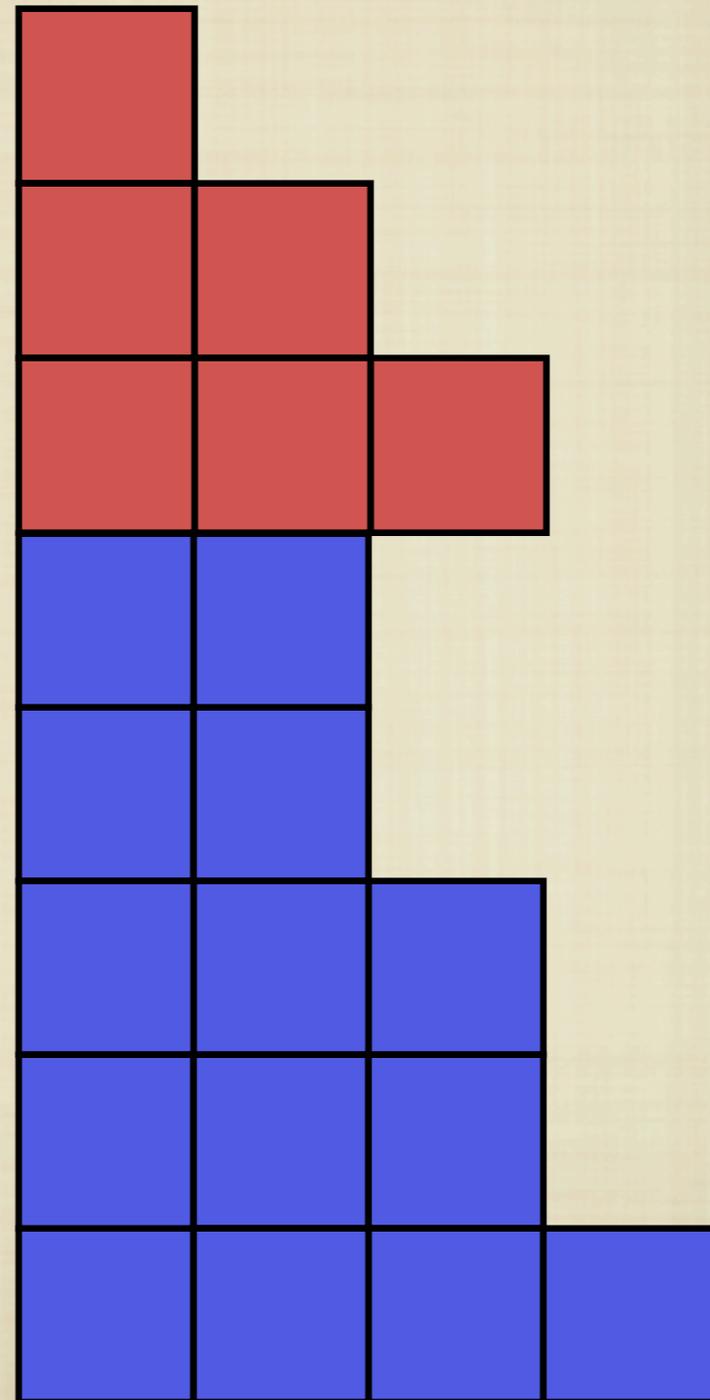
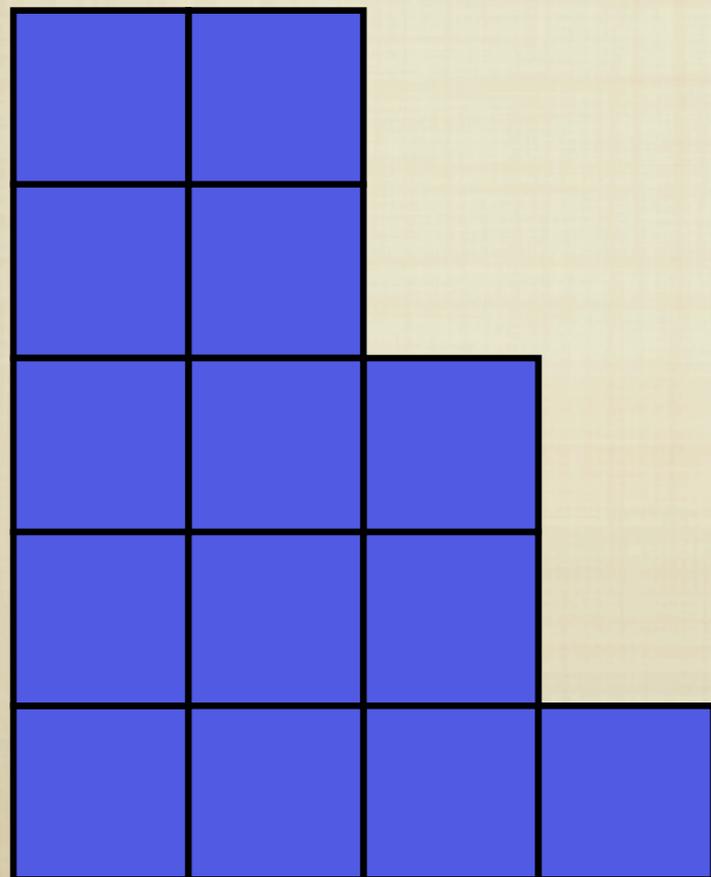
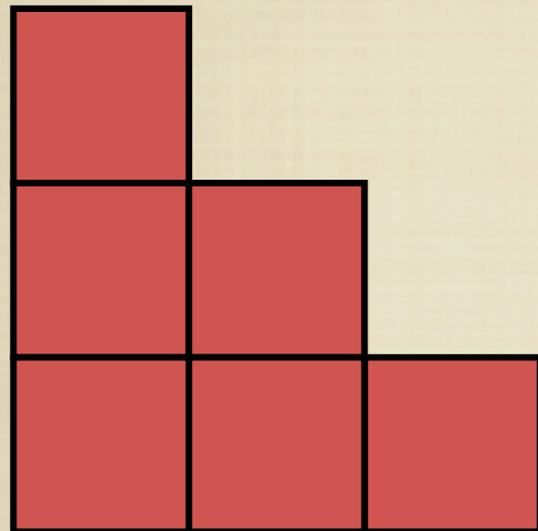
$\Lambda_i$  LINEAR SPAN OF  
PARTITIONS OF SIZE  $i$

$$\mu : \Lambda_i \otimes \Lambda_j \longrightarrow \Lambda_{i+j}$$

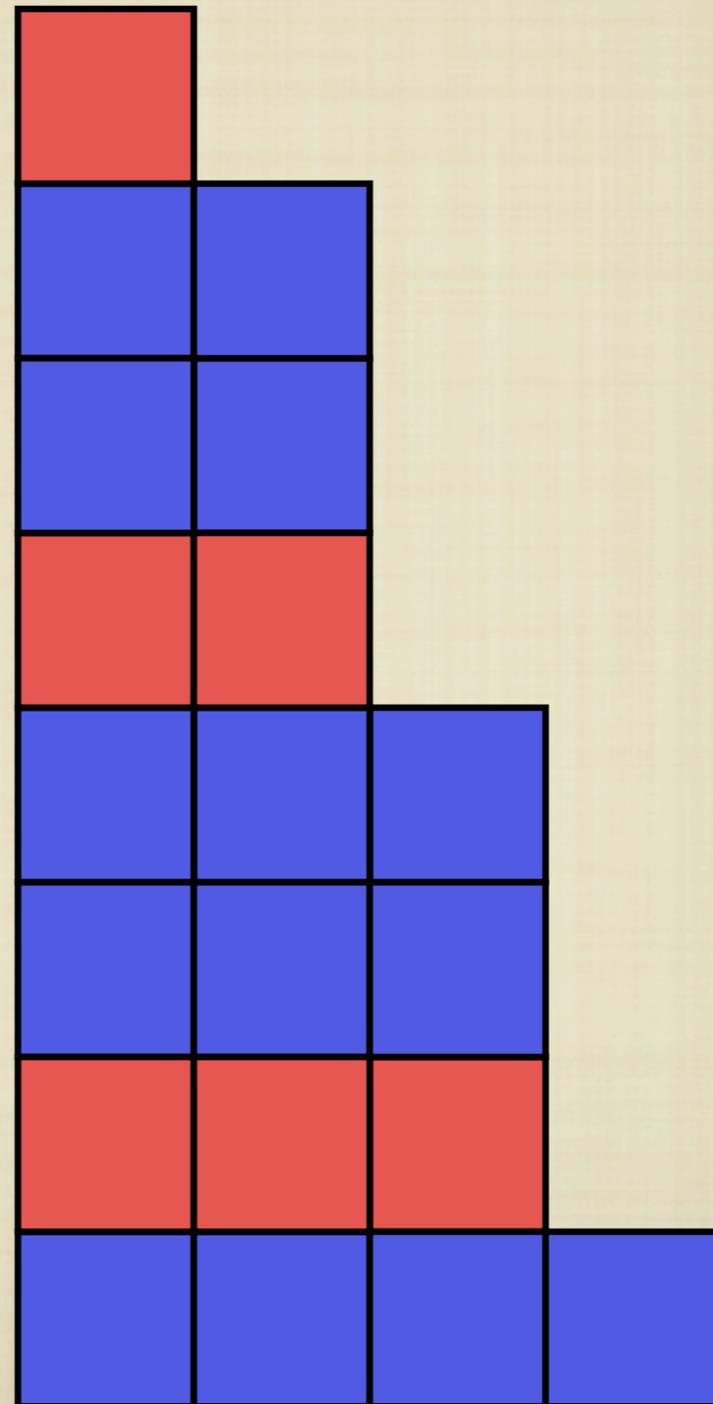
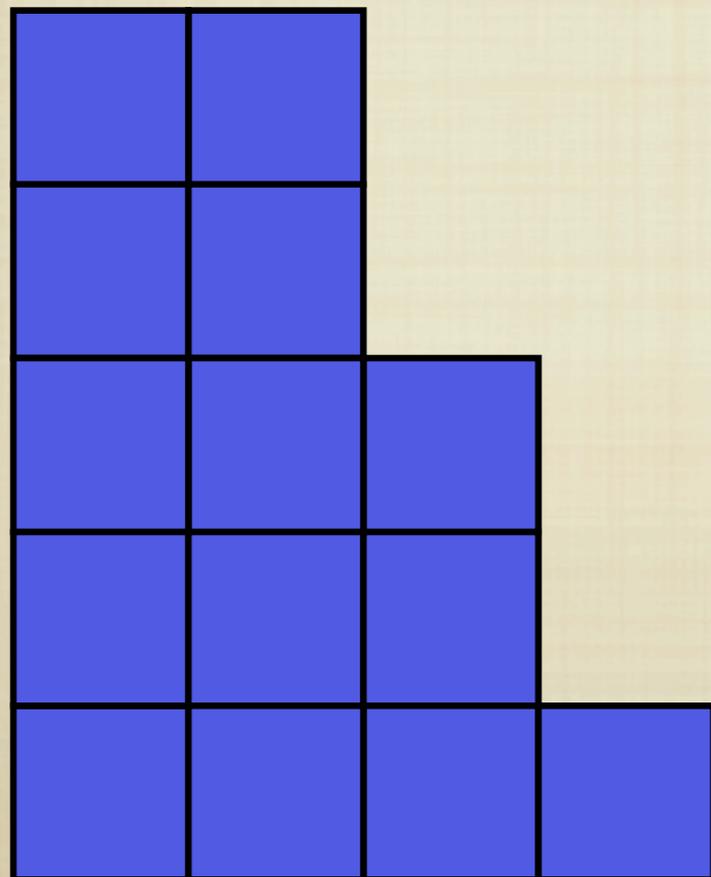
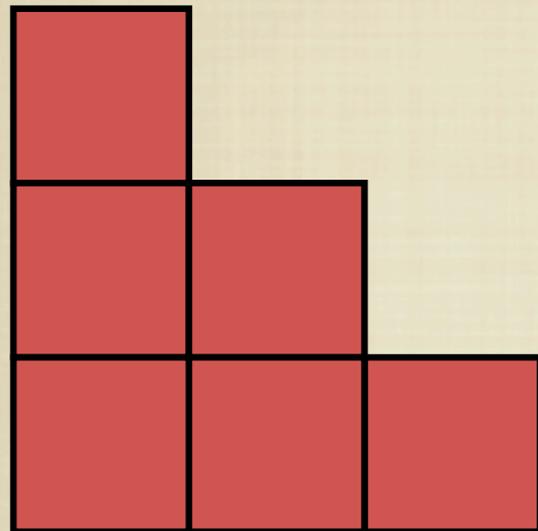
DEFINE A COMMUTATIVE PRODUCT



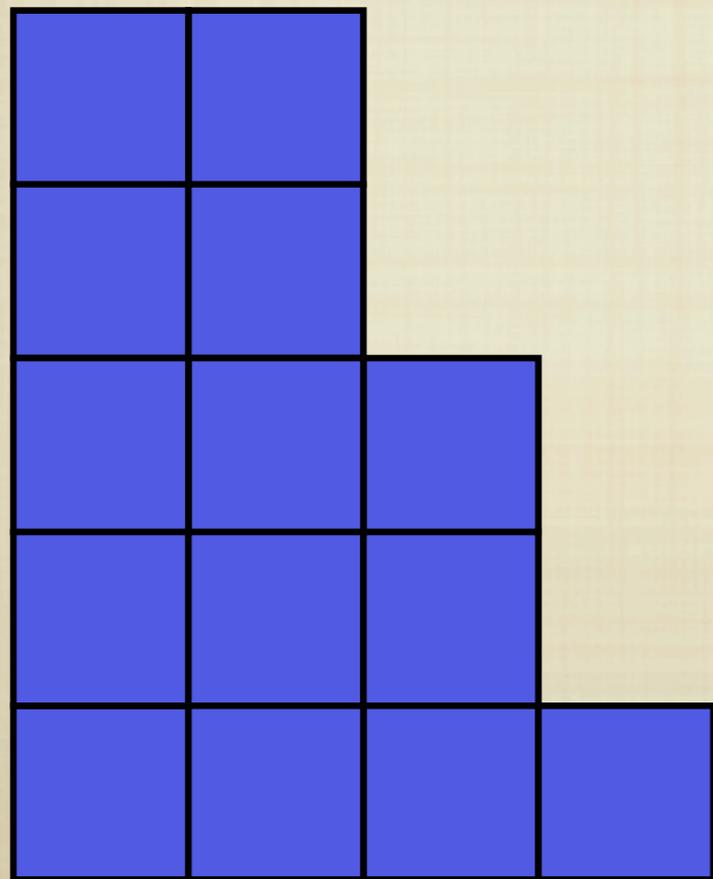
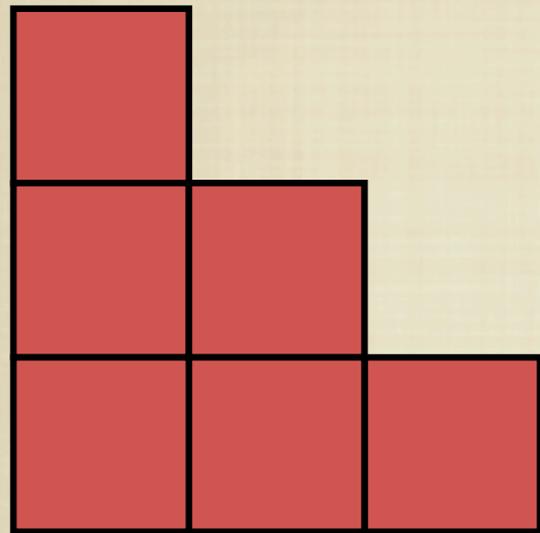
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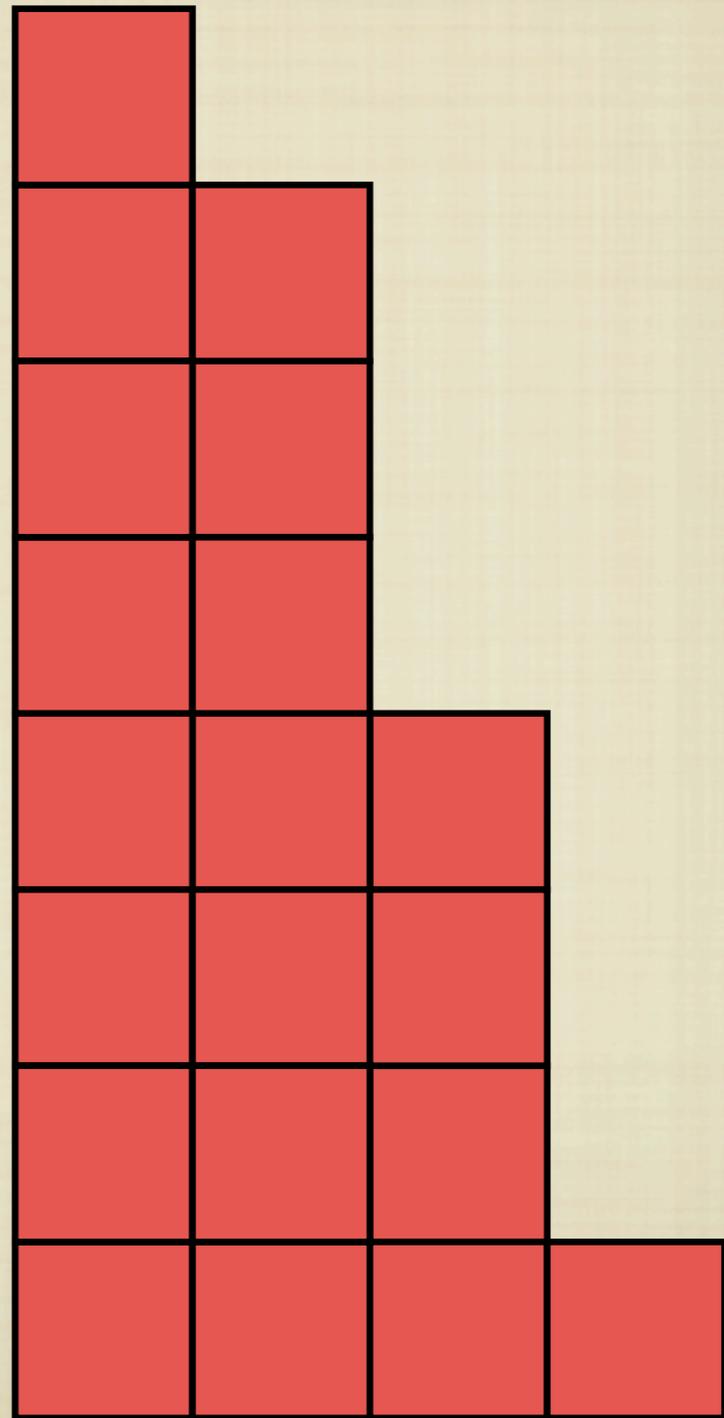
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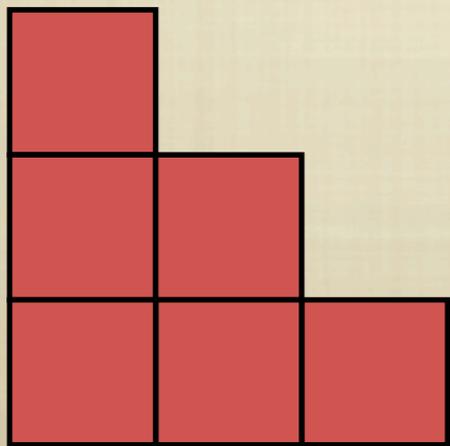
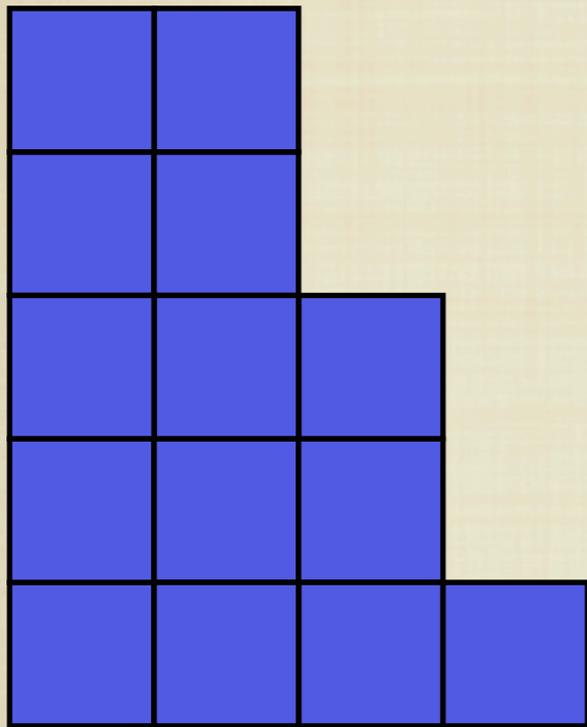


$\mu$



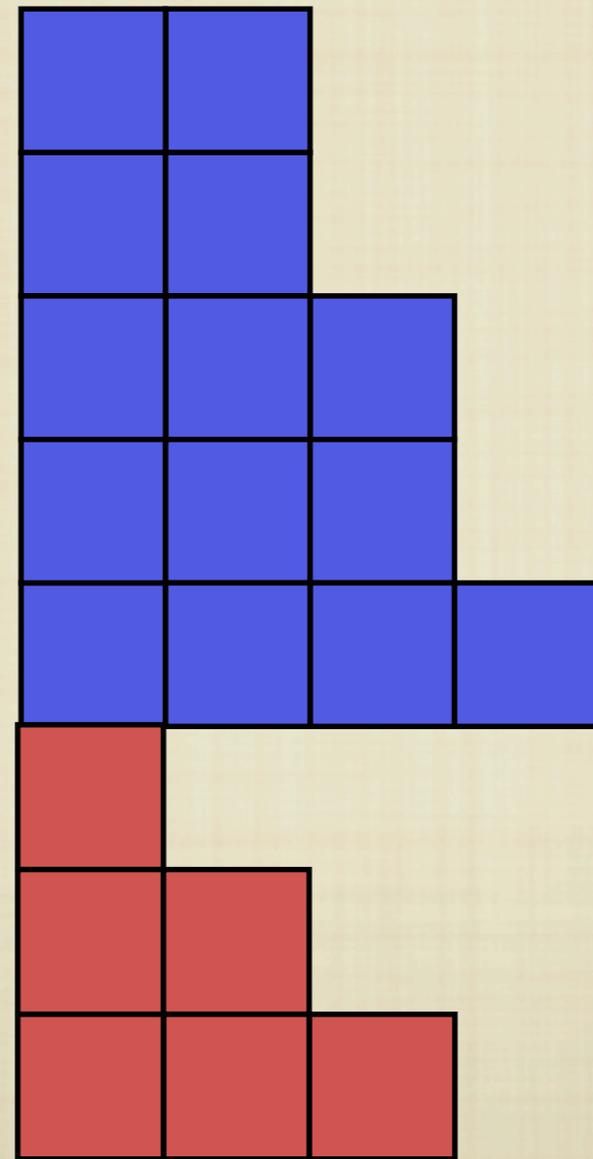
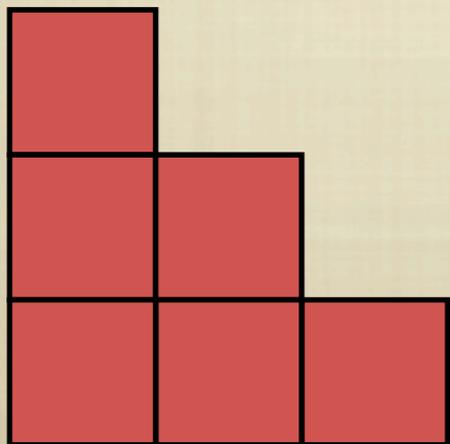
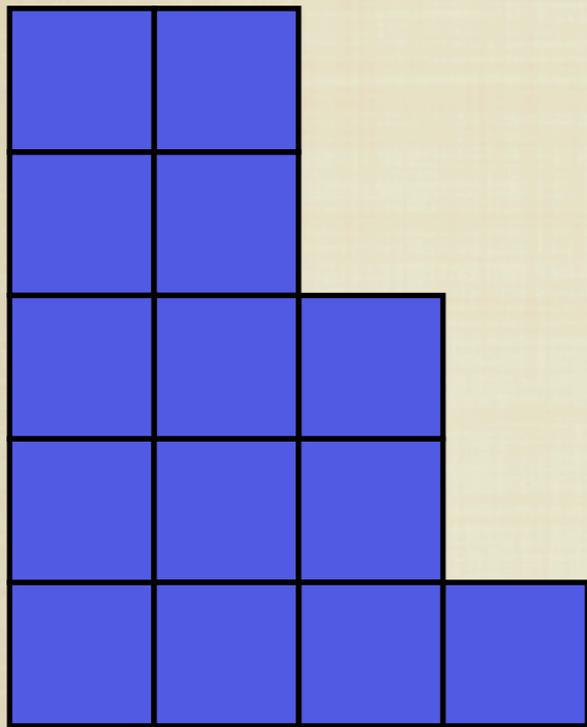
# PROPERTIES OF THIS ALGEBRA

## ■ COMMUTATIVE AND GRADED



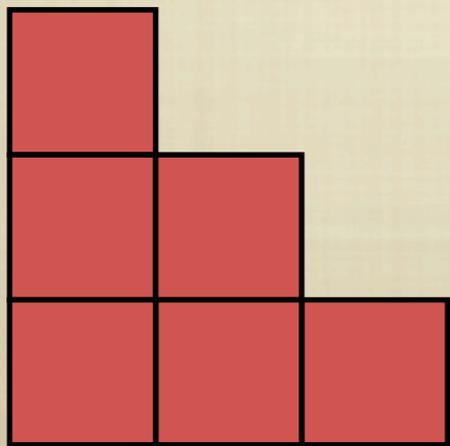
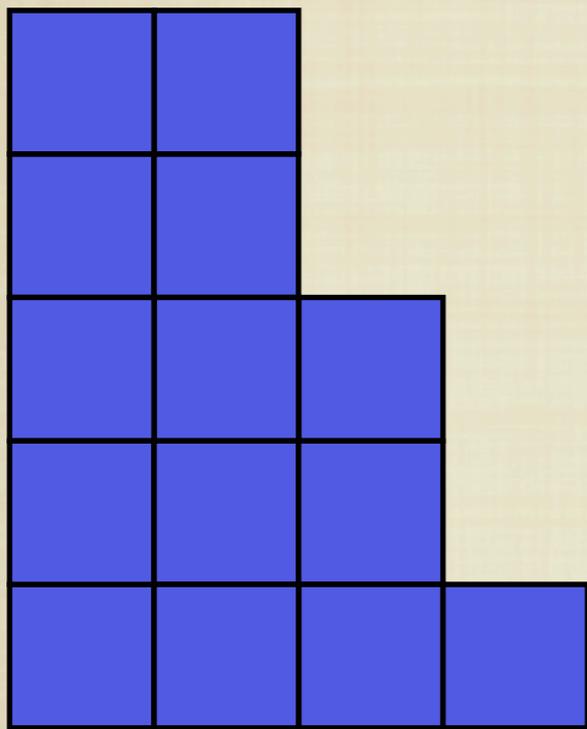
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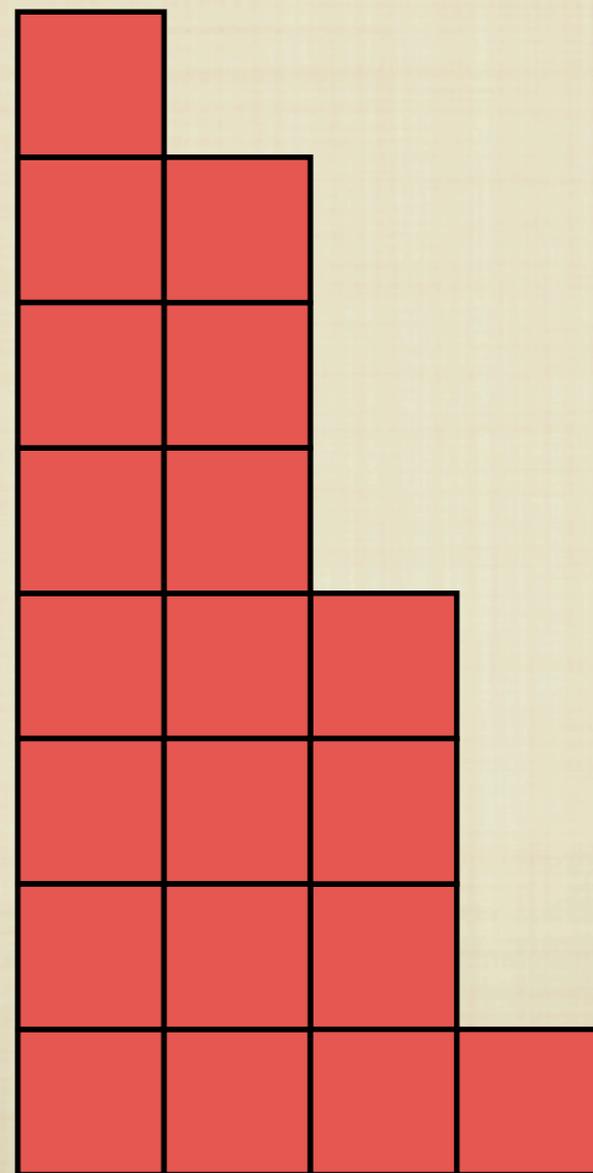


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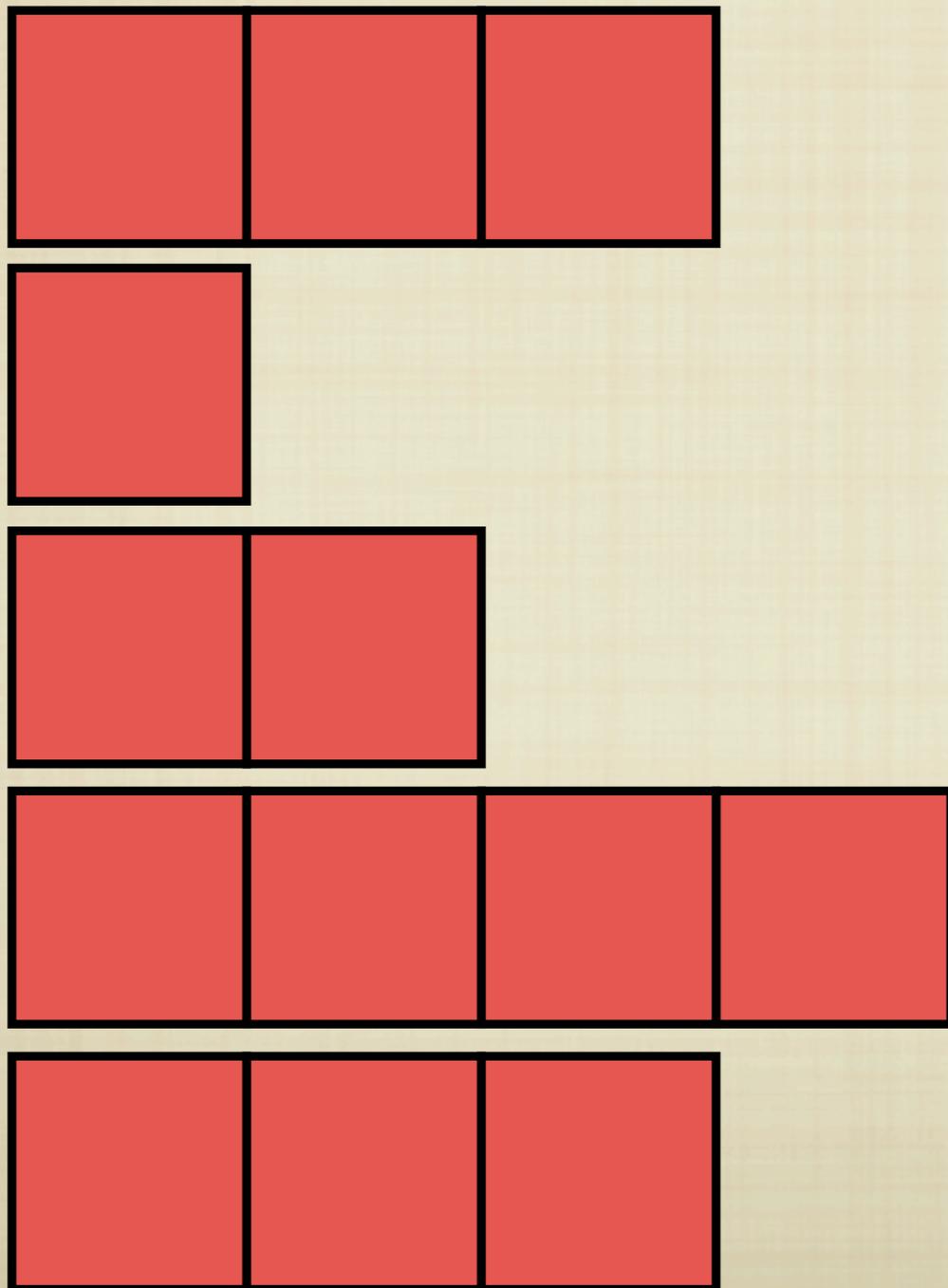


$\mu$



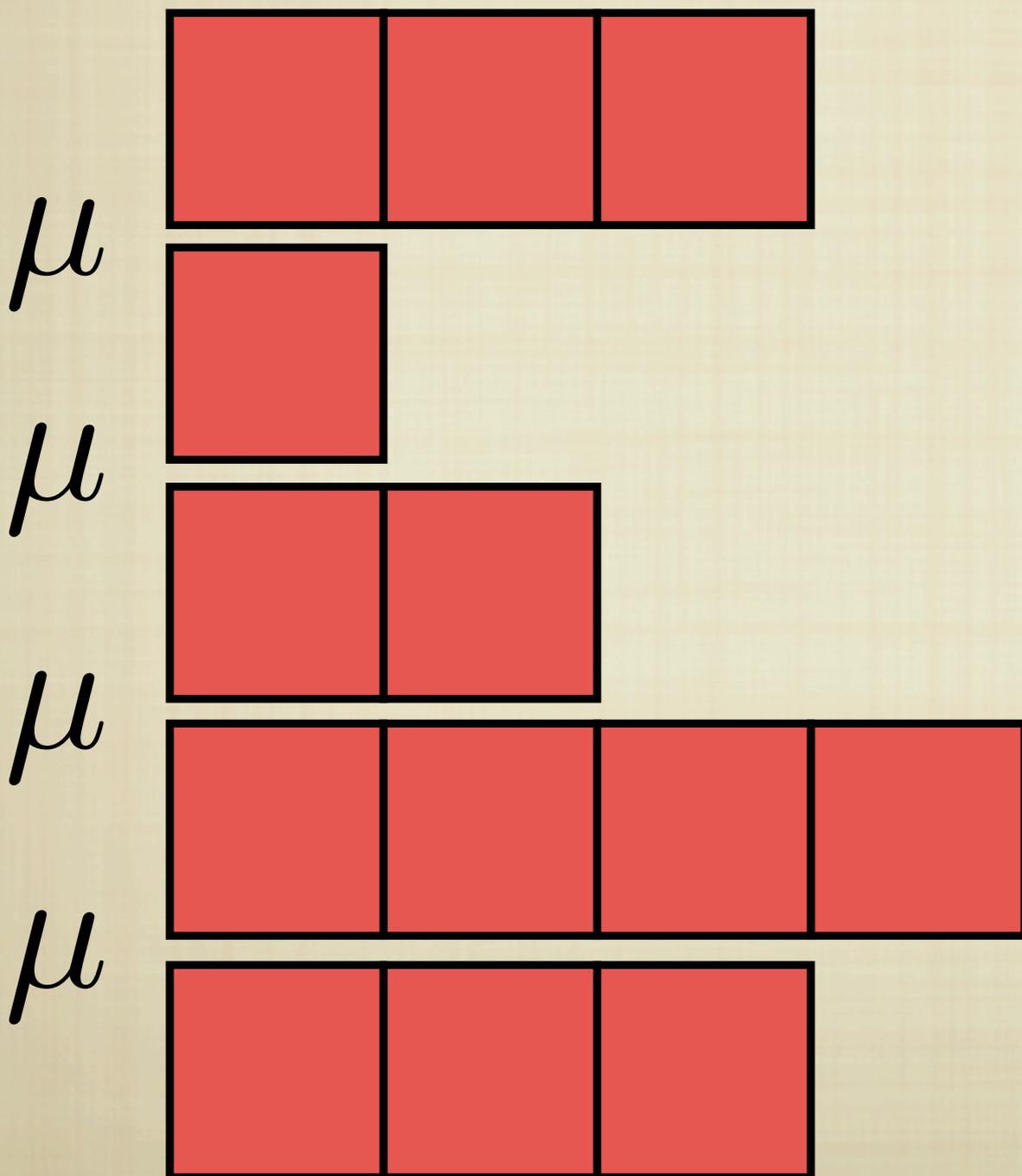
# PROPERTIES OF THIS ALGEBRA

- FREELY GENERATED BY BUILDING BLOCKS OF ROWS



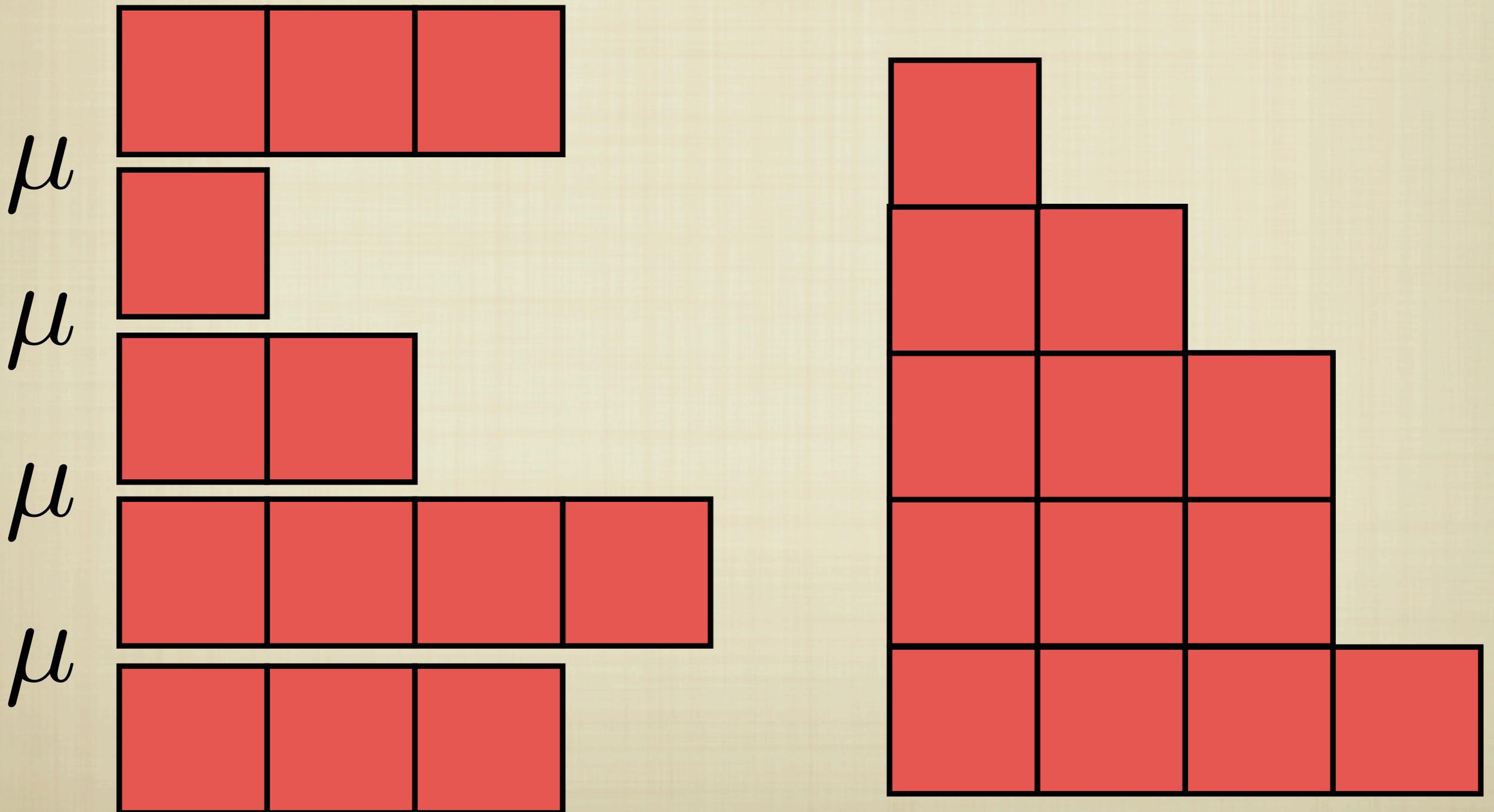
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$$\mu : \Lambda \otimes \Lambda \longrightarrow \Lambda$$

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$$\Lambda \simeq K[p_1, p_2, p_3, \dots]$$

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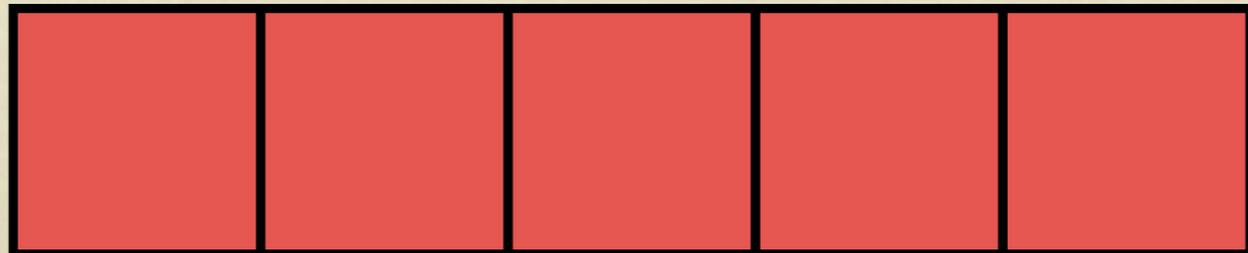
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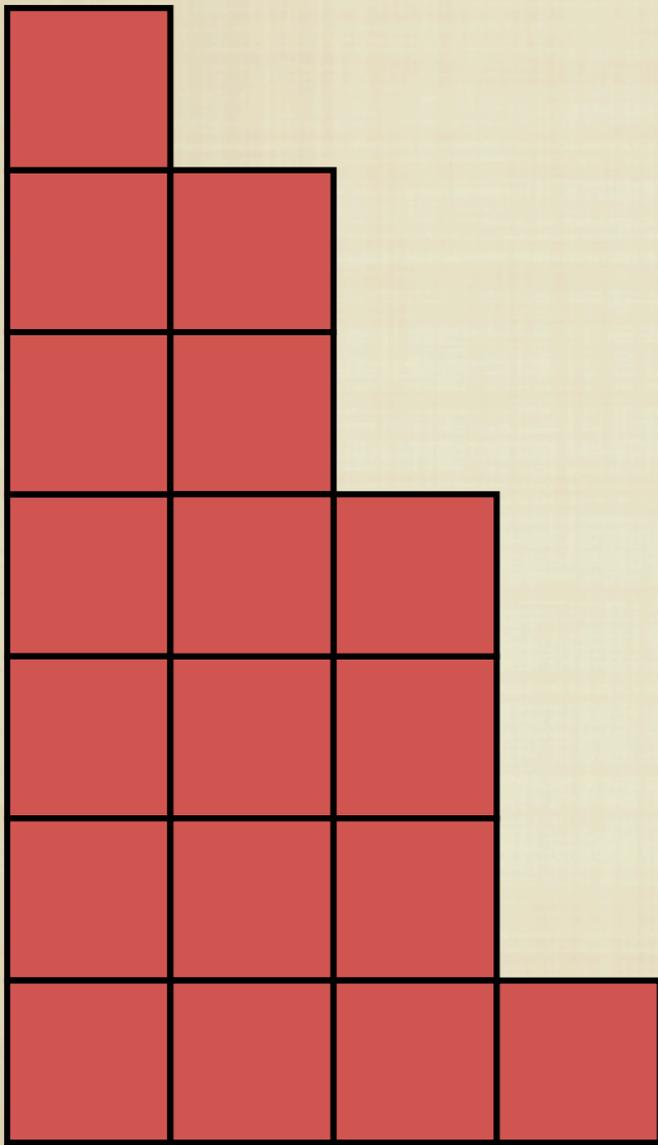
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$p_5 \leftrightarrow$

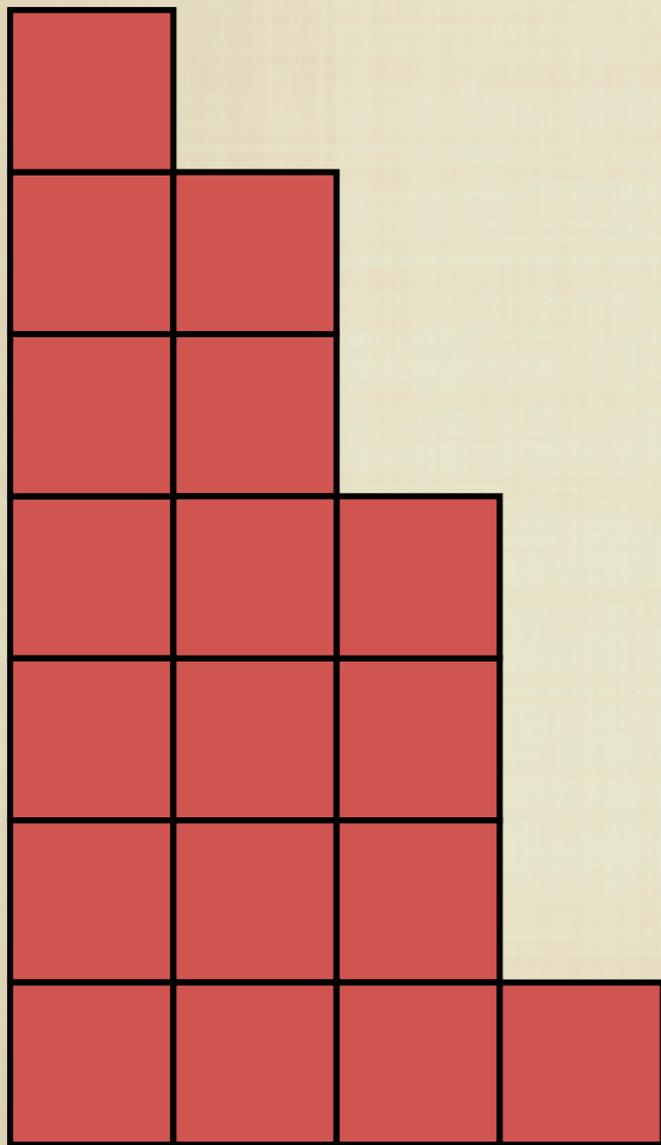


# FROM COMBINATORIAL OBJECT TO ALGEBRA



**PARTITIONS**

# FROM COMBINATORIAL OBJECT TO ALGEBRA

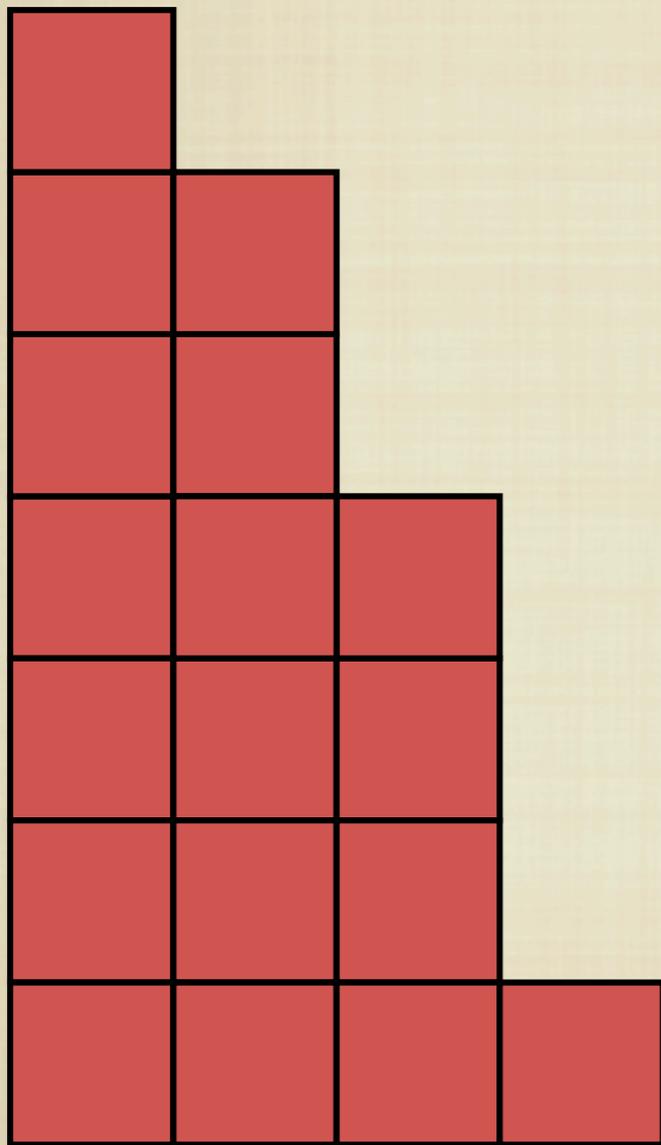


**PARTITIONS**



**SOME  
COMMUTATIVE  
PRODUCT**

# FROM COMBINATORIAL OBJECT TO ALGEBRA



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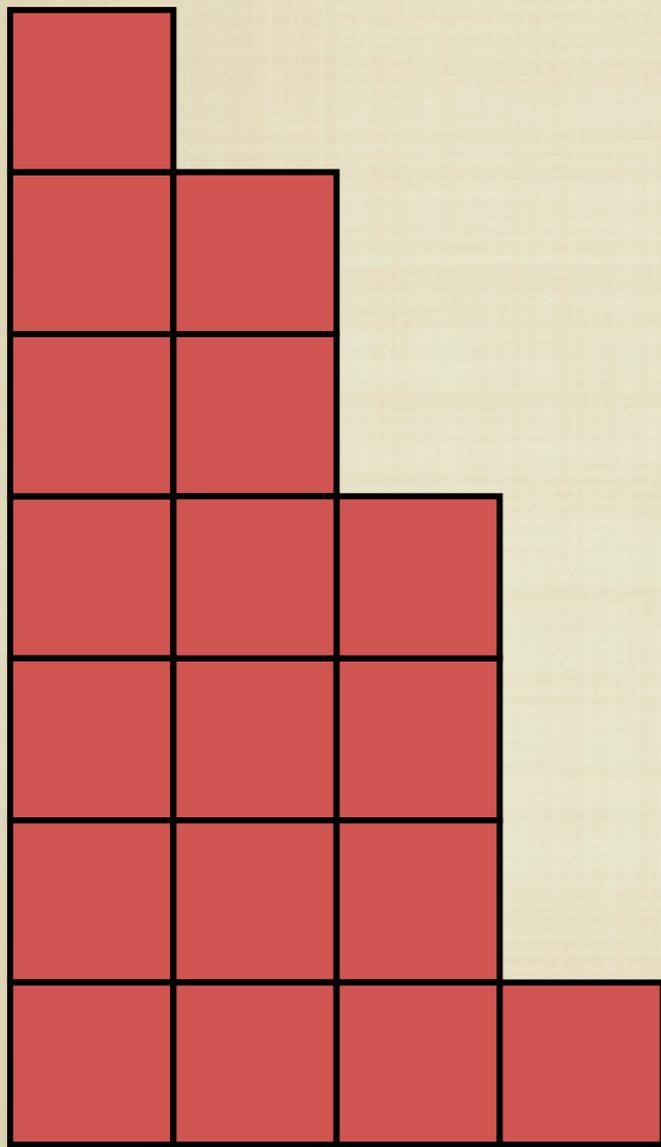


**SOME  
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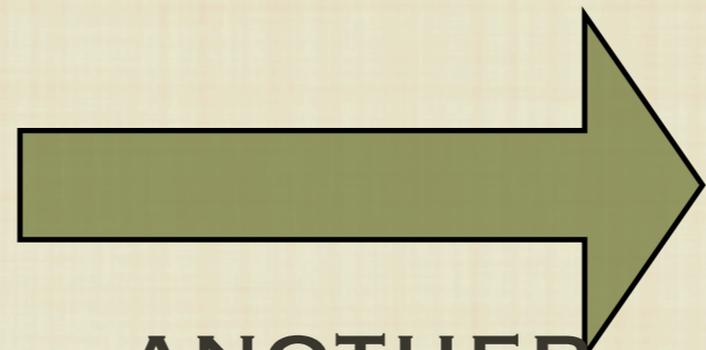
$$K[p_1, p_2, p_3, \dots]$$

**ALGEBRA**

# FROM COMBINATORIAL OBJECT TO ALGEBRA



**PARTITIONS**

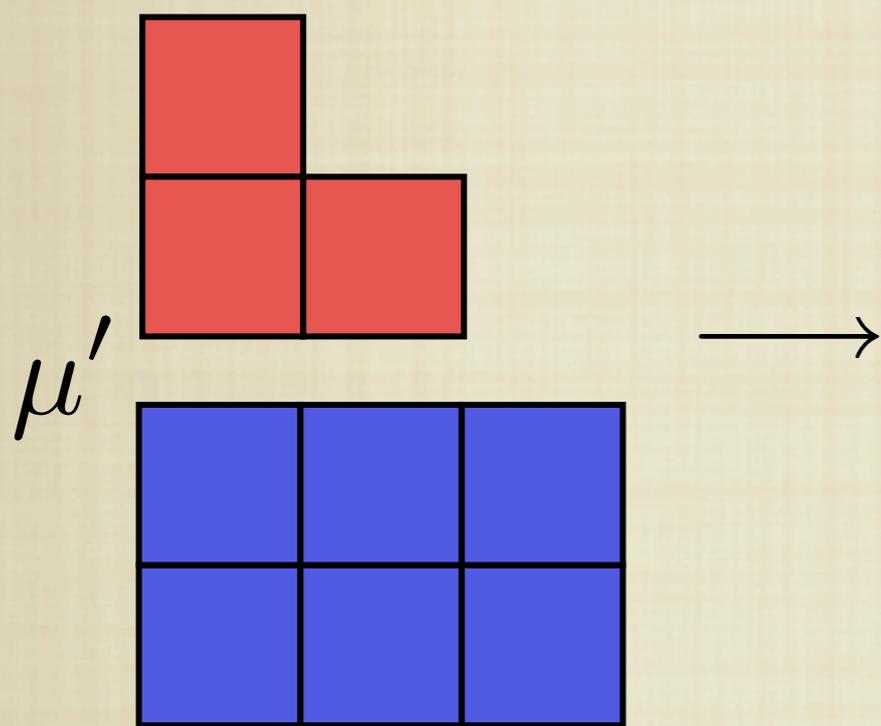


**ANOTHER  
COMMUTATIVE  
PRODUCT**

$$K[p_1, p_2, p_3, \dots]$$

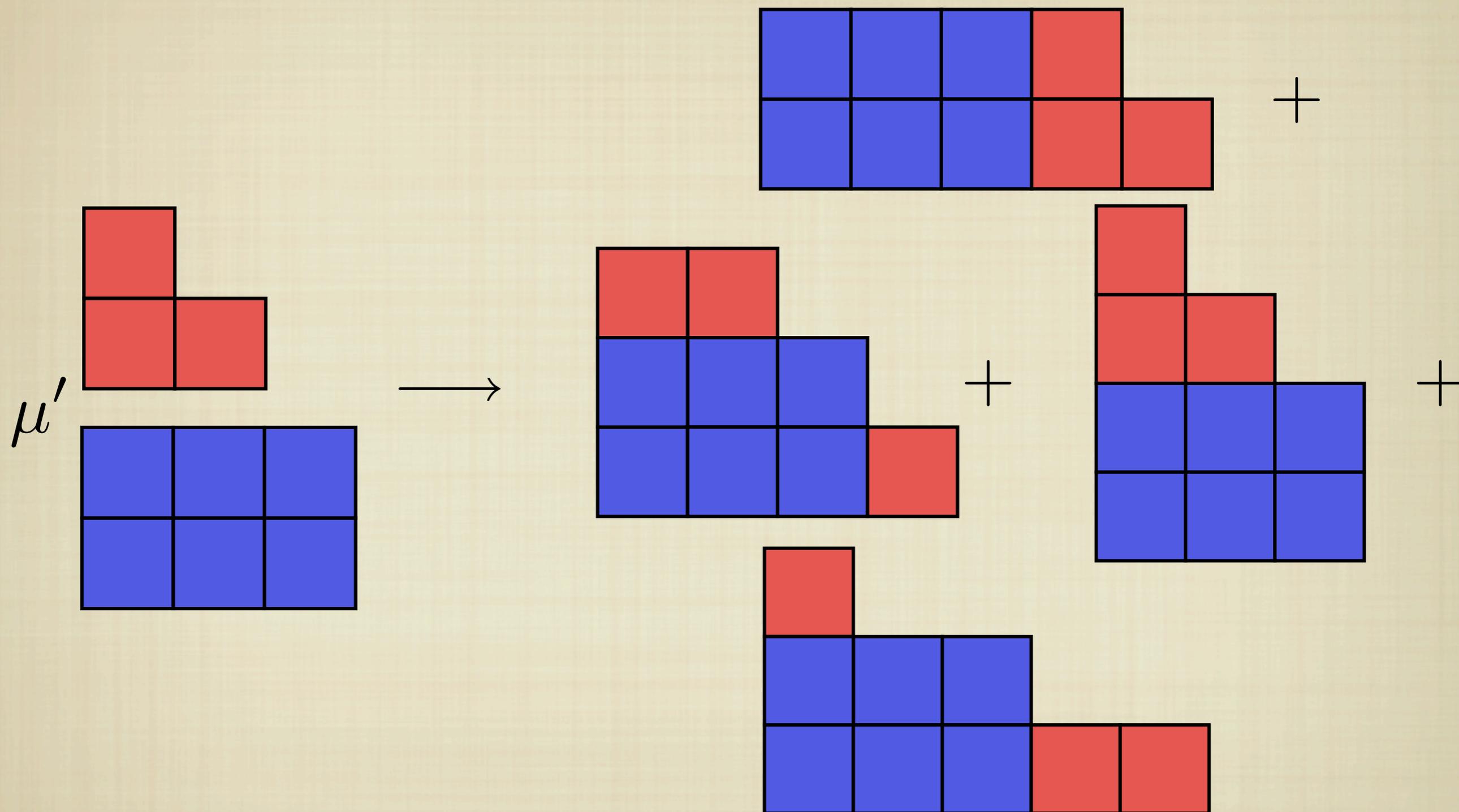
**ALGEBRA**

# A DIFFERENT COMMUTATIVE PRODUCT



AND YET THE ALGEBRA WHICH ARISES IS  
ISOMORPHIC TO  $K[p_1, p_2, p_3, \dots]$

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AND YET THE ALGEBRA WHICH ARISES IS ISOMORPHIC TO  $K[p_1, p_2, p_3, \dots]$

# THIS ALGEBRA IS SPECIAL



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- JUST ABOUT ANY ALGEBRA WITH BASIS INDEXED BY PARTITIONS IS ISOMORPHIC: E.G. SYMMETRIC FUNCTIONS, REPRESENTATION RING OF SYMMETRIC GROUP, RING OF CHARACTERS OF GLN MODULES, COHOMOLOGY RINGS OF THE GRASSMANNIANS, ETC.



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- HAS A HOPF ALGEBRA STRUCTURE PRODUCT + COPRODUCT + ANTIPODE WHICH ALL INTERACT NICELY WITH EACH OTHER



WHAT IS A HOPF ALGEBRA?

# WHAT IS A HOPF ALGEBRA?

START WITH A BIALGEBRA

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PRODUCT

$$\mu : H \otimes H \rightarrow H$$

COPRODUCT

$$\Delta : H \rightarrow H \otimes H$$

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$$\mu : H \otimes H \rightarrow H$$

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WITH UNIT

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AND COUNIT

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START WITH A BIALGEBRA

PRODUCT

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COPRODUCT

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WITH UNIT

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AND COUNIT

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AND AN ANTIPODE MAP

$$S : H \rightarrow H$$

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START WITH A BIALGEBRA

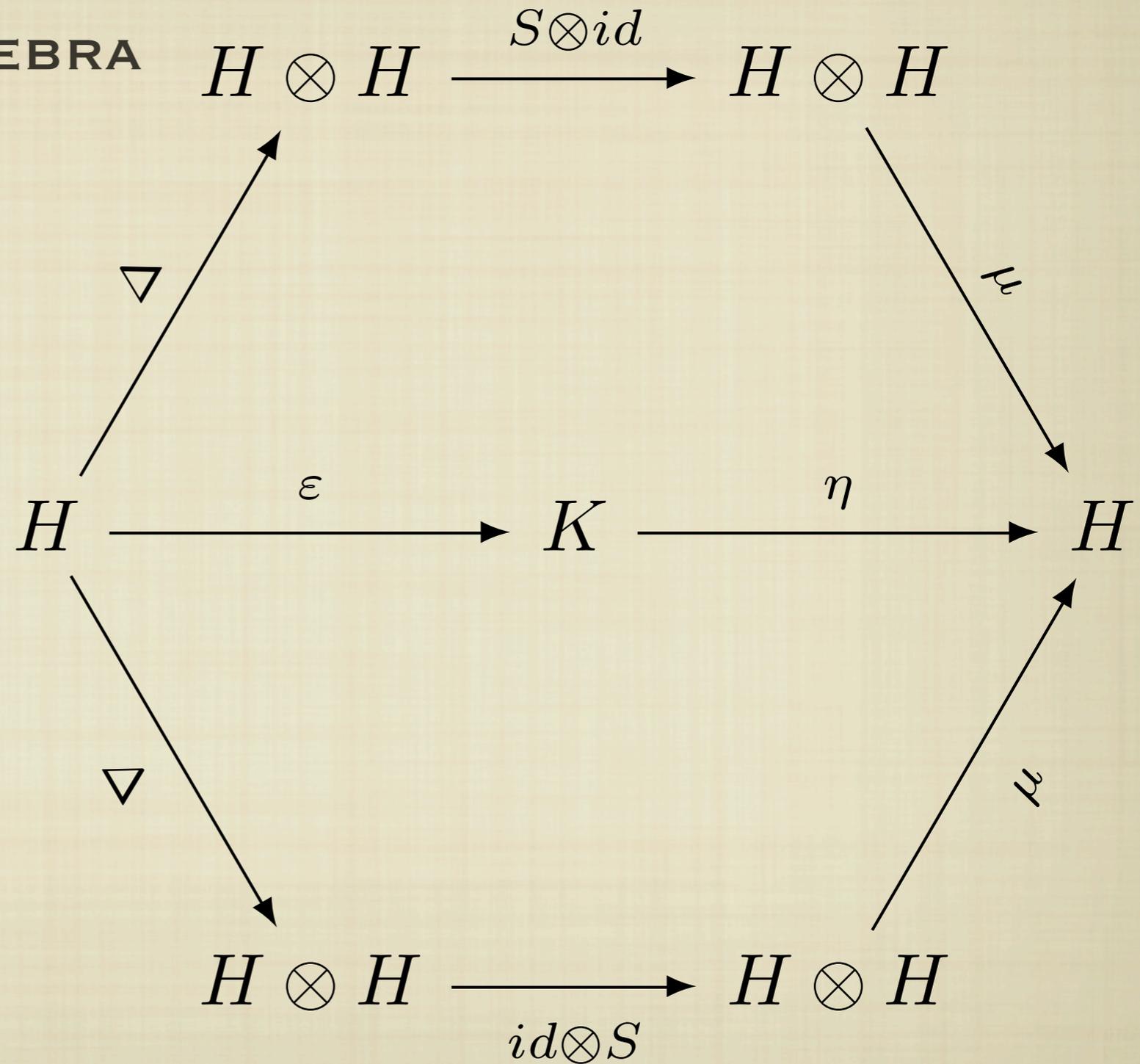
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$$\Delta : H \rightarrow H \otimes H$$

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$$\varepsilon : H \rightarrow K$$

$$S : H \rightarrow H$$



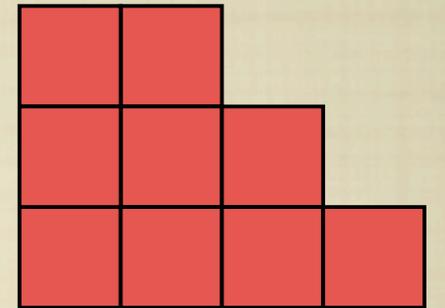
THIS DIAGRAM COMMUTES

# WHAT IS SO GOOD ABOUT A HOPF ALGEBRA?

- THE GRADED KIND ASSOCIATED WITH COMBINATORIAL OBJECTS HAVE LOTS OF STRUCTURE
- THERE SEEMS TO BE JUST “ONE” GRADED COMBINATORIAL HOPF ALGEBRA FOR EACH TYPE OF COMBINATORIAL OBJECT
- MANY OF THE COMBINATORIAL OPERATIONS ARE REFLECTED IN THE ALGEBRAIC STRUCTURE

# CHA'S IN THE MID-90S

THE SYMMETRIC FUNCTIONS ARE  
COMMUTATIVE AND GENERATED BY ONE  
ELEMENT AT EACH DEGREE

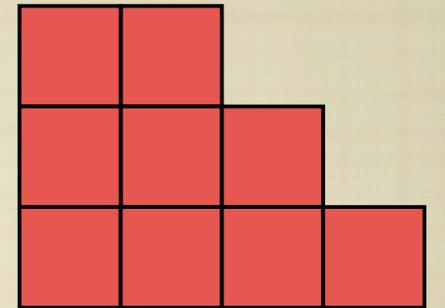


PARTITIONS

$$K[p_1, p_2, p_3, \dots]$$

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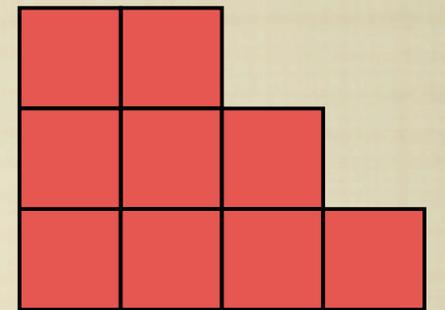
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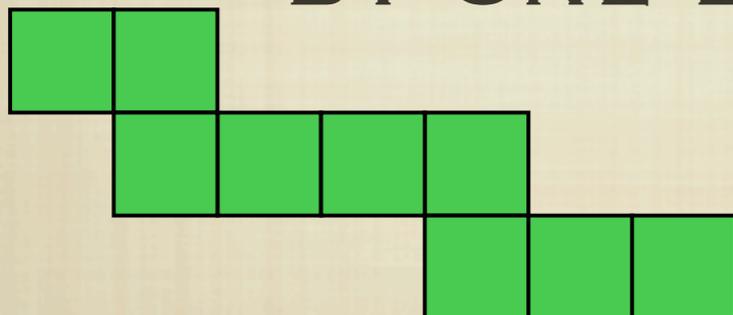
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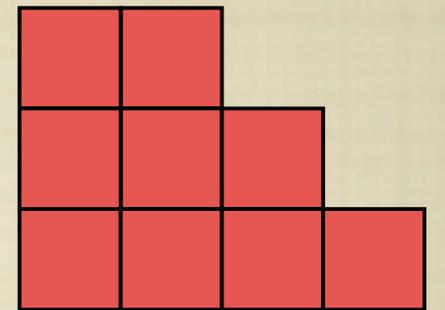
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COMPOSITIONS

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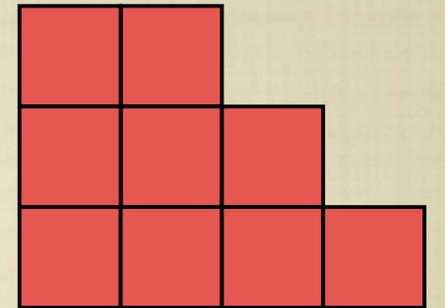
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CONCATENATION  
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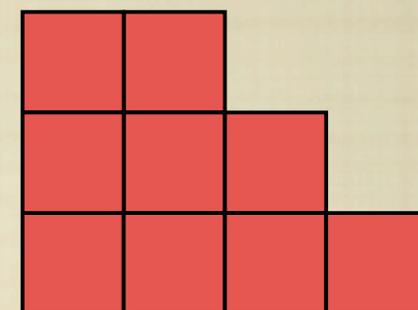


CONCATENATION  
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$$K \langle p_1, p_2, p_3, \dots \rangle$$

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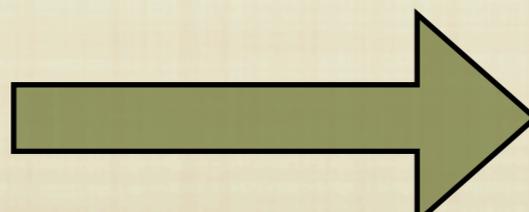
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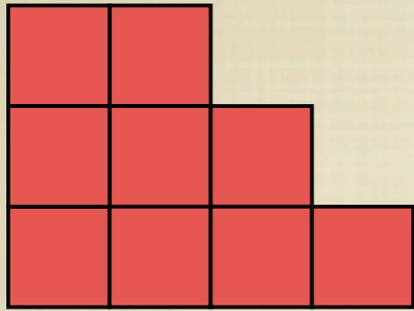


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I. Gelfand, D. Krob, A. Lascoux, B.  
Leclerc, V. Retakh, and J.-Y. Thibon

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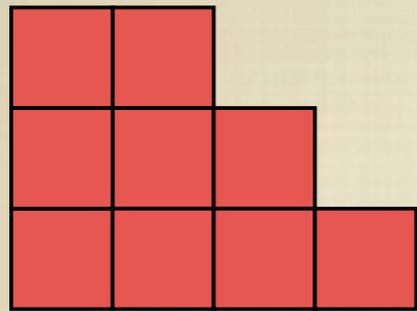
PARTITIONS

$$K[p_1, p_2, p_3, \dots]$$

*Sym*

*NSym*

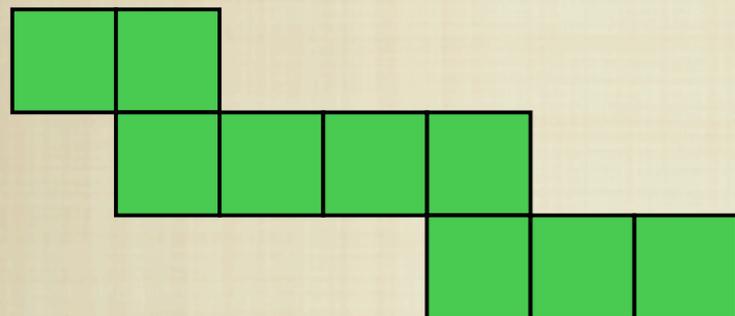
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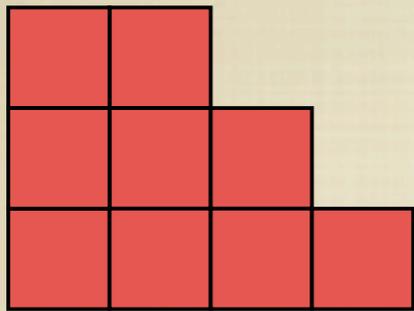
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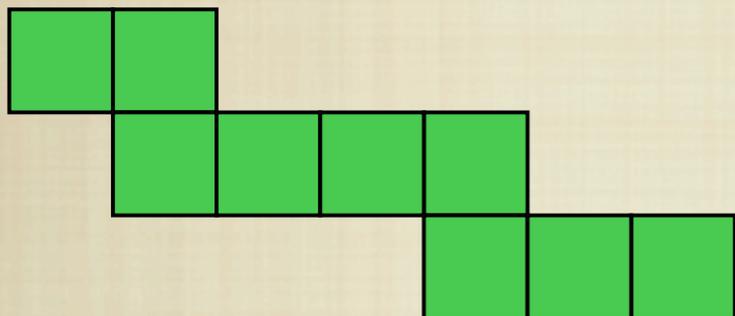
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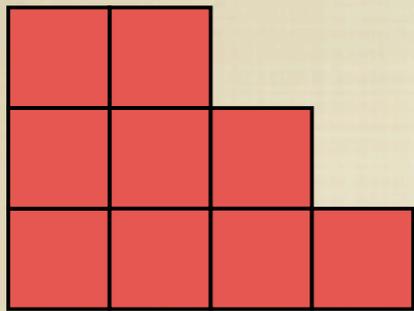


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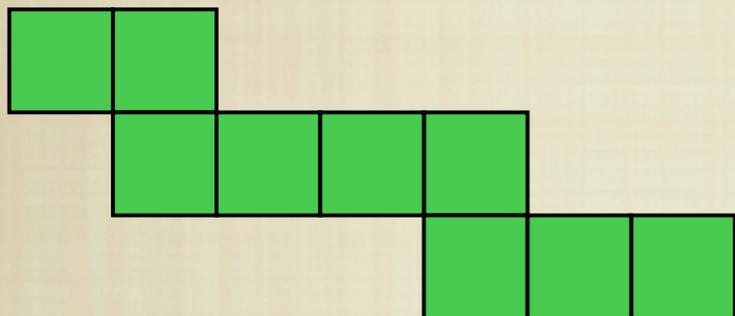
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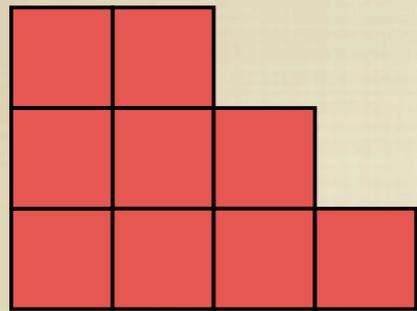
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GKLLRT ('95)

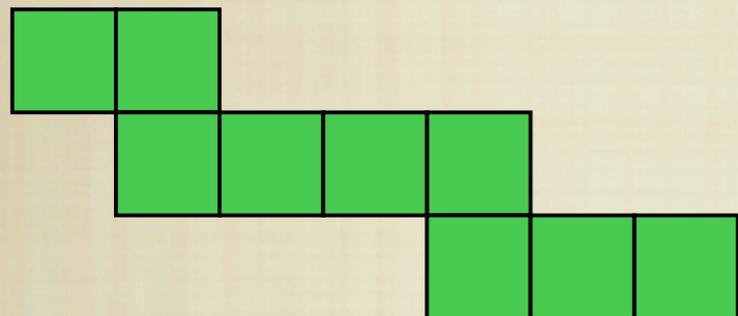
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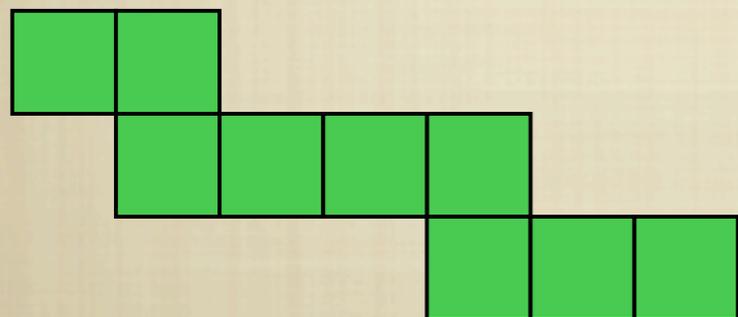


COMPOSITIONS

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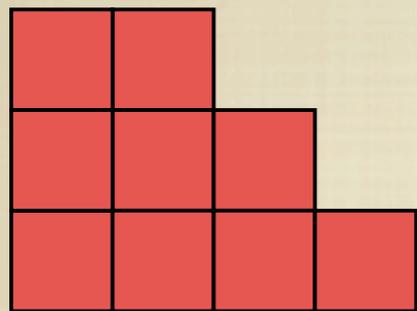
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COMPOSITIONS

*QSym*

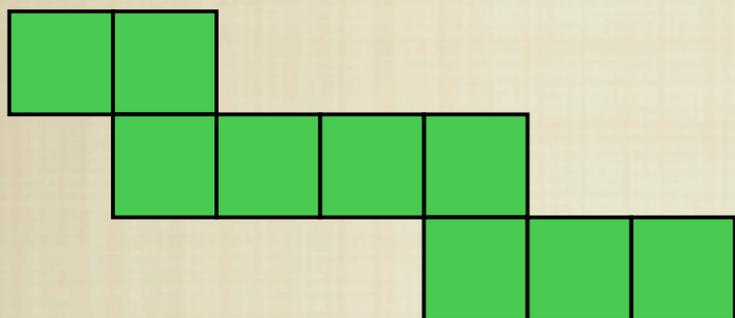
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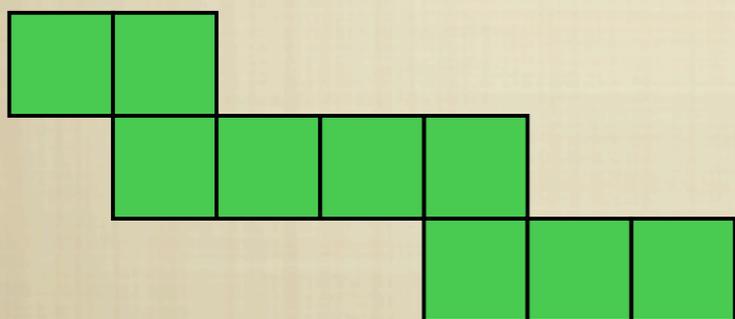


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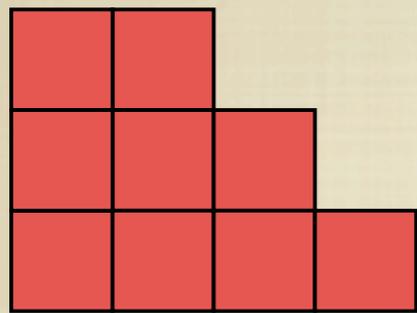


COMPOSITIONS

COMMUTATIVE ALGEBRA  
OF QUASI-SYMMETRIC FUNCTIONS

*QSym*

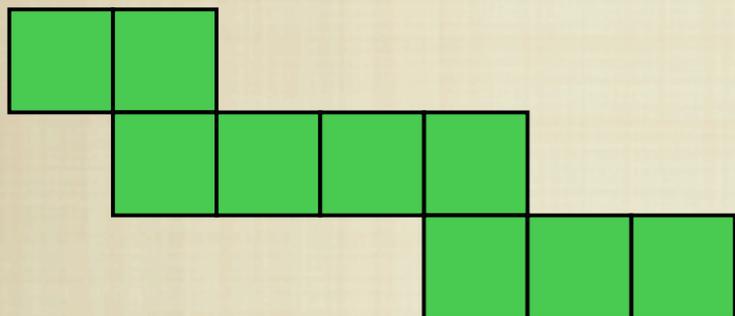
# CHA'S IN THE MID-90S



PARTITIONS

$$K[p_1, p_2, p_3, \dots]$$

*Sym*

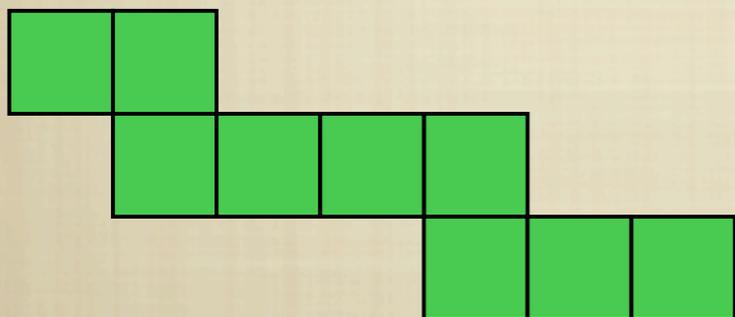


COMPOSITIONS

$$K\langle p_1, p_2, p_3, \dots \rangle$$

*NSym*

GKLLRT ('95)



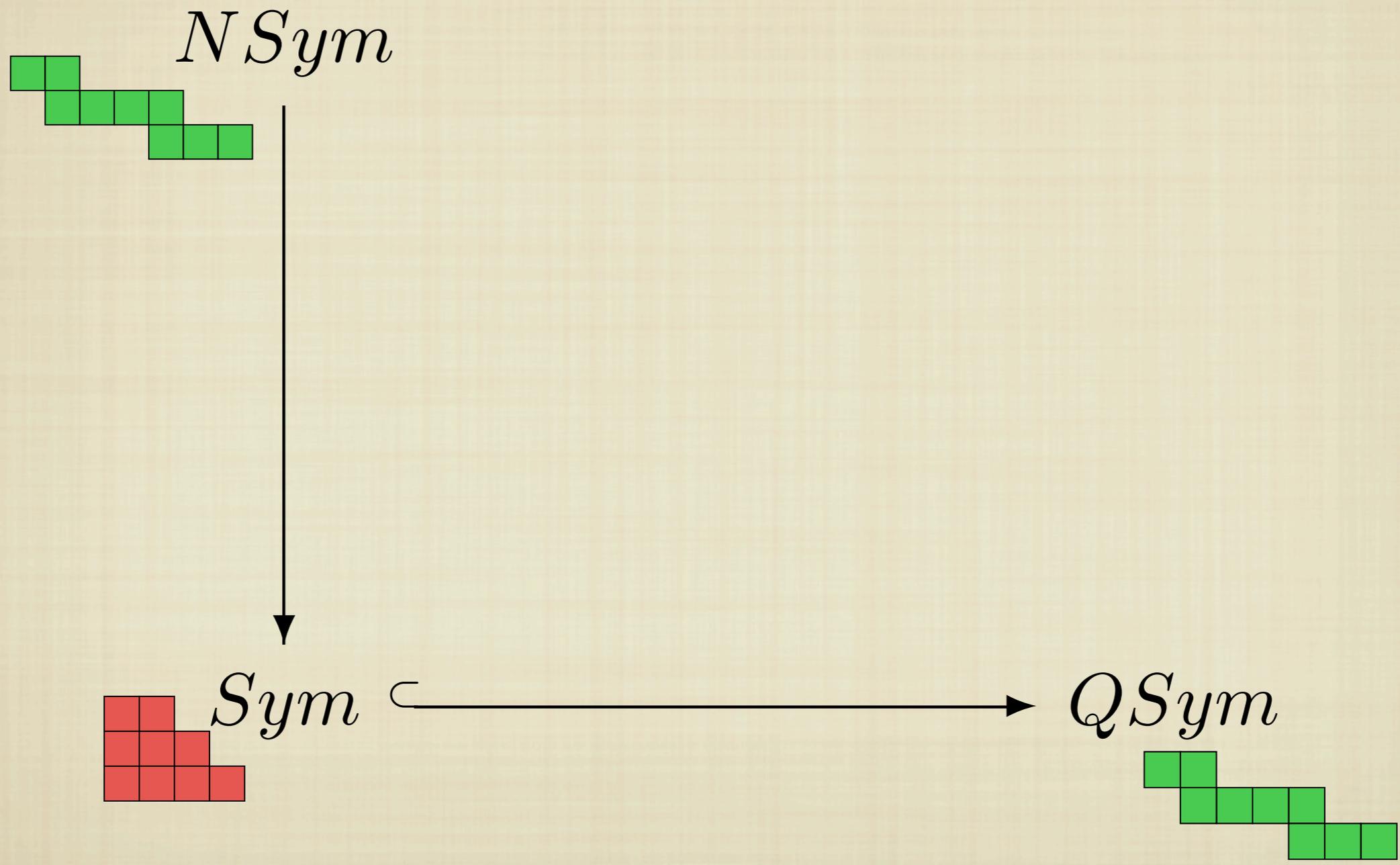
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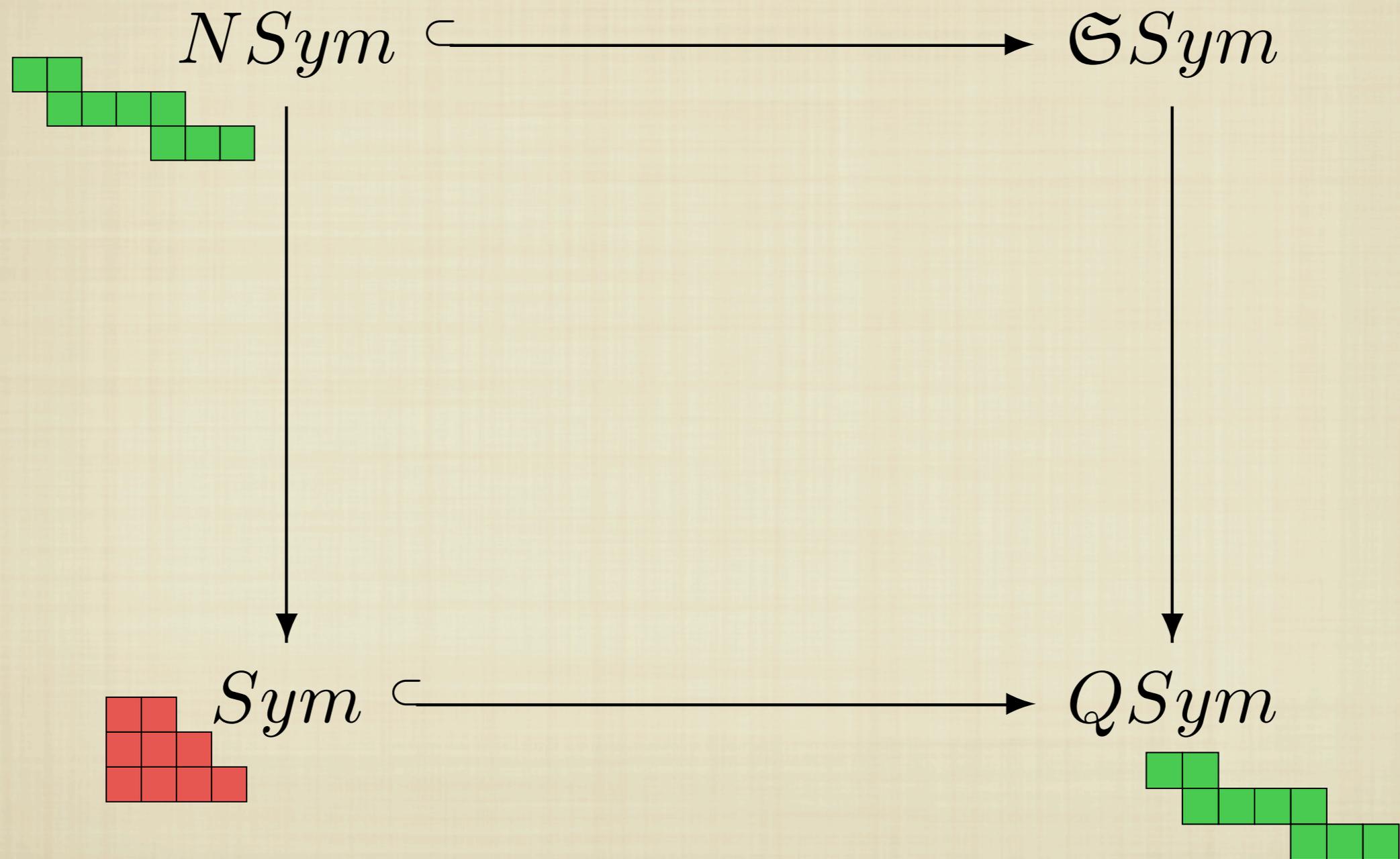
*QSym*

Gessel ('84)

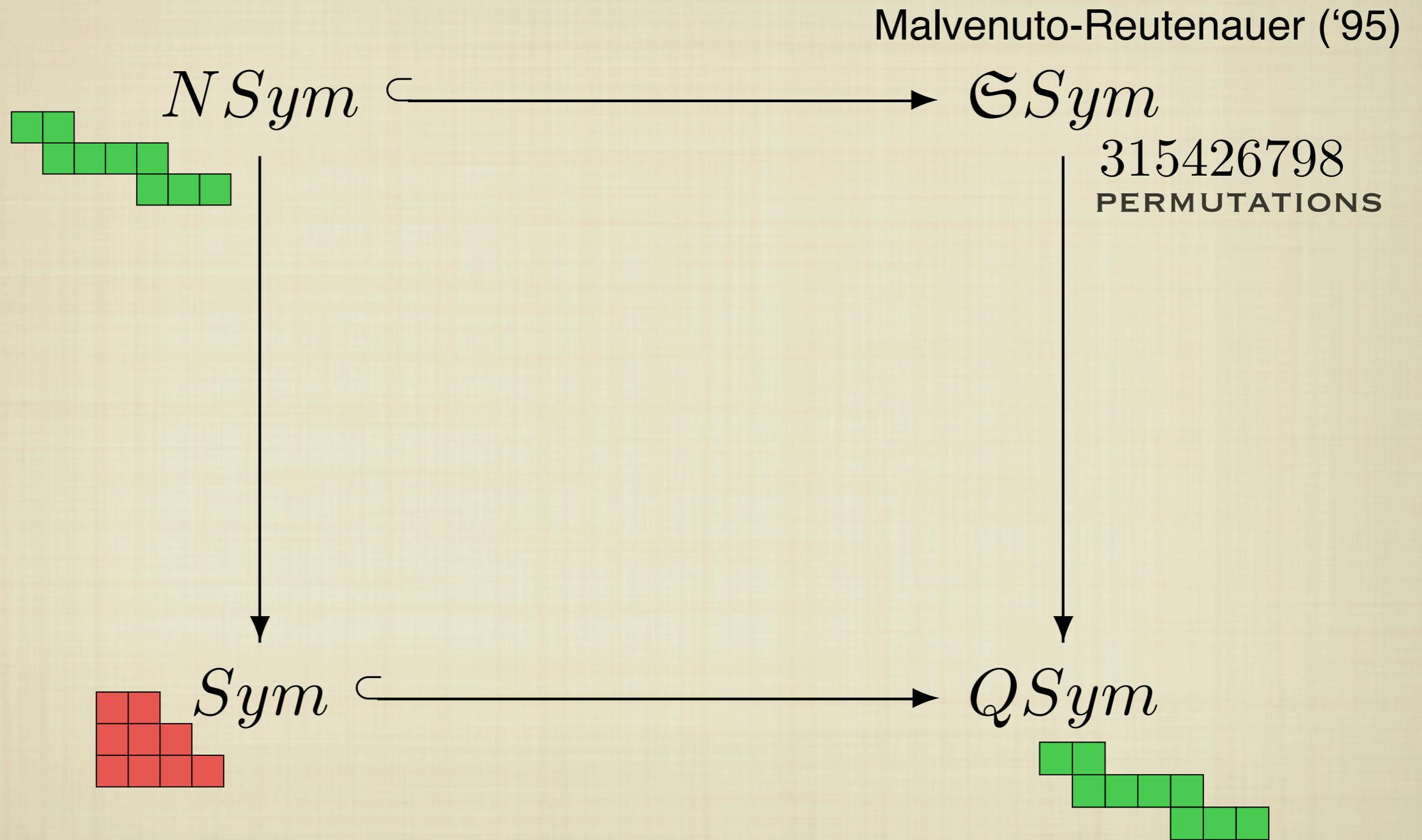
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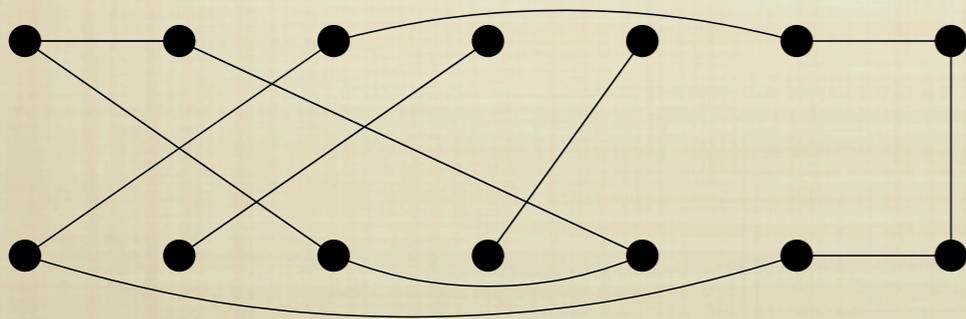


# CHA'S IN THE MID-90S



# CHA'S IN THE 90S+

## UNIFORM BLOCK PERMUTATIONS



AGUIAR-ORELLANA '05

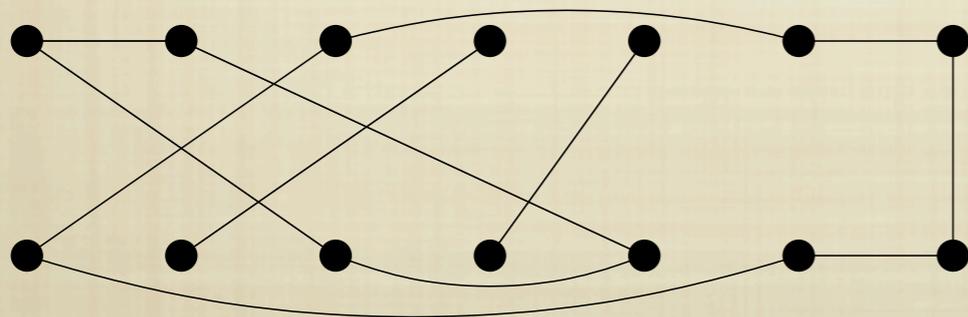
# CHA'S IN THE 90S+

## TABLEAUX

7				
6	8	11		
4	5	9	12	14
1	2	3	10	13

POIRIER-REUTENAUER '95

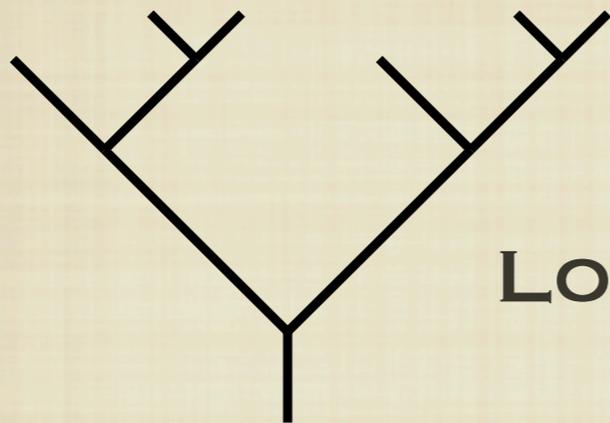
## UNIFORM BLOCK PERMUTATIONS



AGUIAR-ORELLANA '05

# CHA'S IN THE 90S+

**BINARY TREES**



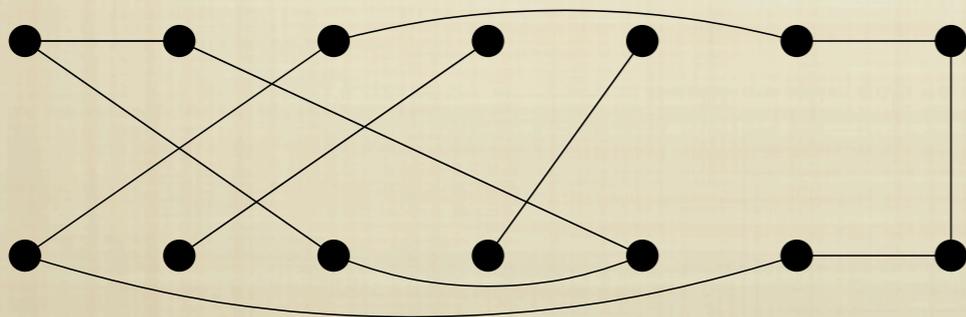
**CONNES-KREIMER '98**  
**GROSSMAN-LARSON '89**

**LODAY-RONCO '98**

**TABLEAUX**

7				
6	8	11		
4	5	9	12	14
1	2	3	10	13

**UNIFORM BLOCK PERMUTATIONS**



**POIRIER-REUTENAUER '95**

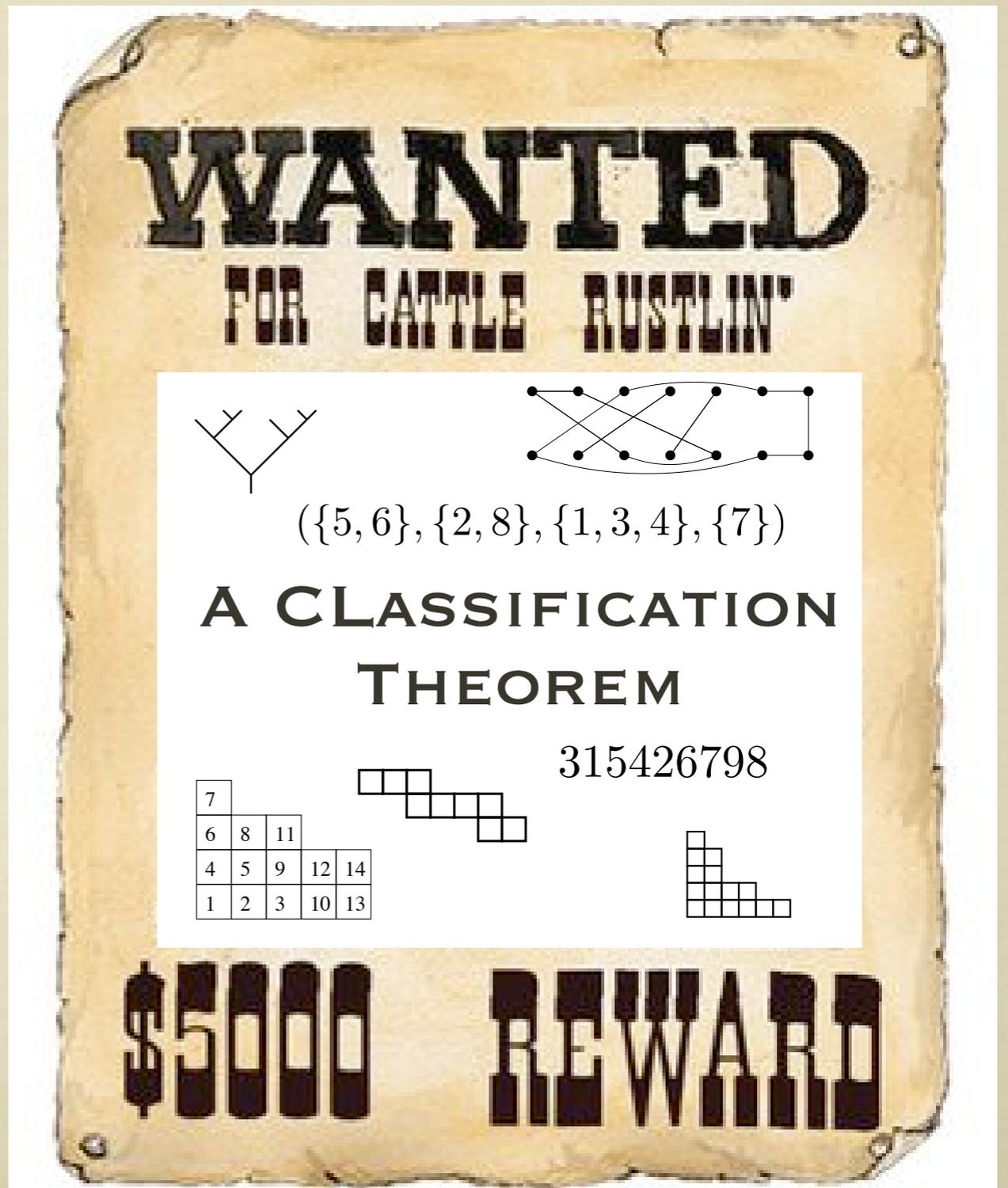
**AGUIAR-ORELLANA '05**

# A ZOO OF HOPF ALGEBRAS



# A GOAL OF RESEARCH ON GRADED HOPF ALGEBRAS?

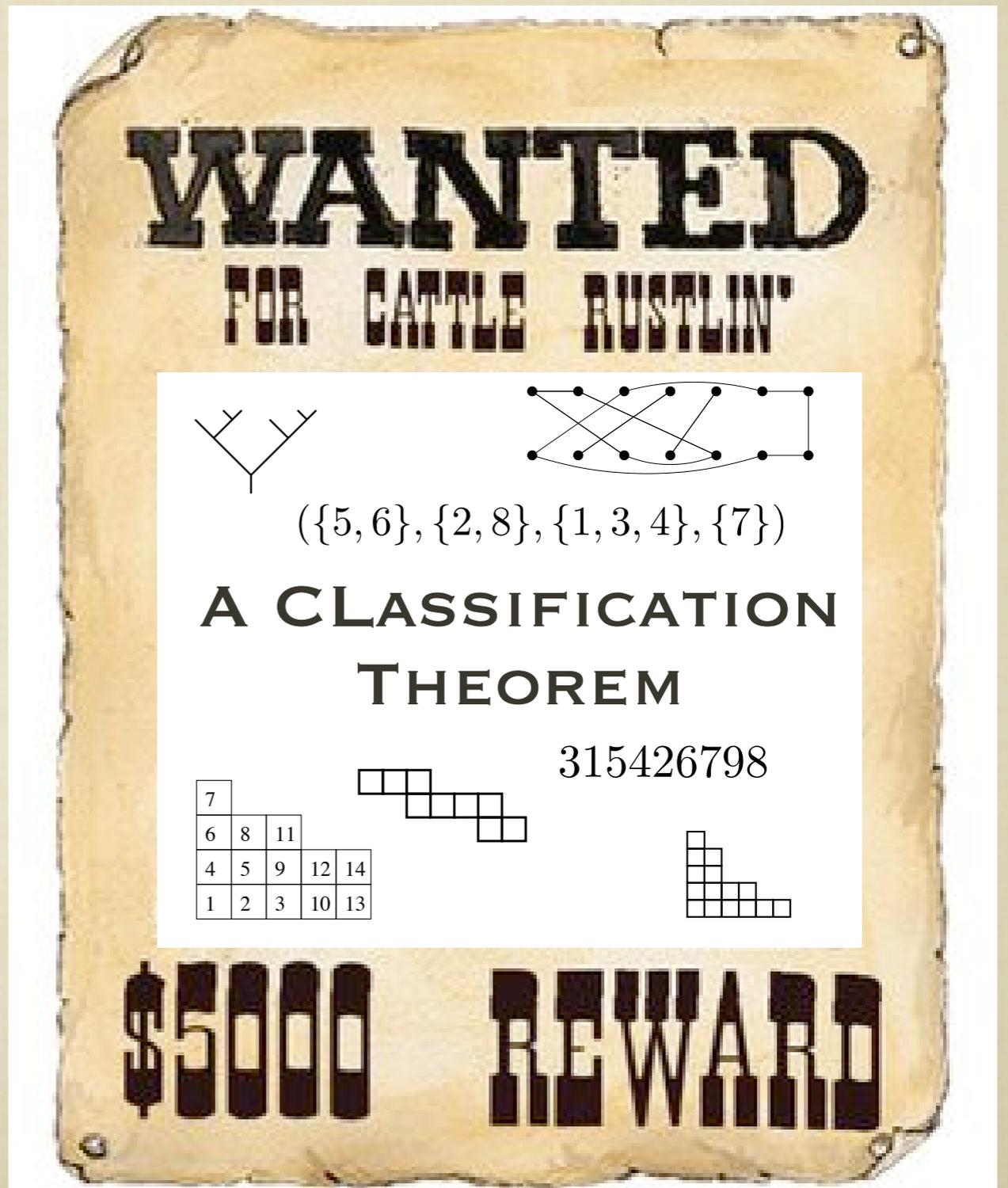
- ONE GOAL OF DETERMINING THE HOPF ALGEBRAS ASSOCIATED TO COMBINATORIAL OBJECTS IS TO TRY AND ARRIVE AT A CLASSIFICATION THEOREM FOR GRADED COMBINATORIAL HOPF ALGEBRAS



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MARCELO AGUIAR  
SPECIES  $\leftrightarrow$  CHAs





# HOPF ALGEBRAS OF SET PARTITIONS/COMPOSITIONS

$(\{5, 6\}, \{2, 8\}, \{1, 3, 4\}, \{7\})$

$\{\{1, 3, 4\}, \{2, 8\}, \{5, 6\}, \{7\}\}$

# HOPF ALGEBRAS OF SET PARTITIONS/COMPOSITIONS

$(\{5, 6\}, \{2, 8\}, \{1, 3, 4\}, \{7\})$

Set composition definition:

$$(S_1, S_2, \dots, S_k) : S_1 \uplus S_2 \uplus \dots \uplus S_k = \{1, 2, \dots, n\}$$

$\{\{1, 3, 4\}, \{2, 8\}, \{5, 6\}, \{7\}\}$

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$$(S_1, S_2, \dots, S_k) : S_1 \uplus S_2 \uplus \dots \uplus S_k = \{1, 2, \dots, n\}$$

Set partition definition:

$$\{S_1, S_2, \dots, S_k\} : S_1 \uplus S_2 \uplus \dots \uplus S_k = \{1, 2, \dots, n\}$$
$$\{\{1, 3, 4\}, \{2, 8\}, \{5, 6\}, \{7\}\}$$

# ANOTHER TYPE OF NON-COMMUTATIVE SYMMETRIC FUNCTIONS

*Sym* INVARIANTS UNDER THE LEFT ACTION  
ON THE POLYNOMIAL RING  $\mathbb{Q}[X_n]$

$$\sigma(x_i) = x_{\sigma(i)}$$

*NSym* FREE ALGEBRA GENERATED BY ONE  
ELEMENT AT EACH DEGREE

*NCSym* INVARIANTS UNDER THE LEFT ACTION  
ON THE NON-COMM POLY RING  $\mathbb{Q}\langle X_n \rangle$

# MONOMIAL $\longrightarrow$ SET PARTITION

FOR EACH MONOMIAL

$$x_{i_1} x_{i_2} \cdots x_{i_n}$$

ASSOCIATE A SET PARTITION

$$\nabla(i_1, i_2, \dots, i_n) = A \vdash [n]$$
$$r, s \in A_d \text{ whenever } i_r = i_s$$

$$m_A[X_n] = \sum_{\nabla(i_1, i_2, \dots, i_n) = A} x_{i_1} x_{i_2} \cdots x_{i_n}$$

# EXAMPLE:

$$m_{\{\{1,3,5\},\{2,4\}\}}[X_n] = x_1x_2x_1x_2x_1 + x_2x_1x_2x_1x_2 + x_1x_3x_1x_3x_1 + \\ x_3x_1x_3x_1x_3 + x_2x_3x_2x_3x_2 + x_3x_2x_3x_2x_3 + x_1x_4x_1x_4x_1 + \dots$$

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$$x_3 x_1 x_3 x_1 x_3 + x_2 x_3 x_2 x_3 x_2 + x_3 x_2 x_3 x_2 x_3 + x_1 x_4 x_1 x_4 x_1 + \dots$$

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**THIS IS A NON COMMUTATIVE POLYNOMIAL  
FOR A GIVEN  $n$**

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THIS IS A NON COMMUTATIVE POLYNOMIAL  
FOR A GIVEN  $n$

CONSIDER THE ELEMENTS  $m_A$

TO BE THE OBJECT BY LETTING THE

NUMBER OF VARIABLES  $n \rightarrow \infty$

IN  $m_A[X_n]$

# COMBINATORIAL RULE FOR PRODUCT

$$m_{\{\{1,3\},\{2,4\}\}} \cdot m_{\{\{1,2,4\},\{3\}\}} =$$

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$$m_{\{\{1,3\},\{2,4\},\{5,6,8\},\{7\}\}} + m_{\{\{1,3,5,6,8\},\{2,4\},\{7\}\}} +$$

$$m_{\{\{1,3\},\{2,4,5,6,8\},\{7\}\}} + m_{\{\{1,3,7\},\{2,4\},\{5,6,8\}\}} +$$

$$m_{\{\{1,3\},\{2,4,7\},\{5,6,8\}\}} + m_{\{\{1,3,7\},\{2,4,5,6,8\}\}} +$$

$$m_{\{\{1,3,5,6,8\},\{2,4,7\}\}}$$

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$$m_{\{\{1,3,5,6,8\},\{2,4,7\}\}}$$

# COPRODUCT

INSPIRATION:

$$m_A[X_n, Y_m]$$

$$\Delta(m_{\{\{1\}, \{2,4,5,6\}, \{3,7\}\}}) =$$

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$$\begin{aligned} & m_{\{\{1\}, \{2,4,5,6\}, \{3,7\}\}} \otimes 1 + m_{\{\{1,3,4,5\}, \{2,6\}\}} \otimes m_{\{\{1\}\}} + \\ & m_{\{\{1\}, \{2,3\}\}} \otimes m_{\{\{1,2,3,4\}\}} + m_{\{\{1\}, \{2,3,4,5\}\}} \otimes m_{\{\{1,2\}\}} + \\ & m_{\{\{1\}\}} \otimes m_{\{\{1,3,4,5\}, \{2,6\}\}} + m_{\{\{1,2,3,4\}\}} \otimes m_{\{\{1\}, \{2,3\}\}} + \\ & m_{\{\{1,2\}\}} \otimes m_{\{\{1\}, \{2,3,4,5\}\}} + 1 \otimes m_{\{\{1\}, \{2,4,5,6\}, \{3,7\}\}} \end{aligned}$$

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# DEFINITION OF $NC\mathit{Sym}$

$$NC\mathit{Sym} = \bigoplus_{n \geq 0} \mathcal{L}\{m_A : A \vdash [n]\}$$

**NON-COMMUTATIVE  
CO-COMMUTATIVE  
HOPF ALGEBRA OF SET PARTITIONS**

# ANALOGY BETWEEN SYM AND NCSYM

$$\begin{aligned} S(V^*) &= \text{symmetric tensor algebra} \\ &\simeq \mathbb{Q}[X_n] \end{aligned}$$

$$\begin{aligned} T(V^*) &= \text{tensor algebra} \\ &\simeq \mathbb{Q}\langle X_n \rangle \end{aligned}$$

*Sym* is to  $S(V^*)$  as *NC*Sym** is to  $T(V^*)$

# PROPERTIES OF NCSYM

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- **NON-COMMUTATIVE AND CO-COMMUTATIVE**

# PROPERTIES OF NC SYM

- **NON-COMMUTATIVE AND CO-COMMUTATIVE**
- **HAS BASES ANALOGOUS TO POWER, ELEMENTARY, HOMOGENEOUS, MONOMIAL SYMMETRIC FUNCTIONS IN THE ALGEBRA OF SYM (ROSAS-SAGAN '03, BERGERON-BRLEK)**

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- **THE DIMENSION OF THE PART OF DEGREE N ARE THE BELL NUMBERS**

**1, 1, 2, 5, 15, 52, 203, 877, 4140, ...**

# SOME QUESTIONS TO ASK



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- WHAT IS A FUNDAMENTAL BASIS OF THIS SPACE (ANALOGUE OF SCHUR BASIS)?



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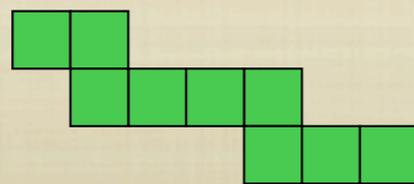
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- WHAT IS THE CONNECTION WITH REPRESENTATION THEORY?
- WHAT IS THE STRUCTURE OF THIS ALGEBRA?
- WHAT IS THE RELATIONSHIP WITH THE 'OTHER' NON-COMMUTATIVE SYMMETRIC FUNCTIONS (NSYM)



COMPOSITIONS

$$\{\{1, 3, 4\}, \{2, 8\}, \{5, 6\}, \{7\}\}$$

SET PARTITIONS

# THE CONNECTION BETWEEN NSYM AND NCSYM

**NCSYM HAS GRADED DIMENSIONS**

**1, 1, 2, 5, 15, 52, 203, 877, 4140, ...**

**NSYM HAS GRADED DIMENSIONS**

**1, 1, 2, 4, 8, 16, 32, 64, 128, ...**

# THE CONNECTION BETWEEN NSYM AND NCSYM

**NCSYM HAS GRADED DIMENSIONS**

**1, 1, 2, 5, 15, 52, 203, 877, 4140, ...**

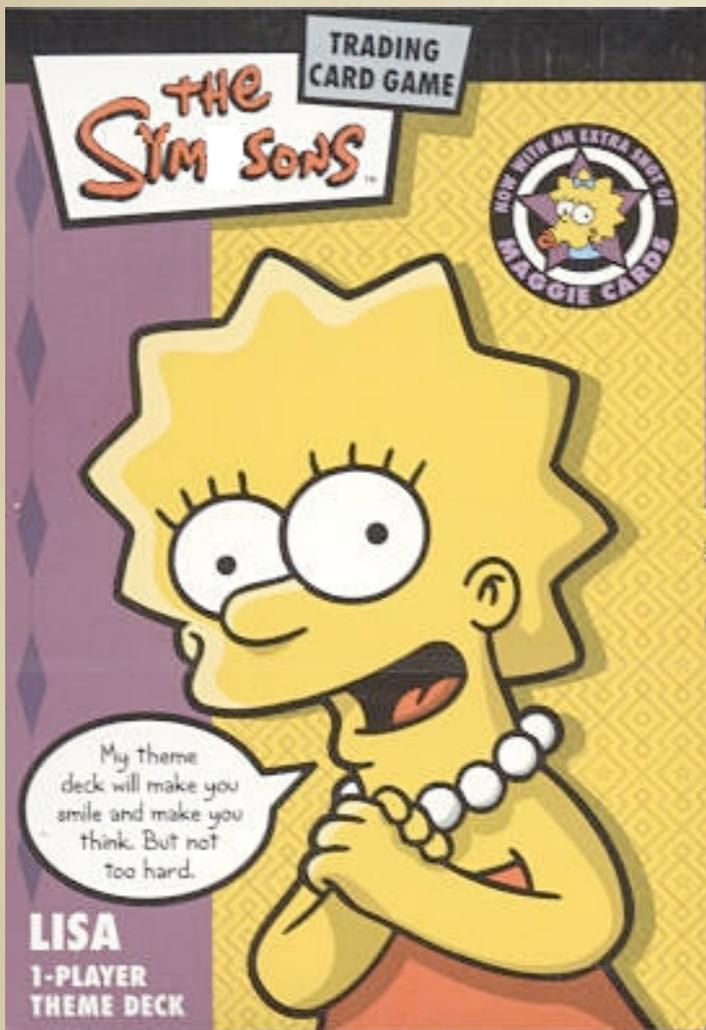
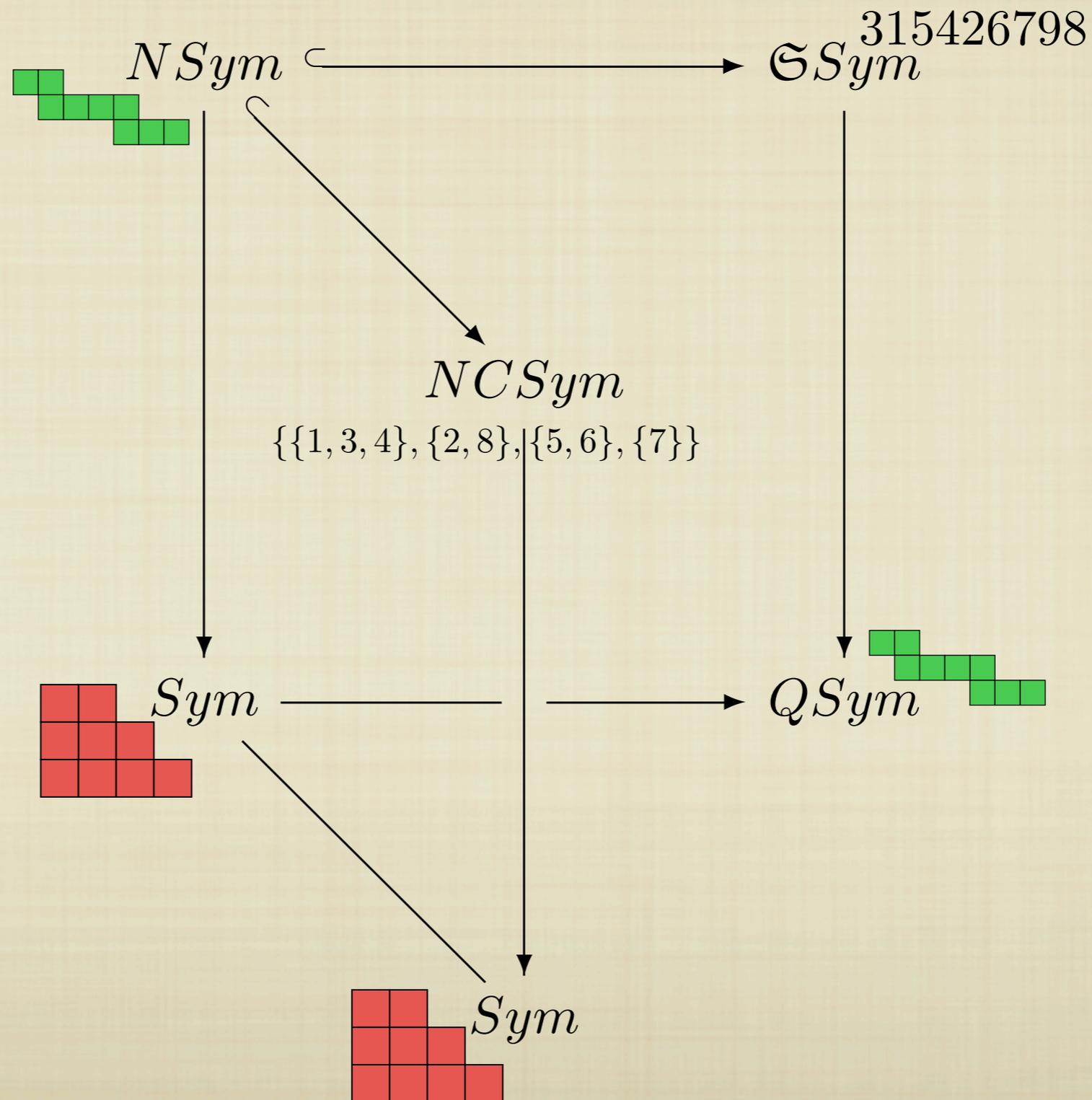
**NSYM HAS GRADED DIMENSIONS**

**1, 1, 2, 4, 8, 16, 32, 64, 128, ...**

**THERE EXISTS A HOPF MORPHISM**

$$NSym \hookrightarrow NCSym$$

# FAMILIES OF MORPHISMS





ONE LAST OPEN QUESTION

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